



SOUTH CENTRAL REGIONAL COUNCIL OF GOVERNMENTS

Bethany Branford East Haven Guilford Hamden Madison Meriden Milford
New Haven North Branford North Haven Orange Wallingford West Haven Woodbridge

Carl J. Amento, Executive Director

SCRCOG MEETING NOTICE & AGENDA

October 22, 2014 – 10:00 A.M.

**Location: 127 Washington Avenue, 4th Floor West
North Haven, CT 06473**

Full agenda materials can be found at our website – www.scrcog.org

1. Call to Order and Introductions – *Mayor Scott Jackson, Chairman*
2. **Presentation: Report from Washington, D.C.** – *Congresswoman Rosa DeLauro*
3. Adoption of 9/24/14 SCRCOG Minutes – *First Selectman Fillmore McPherson, Secretary* Pages 3-5
4. Treasurer’s Report for month ending 9/30/14 – *Mayor Benjamin Blake, Treasurer* Pages 6,7
5. Transportation Committee Report – *Mayor William Dickinson, Chairman*
 - a. Ozone Air Quality Conformity Resolution Pages 8, 9
 - b. PM 2.5 Air Quality Conformity Resolution Pages 10,11
 - c. 2015-2018 TIP Resolution Pages 12,13
6. FY 2014-15 Budget Revision #3 – *Executive Director Carl Amento* Pages 14-16
7. Resolution Authorizing the Executive Director to Negotiate and Execute an Agreement With Fiber Technologies Networks, LLC for SCRCOG’s Nutmeg Network Connection Page 17
8. **Presentation: Proposed DEEP Stormwater Permit Modifications** – *Christopher Stone, Stormwater Permitting Engineer, CT DEEP*
9. **Presentation: Nutmeg Network Connection Grants** – *Wendy Rego, Manager, Membership Outreach, CEN, and Jennifer March-Wackers, Municipal Services Manager, CRCOG* Page 18
10. Congressional Report – *Louis Mangini, Aide to U.S. Rep. Rosa DeLauro and Riju Das, Aide to Senator Richard Blumenthal, Evan Johnson, Aide to Senator Christopher Murphy*
11. SCRCOG Executive Director’s Report – *Carl Amento, Executive Director*
12. Upcoming Events—*Carl Amento, Executive Director* Attachment 1
13. **Presentation: Regional Purchase and Upgrade of Streetlighting Systems** – *Woodie Weiss, Chairman, Madison Energy & Efficiency Committee*
14. Grant Opportunities —*Carl Amento, Executive Director* Attachment 2
15. REX Development Report – *Ginny Kozłowski, REX Development* Page 19

The agenda and attachments for this meeting are available on our website at www.scrcog.org. Please contact SCRCOG for copy of agenda in a language other than English. Auxiliary aids/services and limited English proficiency translators will be provided with two week’s notice.

"Necesidades especiales: Audio de circuito cerrado para personas con deficiencia auditiva y/o intérprete de lenguaje de signos y traductor para personas con dominio limitado del inglés; son proporcionados por aviso a dos semanas de anticipación. Agenda puede solicitarse en un idioma distinto al inglés contactando a SCRCOG."

127 Washington Avenue, 4th Floor West, North Haven, CT 06473

www.scrcog.org T (203) 234-7555 F (203) 234-9850 camento@scrcog.org

16. DESPP/ DEMHS Report – *John B. Field, Jr., Region 2 Coordinator*
17. CTRides Quarterly Report—*Coby Zeiffman, CTRides Outreach Coordinator*
18. RPC Action Table for October
19. Regional Cooperation /Other Business
20. Adjournment

Attached

Page 20

The agenda and attachments for this meeting are available on our website at www.scrcog.org. Please contact SCRCOG for copy of agenda in a language other than English. Auxiliary aids/services and limited English proficiency translators will be provided with two week's notice.

"Necesidades especiales: Audio de circuito cerrado para personas con deficiencia audita y/o intérprete de lenguaje de signos y traductor para personas con dominio limitado del inglés; son proporcionados por aviso a dos semanas de anticipación. Agenda puede solicitarse en un idioma distinto al inglés contactando a SCRCOG."

127 Washington Avenue, 4th Floor West, North Haven, CT 06473

www.scrcog.org T (203) 234-7555 F (203) 234-9850 camento@scrcog.org



SOUTH CENTRAL REGIONAL COUNCIL OF GOVERNMENTS

Planning for Our Region's Future

Bethany Branford East Haven Guilford Hamden Madison Meriden Milford
New Haven North Branford North Haven Orange Wallingford West Haven Woodbridge

Carl J. Amento, Executive Director

TO: **SCRCOG Board**
FROM: **First Selectman Fillmore McPherson, Secretary**
DATE: **October 15, 2014**
SUBJECT: **SCRCOG Minutes of September 24, 2014**

Present:

Bethany	First Selectwoman Derrylyn Gorski
Branford	First Selectman James Cosgrove
East Haven	Mayor Joseph Maturo
Guilford	James Portley, proxy for First Selectman Joseph Mazza
Hamden	Julie Smith, proxy for Mayor Jackson
Madison	First Selectman Fillmore McPherson – <i>Secretary</i>
Milford	Mayor Benjamin Blake – <i>Treasurer</i>
New Haven	Mayor Toni Harp
North Branford	Joanne Wentworth, proxy for Mayor Anthony Candelora
North Haven	First Selectman Michael Freda – <i>Vice Chairman</i>
Orange	First Selectman James Zeoli
Wallingford	Mayor William Dickinson
West Haven	John Lewis, proxy for Mayor Edward O'Brien
Woodbridge	Betsy Yagla, proxy for First Selectwoman Ellen Scalettar
SCRCOG Staff	Carl Amento – <i>Executive Director</i> , Stephen Dudley, Albert Ruggiero, James Rode, Alana Keegan (intern)

Guests: Jennifer McGrady Heath and Amy Casavina Hall, *United Way of Greater New Haven*; Nadim Matta, *Rapid Results Institute*; Miriam Brody, *Hamden-North Haven League of Women Voters*; Mary Bigelow, *Greater New Haven Transit District*; Ginny Kozlowski and Barbara Malmberg, *REX Development/ New Haven EDC*; Louis Mangini, *Office of U.S. Representative Rosa DeLauro*; Riju Das, *Office of U.S. Senator Richard Blumenthal*; Lori Vitagliano, *Regional Water Authority*; Edgar Wynkoop, *CDOT*; Jocelyn Mathiasen, *SCRCOG Consultant*; Matthew Nemerson and Giovanni Zinn, *City of New Haven*; Thomas Cariglio, *United Illuminating*; Donna Carter, *Greater New Haven Transit District*; Michael Paulhus, *Town of North Branford*; Mark Zaretsky, *New Haven Register*

1. **Call to order and Introductions**
Chairman Scott Jackson called the meeting to order at 10:06 a.m. All present introduced themselves.

2. **Presentation: Report on 100-Day Campaign to End Homelessness**
Jennifer McGrady Heath and Amy Casavina Hall of the United Way of Greater New Haven, and Nadim Matta of the Rapid Results Institute reported on the successful campaign to find housing for the chronically homeless in the Greater New Haven area.

3. **Adoption of August 27, 2014 SCRCOG meeting minutes**
First Selectman McPherson presented the minutes as shown on Pages 2-4 of the agenda packet. First Selectwoman Gorski moved that the minutes be approved. Mayor Maturo seconded the motion. It was approved by all, except that Joanne Wentworth abstained.
4. **Treasurer's Report for month ending August 31, 2014**
Mayor Blake presented the Treasurer's Report which was included in the agenda packet at pages 5-6. The balance sheet shows that we have \$601,860 in cash and investments and \$329,047 due from CT DOT for transportation planning. Expenses seemed appropriate for the month. Mayor Blake moved for acceptance of the Treasurer's Report. Mayor Maturo seconded the motion, which carried unanimously.
5. **Resolution Authorizing the Executive Director to Execute an Agreement for Acceptance of 2014-2015 State Grant-In-Aide**
The Resolution found on page 7 of the agenda packet dealt with the 2014-2015 State Grant-In-Aide which was in the amount of \$410,000. Mayor Maturo moved for approval of the Resolution. Mayor Harp seconded. The motion passed unanimously.
6. **Resolution Authorizing the Executive Director to Negotiate and Sign Agreements related to the Hurricane Sandy Coastal Resiliency Planning Grant (\$700,000)**
The Resolution is found on page 8 of the agenda packet. Mayor Maturo moved for approval of the Resolution. Ms. Wentworth seconded. The motion was approved unanimously.
7. **FY 2014-2014 Budget Revision #2**
The proposed budget amendment is detailed on pages 9-11 of the agenda packet. The amendment increases the approved budget by adding \$700,000 for recently-awarded federal competitive Coastal Resiliency Planning Grant. Mayor Maturo moved to approve the budget revision. Mr. Portley seconded the motion. All were in favor.
8. **Report on Nutmeg Network Technology Demonstration Pilot Projects**
SCRCOG Consultant, Jocelyn Mathiasen, reviewed the Nutmeg Network Technology Pilot Projects and explained the eligibility requirements for participation.
9. **Discussion re: Proposed DEEP Stormwater Permit Modifications**
Executive Director Amento distributed comments from SCRCOG, CCM and COST that had been submitted to DEEP. He also described his conversation with DEEP Stormwater Permitting Engineer Christopher Stone. Mr. Stone has agreed to appear at next month's SCRCOG meeting to answer any questions.
10. **Congressional Reports**
Louis Mangini from Congresswoman DeLauro's Office reported on a new \$1 billion national Disaster Resilience grant that was just announced. Riju Das from Senator Blumenthal's Office reported that Branford and Meriden had applied for TIGER grants. He also reported that the Senator is still working diligently on Metro North service and safety issues.
11. **SCRCOG Executive Director's Report**
Executive Director Amento reported that SCRCOG is still awaiting guidance from the federal and state governments regarding required by-laws changes. He referred to the Title VI memo in the agenda packet that offers assistance with the reporting requirements. Amento reported that the Council of Small Towns (COST) and SCRCOG have entered into a collaboration in which SCRCOG will research federal and foundation grants and COST will research state grants and each group will share its research with the other. He reviewed several grant opportunities available to cities and towns. He introduced Giovanni Zinn, who is the new City Engineer in New Haven. Amento reported that Tom Cariglio of UI will arrange a presentation on electricity and natural gas at one of the upcoming meetings.

12. **REX Development Report**

REX Executive Director Ginny Kozlowski reviewed her report which is at page 12 of the agenda packet. She noted that new funding for brownfield assessments will be available in October. CT Innovations funding has ceased until the next Bond Commission meeting.

13. **DESPP/ DEMHS Report**

John Field, Region 2 Coordinator, was unable to attend the meeting. However, his report can be found at pages 13-14 of the agenda packet.

14. **RPC Action Table for August**

The RPC Action Table for September was reviewed.

15. **Regional Cooperation/ Other Business**

Mayor Harp reported that New Haven, West Hartford and Stamford have joined together in an effort to bring ultra-high speed broadband to their communities. Middletown and Farmington are poised to join them, and she encouraged SCRCOG cities and towns to join in the initiative. She was organizing a meeting at City Hall in New Haven for Tuesday, September 30th.

16. **Adjournment**

First Selectwoman Gorski moved for adjournment. First Selectman Zeoli seconded the motion and all approved. The meeting adjourned at 11:40 a.m.

Respectfully submitted,

First Selectman Fillmore McPherson, Secretary

BALANCE SHEET - September, 2014

ASSETS	
Cash and Investments	
First Niagara Bank	323,630
Connecticut Short-Term Investment Fund - SCRCOG	155,545
Start Bank	100,000
Total Cash and Investments	579,175
Accounts Receivable	
Municipal Dues - FY 13/14	31,400
Connecticut Department of Transportation	366,274
Connecticut Office of Policy & Management	410,000
RPA - Sustainable Communities	623
OPM - RPI Grants	19,517
Amount for Accrued Leave	12,815
Pre-Paid Expense & Other Receivables	29,996
Total Accounts Receivable	874,335
Property and Equipment	
COG Equipment	34,469
Total Property & Equipment	34,469
TOTAL ASSETS	1,487,978

LIABILITIES AND FUND BALANCE	
Liabilities	
Accounts Payable	11,825
Accrued Payroll Taxes	694
Deferred Revenue - Municipal	115,575
Deferred Revenue - GIA	313,500
LOTICIP - Administrative Funds	232,234
RPI Grant - GIS Project	0
Nutmeg Network - Installation	29,300
Total Current Liabilities	703,129
Fund Balance	
Estimated Fund Balance - July 1, 2014	681,388
Amount for Accrued Leave	12,815
Investment in Equipment	34,468
Change in Fund Balance	56,180
Fund Balance - September, 2014	784,850
TOTAL LIABILITIES AND FUND BALANCE	1,487,978

Statement of Resources and Expenditures - September, 2014

<i>Resources</i>	<i>FY 15 Budget</i>	<i>Month of Sep, 2014</i>	<i>To Date</i>
Municipal Contribution	154,100	12,842	38,525
ConnDOT - Transportation Planning			
U.S. Dept of Transportation - FY14/15	704,465	33,091	110,106
U.S. Dept of Transp - Carryover	652,182	0	0
Connecticut Dept of Transportation - FY14/15	85,012	4,136	13,763
Connecticut Dept of Transp - Carryover	81,523	0	0
Connecticut Dept of Transp - LOTCIP	232,320	86	86
Connecticut Office of Policy & Management			
Regional Planning	410,000	34,167	102,500
RPI Grant - GIS Project	135,066	7,118	12,224
Nutmeg Network	29,300	0	0
Coastal Resilience Planning Grant			
NFWF - Coastal Resilience Planning Grant	700,000	0	0
Interest	1,000	28	71
TOTAL	3,184,968	91,467	277,275

<i>Expenses</i>	<i>FY 15 Budget</i>	<i>Month of Sep, 2014</i>	<i>To Date</i>
Total Labor - Salaries & Benefits	687,193		160,017
Salaries		35,738	114,440
Fringe Benefits		6,675	45,577
Travel	13,659	371	1,268
Data Process	67,300	25	1,357
General Operations	183,179		35,919
Rent		8,600	25,700
Postage & Telephone		322	745
Office Supplies		324	392
Equipment Maintenance		1,173	3,502
Publications		695	820
Insurance & Professional Services		0	4,071
Meeting Expenses & Advertising		382	689
Miscellaneous & Equipment Use		0	0
Transportation Consultants	890,000	0	0
Other Consultants	380,000	0	7,500
Contingencies	429,885	0	0
Reserve for Coastal Resilience Planning Grant - FY 16	533,752	0	0
TOTAL	3,184,968	54,305	206,062



SOUTH CENTRAL REGIONAL COUNCIL OF GOVERNMENTS

Bethany Branford East Haven Guilford Hamden Madison Meriden Milford
New Haven North Branford North Haven Orange Wallingford West Haven Woodbridge

Carl J. Amento, Executive Director

RESOLUTION ON CONFORMITY WITH THE CLEAN AIR ACT
OZONE

WHEREAS,

The South Central Regional Council of Governments (SCRCOG) is required to submit an Air Quality Conformity Statement to the US Federal Highway Administration (FHWA) and to the US Environmental Protection Agency (EPA) in accordance with the final conformity rule promulgated by EPA (40 CFR 51 and 93) when adopting an annual Transportation Improvement Program or when effecting a significant revision of the Regions Transportation Plan; and

WHEREAS,

Title 42, Section 7506 (3) (A) states that conformity of transportation plans and programs will be demonstrated if:

1. the plans and programs are consistent with recent estimates of mobile source emissions;
2. the plans and programs provide for the expeditious implementation of certain transportation control measures;
3. the plans and programs contribute to annual emissions reductions consistent with the Clean Air Act of 1977, as amended; and

WHEREAS,

It is the opinion of the South Central Regional Council of Governments (SCRCOG) that the plans and programs approved today, October 22, 2014 and submitted to FHWA and EPA conform to the requirements of Title 42, Section 7506 (3) (A) as interpreted by EPA (40 CFR 51 and 93); and

WHEREAS,

The State of Connecticut has elected to assess conformity in the Connecticut portion of the New York-Northern New Jersey-Long Island, NY-NJ-CT Ozone Moderate Nonattainment area (Fairfield, New Haven and Middlesex Counties) and the Greater Connecticut Ozone Moderate Nonattainment Area (Hartford, New London, Tolland, Windham and Litchfield counties), and the Connecticut Department of Transportation has jointly assessed the impact of all transportation plans and programs in these Nonattainment areas (Ozone Air Quality Conformity Report August, 2014); and



SOUTH CENTRAL REGIONAL COUNCIL OF GOVERNMENTS

Bethany Branford East Haven Guilford Hamden Madison Meriden Milford
New Haven North Branford North Haven Orange Wallingford West Haven Woodbridge

Carl J. Amento, Executive Director

WHEREAS,

The Connecticut Department of Transportation’s assessment (above) has found that plans and programs jointly meet mobile source emission’s guidelines advanced by EPA pursuant to Section 7506 (3) (A).

Now, THEREFORE BE IT RESOLVED by the South Central Regional Council of Governments

That the South Central Regional Council of Governments (SCRCOG) finds that the Long Range Plan (April, 2011) and the FFY 2015-2018 TIP conform to air quality requirements of the U.S. Environmental Protection Administration (40 CFR 51 and 93), related U.S. Department of Transportation guidelines (23 CFR 450) and with Title 42, Section 7506 (3) (A) and hereby approves the existing August 2014 Ozone Air Quality Conformity Determination.

CERTIFICATE

The undersigned duly qualified and acting Secretary of the South Central Council of Governments certifies that the foregoing is a true and correct copy of a resolution adopted at a legally convened meeting of the South Central Council of Governments (SCRCOG) on October 22, 2014.

Date: **October 22, 2014.**

By: _____
First Selectman Fillmore McPherson, Secretary
South Central Regional Council of Governments



SOUTH CENTRAL REGIONAL COUNCIL OF GOVERNMENTS

Planning for Our Region's Future

Bethany Branford East Haven Guilford Hamden Madison Meriden Milford
New Haven North Branford North Haven Orange Wallingford West Haven Woodbridge

Carl J. Amento, Executive Director

RESOLUTION ON CONFORMITY WITH THE CLEAN AIR ACT

PM 2.5

WHEREAS,

The South Central Council of Governments (SCRCOG) is required to submit an Air Quality Conformity Statement to the US Federal Highway Administration (FHWA) and to the US Environmental Protection Agency (EPA) in accordance with the final conformity rule promulgated by EPA (40 CFR 51 and 93) when adopting an annual Transportation Improvement Program or when effecting a significant revision of the Regions Transportation Plan; and

WHEREAS,

Title 42, Section 7506 (3) (A) states that conformity of transportation plans and programs will be demonstrated if:

1. the plans and programs are consistent with recent estimates of mobile source emissions;
2. the plans and programs provide for the expeditious implementation of certain transportation control measures;
3. the plans and programs contribute to annual emissions reductions consistent with the Clean Air Act of 1977, as amended; and

WHEREAS,

It is the opinion of the South Central Regional Council of Governments (SCRCOG) that the plans and programs approved on October 22, 2014 and submitted to FHWA and EPA conform to the requirements of Title 42, Section 7506 (3) (A) as interpreted by EPA (40 CFR 51 and 93); and

WHEREAS,

The New York – Northern New Jersey – Long Island, NY-NJ-CT area is designated a PM 2.5 Nonattainment area; and

WHEREAS,

The State of Connecticut has elected to jointly assess conformity in all PM 2.5 nonattainment areas in Connecticut (Fairfield County and New Haven County) and



SOUTH CENTRAL REGIONAL COUNCIL OF GOVERNMENTS

Planning for Our Region's Future

Bethany Branford East Haven Guilford Hamden Madison Meriden Milford
New Haven North Branford North Haven Orange Wallingford West Haven Woodbridge

Carl J. Amento, Executive Director

WHEREAS,

The results of the required emissions analysis performed by the Connecticut Department of Transportation on the Regional Long Range Plan (April 2011) and the FFY 2015-2018 TIP shows that the implementation of the projects contained therein will result in emissions of PM2.5 in each analysis year that are less than the emissions of the baseline year; and

Now, THEREFORE BE IT RESOLVED,

That the South Central Council of Governments (SCRCOG) finds that the Regional Long Range Plan (April 2011) and the FFY 2015-2018 TIP conform to air quality requirements of the U.S. Environmental Protection Administration (40 CFR 51 and 93), related U.S. Department of Transportation guidelines (23 CFR 450) and with Title 42, Section 7506 (3) (A) and hereby approves the August 2014 PM2.5 Conformity Determination.

CERTIFICATE

The undersigned duly qualified and acting Secretary of the South Central Regional Council of Governments (SCRCOG) certifies that the foregoing is a true and correct copy of a resolution adopted at a legally convened meeting of the South Central Regional Council of Governments on October 22, 2014.

Date: **October 22, 2014.**

By: _____
First Selectman Fillmore McPherson, Secretary
South Central Regional Council of Governments



SOUTH CENTRAL REGIONAL COUNCIL OF GOVERNMENTS

Planning for Our Region's Future

Bethany Branford East Haven Guilford Hamden Madison Meriden Milford
New Haven North Branford North Haven Orange Wallingford West Haven Woodbridge

Carl J. Amento, Executive Director

Resolution

Fiscal Year 2015 - Fiscal Year 2018 Transportation Improvement Program

- Whereas:* U.S. Department of Transportation Metropolitan Planning Regulations (23 CFR 450) prescribe that each metropolitan planning organization maintain a financially constrained multi-modal transportation improvement program consistent with a State Implementation Plan for Air Quality (SIP) conforming to both U.S. Environmental Protection Administration-established air quality guidelines and SIP-established mobile source emissions budgets; and
- Whereas:* The Council (SCRCOG), in cooperation with the Connecticut Department of Transportation (ConnDOT) and major transit operators and relying upon financial constraints offered by ConnDOT, framed a four-year draft Fiscal Year 2015-Fiscal Year 2018 Transportation Improvement Program addressing projects and programs per the terms of 23 CFR 450.324; and
- Whereas:* The Council has provided a substantial opportunity for public review and comment during the September, 2014 – October, 2014 period consistent with SCRCOG's Public Participation Guidelines (adopted December, 2012) and has considered public comments per 23 CFR 450; and
- Whereas:* By agreement between the Council and the Connecticut Department of Transportation, public involvement activities carried out by the Council in response to U.S. Department of Transportation metropolitan transportation planning requirements are intended to satisfy requirements associated with development of a Statewide Transportation Improvement Program (STIP); and
- Whereas:* Significant Fiscal Year 2015-Fiscal Year 2018 Transportation Improvement Program proposals reflected in a Connecticut Department of Transportation air quality assessment for serious non-attainment portions of Connecticut (Air Quality Conformity Report for Ozone and PM 2.5 (2014), Transportation Improvement Program and Long Range Transportation Plan (January 2012)) and, in the context of all relevant regional transportation improvement programs, have been found to be conforming by ConnDOT and by the Council's current Air Quality Conformity Resolution (attached) per U.S. Environmental Protection Administration guidelines (40 CFR 51 and 93); and



SOUTH CENTRAL REGIONAL COUNCIL OF GOVERNMENTS

Planning for Our Region's Future

Bethany Branford East Haven Guilford Hamden Madison Meriden Milford
New Haven North Branford North Haven Orange Wallingford West Haven Woodbridge

Carl J. Amento, Executive Director

Fiscal Year 2015-FY 2018 Transportation Improvement Program (Continued)

Whereas: The Council of Governments' transportation planning process that continuously reviews goals, projects and opportunities may result in periodic adjustment or amendment of the TIP.

Now, Therefore, Be It Resolved By the Council of Governments

The Fiscal Year 2015-2018 Transportation Improvement Program (October 22, 2014 Final Version for Adoption, attached) is hereby adopted and shall be transmitted to the Connecticut Department of Transportation for inclusion in the State Transportation Improvement Program without change subsequent to an air quality conformity finding by the U.S. Federal Highway Administration and/or the U.S. Federal Transit Administration per 23 CFR 450.328.

Consistent with the intent of 23 *CFR* 3450.324(k) only transit projects explicitly associated with funds allocated to the New Haven-Meriden Urbanized Area per Section 5307 of the Federal Transit Act and, in the aggregate, not exceeding authorized federal fiscal year allocations, shall be pursued with those funds.

The region's planning transportation process addressing major regional issues and opportunities is being conducted in accordance with all applicable requirements established in 23 *CFR* 450.334.

Certificate

The undersigned duly qualified and acting Secretary of the South Central Regional Council of Governments certifies that the foregoing is a true and correct copy of a resolution adopted at a legally convened meeting of the Council of Governments on October 22, 2014.

Date: October 22, 2014.

By: _____
*First Selectman Fillmore McPherson, Secretary
South Central Regional Council of Governments*

FY 2014-2015 Budget Revision # 3

Budget Revision # 3 increases SCRCOG's overall 2014-2015 approved budget by \$101,475 to \$3,286,443 to allow for newly-allocated state and federal funds for the Regional Mobility Management Program. Funds totaling \$536,504 have been allocated for the Regional Mobility Management Program over a five year period.

The revision includes the following additional revenue and expenditure items:

1. Revenue increases totaling \$101,475:
 - a. \$81,180 for U.S. DOT – Mobility Management
 - b. \$20,295 for CDOT – Mobility Management

2. Expenditure increases totaling \$101,475:
 - a. \$91,328 for The Kennedy Center
 - b. \$10,147 for Contingency

Actual line item revisions appear on the following page, followed by a resolution authorizing Budget Revision #3.

<i>Revenue</i>	<i>Current Budget</i>	<i>Proposed Change</i>	<i>Revised Budget</i>
Municipal Contribution			
Municipal Contribution - Current Year	154,100	-	154,100
Transportation Planning			
U.S. DOT - FY 2014-2015	704,465	-	704,465
U.S. DOT - Mobility Management	-	81,180	81,180
CDOT - FY 2014-2015	85,012	-	85,012
CDOT - LOTCIP	232,320	-	232,320
CDOT - Mobility Management	-	20,295	20,295
Regional Planning			
CT OPM - State Grant-in-Aid (SGIA)	410,000		410,000
Regional GIS Program	135,066	-	135,066
Nutmeg Network	29,300	-	29,300
Coastal Resilience Planning Grant			
NFWF - Coastal Resilience Planning Grant	700,000	-	700,000
Investment Income	1,000	-	1,000
TOTAL	3,184,968	101,475	3,286,443

<i>Expenses</i>	<i>Current Budget</i>	<i>Proposed Change</i>	<i>Revised Budget</i>
Salaries	484,225	-	484,225
Benefits	202,967	-	202,967
Travel	13,659	-	13,659
Computer Supplies & Software	67,300	-	67,300
Rent	103,700	-	103,700
General Office Expenses	79,479	-	79,479
Transportation Consultant Services	890,000	-	890,000
<i>Other Consultants</i>			
GIS Consultant	61,700	-	61,700
Regional Jobs and Transit Access Study	6,500	-	6,500
Shared Services Study Implementation	10,000	-	10,000
Regional Housing Study	121,000	-	121,000
Hartford Purchasing Council	7,500	-	7,500
Nutmeg Network Connection	29,300	-	29,300
Coastal Resilience - Nature Conservancy	70,000	-	70,000
Coastal Resilience - GBRC	24,000	-	24,000
Coastal Resilience - Environmental Eng. Firm	50,000	-	50,000
Mobility Management - Kennedy Center	-	91,328	91,328
Contingency	429,886	10,147	440,033
Reserved for Coastal Resilience Planning Grant (Future FYs)	533,752	-	533,752
TOTAL	3,184,968	101,475	3,286,443



SOUTH CENTRAL REGIONAL COUNCIL OF GOVERNMENTS
Planning for Our Region's Future

Bethany Branford East Haven Guilford Hamden Madison Meriden Milford
New Haven North Branford North Haven Orange Wallingford West Haven Woodbridge

Carl J. Amento, Executive Director

Resolution

**South Central Regional Council of Governments
Fiscal Year 2014-2015 - Budget Revision # 3**

- Whereas: The South Central Regional Council of Governments (SCRCOG) on May 28, 2014 adopted an operating budget for Fiscal Year 2014-2015; and
- Whereas: SCRCOG approved Budget Revision #1, on August 27, 2014, which allowed for additional revenues from the Connecticut Department of Transportation grant for administration of the Local Transportation Capital Improvement Program and the CT Office of Policy and Management grant for the SCRCOG office's connection to the Nutmeg Network; and
- Whereas: SCRCOG approved Budget Revision #2, on September 25, 2014, which allowed for additional revenues from the National Fish and Wildlife Foundation grant for the development of a Regional Coastal and Watershed Resiliency Plan; and
- Whereas: SCRCOG By-Laws require any revision to the operating budget during the course of the year, which exceeds 10% of the approved budgeted item be approved by the Council of Governments; and
- Whereas: The proposed Budget Revision #3 allows for additional revenues from the U.S. Department of Transportation and the CT Department of Transportation to fund the Regional Mobility Management Program.

Now, therefore be resolved by the South Central Regional Council of Governments:

That Fiscal Year 2014-2015 Budget Revision # 3 is adopted.

Certificate:

The undersigned duly qualified and acting Secretary of the South Central Regional Council of Governments certifies that the foregoing is a true and correct copy of a resolution adopted at a legally convened meeting of the South Central Regional Council of Governments on October 22, 2014.

Date: October 22, 2014

By:

Fillmore McPherson, *Secretary*
South Central Regional Council of Governments



SOUTH CENTRAL REGIONAL COUNCIL OF GOVERNMENTS

Planning for Our Region's Future

Bethany Branford East Haven Guilford Hamden Madison Meriden Milford
New Haven North Branford North Haven Orange Wallingford West Haven Woodbridge

Carl J. Amento, Executive Director

Resolution Authorizing the Executive Director to Negotiate and Execute an Agreement With Fiber Technologies Networks, LLC for SCRCOG's Nutmeg Network Connection

Whereas: The South Central Regional Council of Governments (SCRCOG) applied for and has been awarded a \$29,300 State Regional Performance Incentive Program Grant to fund the infrastructure costs associated with connecting the SCRCOG offices to the Nutmeg Network, the state's fiber-optic network;

Whereas: The SCRCOG Board, on July 23, 2014 authorized the Executive Director to accept and sign the Notice of Grant Award from the Connecticut Office of Policy and Management;

Whereas: SCRCOG has received a copy of the fully-executed Notice of Grant Award from the Connecticut Office of Policy and Management; and

Whereas: Fiber Technologies Networks, LLC is the state-contracted vendor for fiber services and has provided SCRCOG with a Nutmeg Network connection cost, within the amount specified in the grant award, for the SCRCOG offices based on State Contract #20ITZ0021MA (B-00-021).

Now, Therefore, Be It Resolved By the Council of Governments:

The South Central Regional Council of Governments' Executive Director, Carl J. Amento, is hereby authorized to negotiate and execute an agreement with Fiber Technologies Networks, LLC for SCRCOG's Nutmeg Network Connection.

Certificate:

The undersigned duly qualified and acting Secretary of the South Central Regional Council of Governments certified that the foregoing is a true and correct copy of a resolution adopted at a legally convened meeting of the SCRCOG on October 22, 2014.

Date: October 22, 2014

By: _____

Fillmore McPherson, Secretary
South Central Regional Council of Governments

Final Year of Nutmeg Network Grants for the Municipal Connection Plan and Nutmeg Network Demonstration Projects

Does your town wish to free up funds for other municipal uses?

**Don't miss out of the State funding your high speed connection to the
Information Superhighway.**

Limited Time Offer!

The State of Connecticut is paying to connect you to the Nutmeg Network. Come to your COG meeting to learn from the Network Folks, OPM and CCAT about the program, the initiatives under way right now, and the benefits this will bring to your Municipality.

OPM is accepting Grant Requests for Nutmeg Connections Year 2.

Learn how to apply.

Late Breaking News:

CRCOG and the Connecticut Center for Advanced Technology (CCAT) recently announced Nutmeg Network Demonstration Projects funded by OPM's Regional Performance Incentive Program. Learn about the Demonstration Projects focused on utilizing the network to municipal advantage:

- VOIP
- Streaming Video
- Hosting Services
- Electronic Documentation Management System
- Human Resources Portal



Executive Director's Report – October 15, 2014
Ginny Kozlowski

Brownfield Projects

- Cleanup at Hamden Incubator Site completed
- Developing Regional Brownfield Site Inventory for future EPA/DECD funding – Final ranking to be completed by October 31
- Completed brownfield assessment for reuse of an existing site for a nursery school
- Identifying funding for two projects requiring remediation

Business Development – Retention/Attraction

- Recruiting for Economic Development Project Manager
- Executing Memoranda of Understanding with Team Leaders for the Regional Action Agenda – on-going
- Preparing the 2014 annual update of the Comprehensive Economic Development Strategy
- Greater New Haven Chamber of Commerce Economic Development Council
- ICSC New York National Dealmaking Conference ▪ December 8 - December 9, 2014
- Hotel Development Projects

Marketing

- Executive Summary of the 2013 CEDS
- New REX/EDC website launched
- New Visit New Haven website under development

Media Inquiries

- Travel with Kal: Shubert, Long Wharf Theatre
- Amtrak Arrive Magazine

Media Hits

- Hartfordbusiness.com: Stars of the Industry
- Hartford Courant: CT B&B Bike Trail
- Elan Magazine: CT B&B Bike Trail
- Group Tour Magazine

SOUTH CENTRAL CONNECTICUT
Regional Planning Commission

October 2014 Action Table

Ref. #	Received	Description	Adjacent RPC Towns	Abridged RPC Action
2.1	9/11/2014	<i>Town of Clinton:</i> Proposed Zoning Regulation Amendments pertaining to Section 10.52 – Commercial Oil, Propane, Gasoline Storage Tanks	Madison	By resolution, the RPC has determined that the Proposed Zoning Regulation Amendments do not appear to cause any negative inter-municipal impacts to the towns in the South Central Region nor do there appear to be any impacts to the habitat or ecosystem of the Long Island Sound.
2.2	9/11/2014	<i>Town of Clinton:</i> Proposed Zoning Regulation Amendments pertaining to Section 24.2.61 – Manufacturing, Processing or Assembling of Goods	Madison	By resolution, the RPC has determined that the Proposed Zoning Regulation Amendments to Section 24.2.61(a) do not appear to cause any negative inter-municipal impacts to the towns in the South Central Region nor do there appear to be any impacts to the habitat or ecosystem of the Long Island Sound. There may be potential inter-municipal impacts from the proposed amendment to Section 24.2.61(b) – “Occupying more than 15,000 sq. ft. of floor area” due to the scale of potential development.
2.3	9/11/2014	<i>Town of Clinton:</i> Proposed Zoning Regulation Amendments pertaining to Vendors	Madison	By resolution, the RPC has determined that the Proposed Zoning Regulation Amendments do not appear to cause any negative inter-municipal impacts to the towns in the South Central Region nor do there appear to be any impacts to the habitat or ecosystem of the Long Island Sound.
2.4	9/11/2014	<i>Town of Clinton:</i> Proposed Zoning Regulation Amendments pertaining to Massage Establishments	Madison	By resolution, the RPC has determined that the Proposed Zoning Regulation Amendments do not appear to cause any negative inter-municipal impacts to the towns in the South Central Region nor do there appear to be any impacts to the habitat or ecosystem of the Long Island Sound.
2.5	9/26/2014	<i>Town of Prospect:</i> Proposed Zoning Regulation Amendments pertaining to Medical Marijuana Dispensary and Production Facilities	Bethany, Hamden	By resolution, the RPC has determined that the Proposed Zoning Regulation Amendments do not appear to cause any negative inter-municipal impacts to the towns in the South Central Region nor do there appear to be any impacts to the habitat or ecosystem of the Long Island Sound.

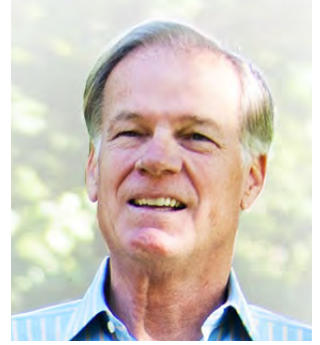
Attachment 1: Upcoming Events



CONNECTICUT'S HOUSING CHALLENGES: **Conversations on Connecticut, Housing and Homelessness**



Governor Dan Malloy & Candidate for Governor Tom Foley offer their visions for meeting the state's housing needs in a conversation with stakeholders.



SAVE THE DATE!!

WHEN:

11 a.m. to 2:30 p.m., Wednesday, October 22, 2014

WHERE:

The Lyceum, 227 Lawrence Street, Hartford, CT
(directions: <http://www.lyceumcenter.org/directions.html>)

REGISTRATION IS REQUIRED:

Please RSVP to Stephen Yenke, Partnership for Strong Communities
stephen@pschousing.org or 860-244-0066

PROGRAM:

- 11 a.m. - 12:15 p.m.: Candidate for Governor Tom Foley Vision for Connecticut and Views on Housing and Homelessness; Discussion with Stakeholders
- 12:15 p.m. - 1 p.m.: Break (light lunch available)
- 1 p.m. - 2:15 p.m.: Governor Dan Malloy Vision for Connecticut and Views on Housing and Homelessness; Discussion with Stakeholders

FOR MORE INFORMATION:

David Fink, Policy Director
Partnership for Strong Communities
david@pschousing.org or 860-244-0066

Shaping Our Region's Future

Understanding the Issues That Will Define
Strong Communities and Economic Success in the 21st Century



SIEMENS



Regional Plan Association Connecticut Forums 4th Regional Plan Preparation

A Panel of Experts Outlines the Key Issues Facing The Region...
and A Thoughtful Audience Responds!!

The concerns that will impact opportunity, social equity, development, quality of life, land-use and growth throughout the New York—Connecticut—New Jersey region over the next three decades:

What type of transportation system will foster healthy growth?

What housing options will ensure affordability, economic development and a robust quality of life?

How can we build strong neighborhoods and community services?

How should we promote energy savings and environmental quality while mitigating the impacts of climate change?

How can we shape government to meet needs, ensure equity and promote progress?

REGISTER TODAY!!

October 29th
at The Lyceum, Hartford
10 a.m. to 12:30 p.m.

November 20th
at Pequot Library, Southport
10 a.m. to 12:30 p.m.

To register, email Stephen Yenke at stephen@pschousing.org. Please specify which forum you would like to attend.

For more information:
David Fink, Partnership for Strong Communities
david@pschousing.org, 860-244-0066.

Partners:



The Business Council
of Fairfield County
Strengthening Businesses. Strengthening Communities.



Promoting Public Health in Connecticut Since 1916



A nonpartisan public policy and research office of the Connecticut General Assembly



Transit for Connecticut
Helping People, the Economy and the Environment



Connecticut Fund for the Environment
and the South Central Regional Council
of Governments, in partnership with
the CT Forest and Park Association,
request your participation at a
Best Practices for Municipal Tree
Maintenance Workshop

When: Tuesday, December 2nd, 8:30-11:30am

Where: Ceremonial Courtroom in the School of
Law Center on Quinnipiac University's North
Haven Campus, 370 Bassett Road.

Agenda (Tentative)

Continental Breakfast/Registration (8:30-9:00)

Welcome (9:00-9:10)

Presentations (9:10-10:10)

- Why Alternatives Make Sense and the Role of CT DEEP
- Benefits of Trees
- Local Experiences

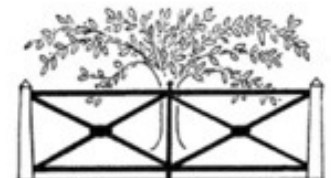
Break (10:10-10:20)

Presentation by a Tree Warden (10:20-10:40)

Breakout Sessions & Discussion (10:40-11:10)

Wrap up and Closing Remarks (11:10-11:15)

Thank you to those additional organizations involved in this effort:
Colleen Murphy-Dunning - Director of New Haven Urban Resources Initiative,
Connecticut Urban Forestry Council, and the Garden Club of New Haven



NEC FUTURE Public Meetings

The Federal Railroad Administration (FRA) is committed to an open and transparent public involvement process. A variety of outreach opportunities will be designed to facilitate meaningful dialogue as the NEC FUTURE program progresses. These include public meetings, workshops, and webinars, as well as briefings for agencies, public officials and organizations.

Open House Meetings, November 2014

The FRA is hosting nine public open house meetings from November 5-20 to introduce the alternatives that will be evaluated in the [Tier 1 Environmental Impact Statement \(EIS\)](#). The meetings will be held from 4:00 to 7:00 p.m. at the locations and dates listed below. All are welcome to attend and arrive at any time during the meeting. Each open house will consist of displays outlining the NEC FUTURE program, the Tier 1 EIS Alternatives, and the next steps in the environmental review process. FRA representatives and other NEC FUTURE team members will be available to discuss the material and answer questions.

Meeting dates and locations follow:

New York, NY	Wed. 11/5, 4-7 pm
Newark, NJ	Thurs. 11/6, 4-7 pm
New Haven, CT	Mon. 11/10, 4-7 pm
<i>Gateway Community College</i>	
<i>20 Church Street</i>	
<i>New Haven, CT 06510</i>	
Providence, RI	Wed. 11/12, 4-7 pm
Boston, MA	Thurs. 11/13, 4-7 pm
Washington, D.C.	Mon. 11/17, 4-7 pm
Baltimore, MD	Tues. 11/18, 4-7 pm
Philadelphia, PA	Wed. 11/19, 4-7 pm
Wilmington, DE	Thurs. 11/20, 4-7 pm

All meeting locations are accessible to persons with disabilities. If you require special assistance to participate, please contact the NEC FUTURE team at info@necfuture.com at least five days prior to the meeting you are interested in attending.

The FRA welcomes your involvement in the NEC FUTURE planning process. You are welcome to submit a question or comment to the NEC FUTURE team at any time via info@necfuture.com or the [comments page](#). Please return to this site often, to learn more about NEC FUTURE progress and future opportunities for public involvement.



Transit for
Connecticut

GREATER NEW HAVEN TRANSIT DISTRICT

Present

Transit Roundtable: Successes, Challenges and Your Community



Tuesday, November 18, 2014
9:00 am - 11:00 am (8:30-9:00 am registration)

Greater New Haven Transit District

1014 Sherman Avenue, Hamden, CT

Join transportation providers and advocates, state and local elected officials and staff from DOT to learn what transit service is being provided, the growing need for more service and what you can do to help improve and increase bus service in the state.

Directions From Route 15: Take exit 62, take ramp right for Whitney Ave. Bear right onto Whitney Ave, Exxon on the corner. Keep straight onto CT-10 / Whitney Ave. Turn left onto School St. Road name changes to Hamden Hills Rd. Road name changes to Sherman Lane. Turn right onto Sherman Ave.

From I-91 S: At exit 10, take ramp right for CT-40 toward Hamden / Mt. Carmel. Bear right onto CT-10 / Whitney Ave. Turn left onto Sherman Ave.

From I-91 N: At exit 13, take ramp left for Wharton Brook Connector toward Wallingford / North Haven. Make a U-turn to stay on Wharton Brook Connector. Make a U-turn to stay on Wharton Brook Connector. Take ramp right for I-91 South toward New Haven. At exit 10, take ramp right for CT-40 toward Hamden / Mt. Carmel. Bear right onto CT-10 / Whitney Ave. Turn left onto Sherman Ave.

From I-84W: At exit 27, take ramp right for I-691 East toward Meriden. At exit 3, take ramp right for CT-10 toward Cheshire. Turn right onto CT-10 / Highland Ave. Turn right onto Sherman Ave.

PARKING: *Park at the back square to the left of the building as you are facing it.*

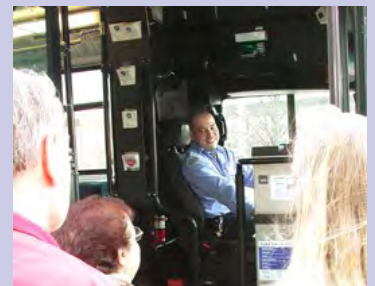
Registration:

The event is free although space is limited.

Register by phone or email: 860-693-0368
Mary.cact@yahoo.com

For further information call:

Mary Tomolonius 860-693-0368 or Karen Burnaska 203-261-9243



DEEP Public Hearing: Proposed Changes to the Municipal Storm Water Permit (MS4)

The Department of Energy and Environmental Protection (DEEP) will hold a public hearing on the proposed changes to the Municipal Storm Water Permit (MS4) on **Wednesday, December 17th at 10am** in the Phoenix Auditorium at DEEP. As you know the changes as currently written impose numerous unfunded mandates on municipalities. Your input along with the specific fiscal impact to your town is important. Please provide COST with this information as soon as possible and let us know if you are interested in testifying.

Attachment 2: Grants

Bus and Bus Related Equipment and Facilities Program (Bus Program) (Earmark)

Funding Authority:

U.S. Department of Transportation (DOT), Federal Transit Administration (FTA)

Funder Type:

Federal Government

Deadline Details:

This program does not have any current deadlines. Contact your local region Department of Transportation for more information.

CFDA Number:**Award Details:**

Funds remain available for obligation for three fiscal years. This includes the fiscal year in which the amount is made available or appropriated plus two additional years.

Historically, the program has been fully earmarked. However, if the program is not fully earmarked, unallocated or discretionary funds may be available. Such funds may be allocated at the discretion of the Secretary of Transportation.

The Federal share of eligible capital costs is 80 percent of the net capital project cost, unless the grant recipient requests a lower percentage. The Federal share may exceed 80 percent for certain projects related to the ADA, the Clean Air Act (CAA), and certain bicycle projects.

Summary:

The transit capital investment program (49 U.S.C. 5309) provides capital assistance for three primary activities:

- New and replacement buses and facilities (Bus and Bus Related Equipment and Facilities program).
- Modernization of existing rail systems (Fixed Guideway Modernization program).
- New fixed guideway systems (New Starts program and Small Starts).

This summary only deals with the Bus and Bus Related and Facilities Program.

The Bus and Bus Related Equipment and Facilities Program (Bus program) provides capital assistance for new and replacement buses, related equipment, and facilities. It is a discretionary program to supplement formula funding in both urbanized and rural areas.

Eligible capital projects include the purchasing of buses for fleet and service expansion, bus maintenance and administrative facilities, transfer facilities, bus malls, transportation centers, intermodal terminals, park-and-ride stations, acquisition of replacement vehicles, bus rebuilds, bus preventive maintenance, passenger amenities such as passenger shelters and bus stop signs, accessory and miscellaneous equipment such as mobile radio units, supervisory vehicles, fare boxes, computers and shop and garage equipment.

Eligibility Details:

Eligible recipients under the Bus program are States and local governments, as well as sub-recipients, such as public agencies, private companies engaged in public transportation and private non-profit organizations.

IT Classification:

Could fund technology as a primary component of the budget, if the agency receiving the grant chooses to use it for that purpose.

Funding History:

None is available.

Additional Information:

Private companies engaged in public transportation are eligible sub recipients of FTA grants. Private operators may now receive FTA funds as a pass through without competition if they are included in a program of projects submitted by the designated public authority acting as the direct recipient of a grant.

Contact:

Name	
Department	U.S. Department of Transportation
Company	Federal Transit Administration
Address	1200 New Jersey Avenue, SE
City, State, ZIP	Washington, District of Columbia, 20590
Telephone	(202) 366-4043
Fax	
Email	
URL	fta.dot.gov/grants/13094_3557.html
Misc Info	

To Clean Diesel Stakeholders:

Please see attached letter for a Clean Diesel funding opportunity. The Connecticut Department of Energy and Environmental Protection (DEEP) has received approximately \$84,000 in federal funding to reduce diesel emissions in the state. DEEP is looking for proposals from municipalities, organizations and individuals for diesel reduction projects that are environmentally and economically beneficial, while having the ability to be initiated promptly and completed quickly.

Please note that EPA expressly requires this year's proposals to be consistent with national clean diesel requirements as specified in the RFP available at <http://www.epa.gov/air/grants/rfa-epa-oar-otaq-14-05.pdf>

All interested parties must submit their proposal on the attached form, which can also be found at: http://www.ct.gov/dep/cwp/view.asp?a=2684&q=322100&depNav_GID=1619. Proposals are due by 5:00 p.m. on October 30, 2014.

If you have any questions, please contact me.

Patrice Kelly

Patrice P. Kelly
Sr. Environmental Analyst
Toxics and Mobile Sources Group
Planning & Standards Division
Bureau of Air Management
Connecticut Department of Energy and Environmental Protection
79 Elm Street, Hartford, CT 06106-5127
P: 860.424-3410 | E: Patrice.Kelly@ct.gov



www.ct.gov/deep

***Conserving, improving and protecting our natural resources and environment;
Ensuring a clean, affordable, reliable, and sustainable energy supply.***

October 9, 2014

Subject: Funds Available for Diesel Reduction Projects

Dear Interested Parties:

The Connecticut Department of Energy and Environmental Protection (DEEP) anticipates the receipt of approximately \$84,000 in new federal funds for the reduction of diesel emissions in our state. This money is authorized under the federal Diesel Emissions Reduction Act (DERA) and is administered by the U.S. Environmental Protection Agency (EPA). These funds provide an opportunity to save money and support green technologies while addressing the public health and environmental concerns posed by diesel emissions in Connecticut.

DERA and EPA require that DEEP use these funds to provide grants for projects that reduce diesel emissions. Projects must be completed by August 28, 2015. DEEP seeks grant proposals from municipalities, organizations, and individuals for diesel reduction projects that are environmentally and economically-beneficial, can be initiated promptly, and will be completed quickly.

Clean Diesel Projects Eligible for State Funding

Additional information regarding this opportunity, including definitions for key terms used below, may be found at <http://www.epa.gov/cleandiesel/prgstate.htm>. Please note that EPA expressly requires this year's proposals to be consistent with national clean diesel requirements as specified in the RFP available at <http://www.epa.gov/air/grants/rfa-epa-oar-otaq-14-05.pdf> and in the following list of eligible projects:

- Early Replacement or Repower:
 - Reimbursement for early replacement can be funded up to 25% for vehicle/equipment replacement, or up to 50% for drayage truck¹ replacement.
 - Reimbursement for repowering/engine replacement with engine configurations certified by EPA or the California Air Resources Board (CARB) can be funded up to 40%.
- Engine upgrades that are verified or certified by EPA or CARB can be funded up to 40% of the cost of the eligible upgrade.
- EPA-Verified Idle Reduction Technologies:
 - Stationary idle reduction technologies, such as shore connections and electrified truck stops, can be funded up to 25%.
 - Idle reduction technologies for locomotives can be funded up to 40%.
 - Funding for auxiliary power units and other idle reduction technologies for 2006 MY and older Class 8 trucks can be funded up to 100%, but only if EPA or CARB-verified exhaust emission controls are included on the vehicle.
- Funding for EPA-verified aerodynamic technologies and low rolling resistance tires on long haul Class 8 trucks is available up to 100%, but only if combined with EPA or CARB-verified exhaust emission control technologies on the truck.

¹ A "drayage truck" means any Class 8b in-use on-road vehicle with a gross vehicle weight rating of greater than 33,000 pounds operating on or transgressing through port or intermodal rail yard property for the purpose of loading, unloading or transporting cargo, such as containerized, bulk or break-bulk goods.

- Exhaust emission control technologies that are verified or certified by EPA or CARB can be funded up to 100%.
- Clean alternative fuel conversions can be funded up to 40%. Aftermarket alternative fuel conversion systems must be certified for the specific vehicle or engine family that is being converted, whether they are CARB or EPA-certified, provided the vehicle being converted is CARB or 50-state certified and is otherwise eligible for sale in the state of Connecticut. Additionally, conversion must meet any applicable Connecticut Department of Motor Vehicles safety policies for alternatively-fueled vehicles so as to ensure public safety.

EPA guidelines for this year's funding restrict replacement projects to those that would not have occurred through normal attrition within three years of the project start date. As a result, any application for an early replacement project must specifically identify the vehicle(s) to be replaced and must include a copy of the vehicle or fleet owner's budget plan, operating plan, standard procedures or retirement schedule to confirm that any vehicle to be replaced is eligible for the program.

DERA rules require that vehicle or technology vendors be selected through an open and competitive process that will ensure a low price for the item. The equipment owner who receives the grant is obligated to demonstrate compliance with these rules for open and competitive procurement of contractual services, replacement vehicles, and/or technologies used on the project. Award recipients also must enter into a contract with the State of Connecticut, and all recipients must comply with state and federal contracting requirements. Applicants should verify that there are no prohibitions or restrictions on the use of federal funds for the proposed project.

Proposed projects will be evaluated based on diesel emission reductions, cost effectiveness (including the applicant's ability to provide matching funds) and the potential for completion by August 28, 2015. Funding cannot be guaranteed for projects completed and invoiced after that date. Projects also will be prioritized based on the degree to which they meet the seven criteria listed below. Locational criteria are based on where the vehicle operates, which may not be the same as the vehicle owner's business address.

- Location in an EPA-designated maintenance area for particulate matter
- Location in an environmental justice community
- Proximity to transportation hubs or corridors
- Location in an urban area, as defined by the U.S. Census Bureau
- Areas that receive a disproportionate quantity of air pollution from diesel fleets, including ports, rail yards, terminals, construction sites, school bus depots/yards, and distribution centers
- Inclusion of a motor vehicle anti-idling education and outreach program
- Consistency with the transportation section of the 2013 Comprehensive Energy Strategy for Connecticut and the state's clean fuels/clean vehicles initiative

All interested parties must submit their proposal on the enclosed form, which can also be found at: http://www.ct.gov/dep/cwp/view.asp?a=2684&q=322100&depNav_GID=1619. Completed forms and inquiries should be directed to Patrice.Kelly@ct.gov, and proposals must be submitted no later than October 30, 2014.

Sincerely,



Robert J. Klee
Commissioner



Connecticut Department of
Energy & Environmental Protection

Proposals for Use of State DERA Money for Diesel Reduction Projects

INSTRUCTIONS:

Complete all sections of this form. All proposals must be received by Thursday, October 30, 2014 to be considered. Proposals should be submitted to the attention of Patrice Kelly at the Connecticut Department of Energy and Environmental Protection (DEEP) via e-mail at Patrice.Kelly@ct.gov. She can also be contacted at (860) 424-3410.

Applicant Name:					
Organization Name:					
Address:					
City:		State:		Zip Code:	
Telephone:		Fax:			
E-Mail:					

Eligible Use of Funding

This funding must be used for clean diesel projects. Please identify which of the following categories your project would qualify for:

Categories Potentially Available for Funding: Please check those that apply.

Early replacement, or repower with certified engine configurations: Replacement reimbursement is limited to 25% for vehicles and equipment, 40% for replacement of engines, and 50% for drayage trucks. ¹	<input type="checkbox"/>
Engine Upgrades: Engine upgrades using kits that are verified or certified by EPA or the California Air Resources Board (CARB) can be funded up to 40% of the cost of the eligible upgrade.	<input type="checkbox"/>
Emission Control Technologies: Retrofit technologies for emission control that are certified or verified by EPA or the CARB can be funded up to 100%. (<i>EPA's discussion of available retrofit technologies can be found at http://www.epa.gov/cleandiesel/technologies/retrofits.htm.</i>)	<input type="checkbox"/>
Idle reduction technologies that are verified by the U.S. Environmental Protection Agency (EPA): Reimbursements are limited to 25% for shore connections or electrified truck stops; and to 40% for locomotive idle reduction. Funding for idle reduction technologies for trucks is available up to 100%, but only if verified exhaust emission controls are included in the project. (<i>Discussions of EPA verified technologies, including idle reduction, can be found at http://www.epa.gov/smartway/forpartners/technology.htm.</i>)	<input type="checkbox"/>
EPA-Certified Aerodynamic Technologies: Aerodynamic technologies can be funded up to 100%, but only if combined with verified exhaust emission controls.	<input type="checkbox"/>

¹ A "drayage truck" means any Class 8b in-use on-road vehicle with a gross vehicle weight rating (GVWR) of greater than 33,000 pounds operating on or transgressing through port or intermodal rail yard property for the purpose of loading, unloading or transporting cargo, such as containerized, bulk or break-bulk goods.



Connecticut Department of Energy & Environmental Protection

Clean Alternative Fuel Conversions: Funding is available up to 40%. Aftermarket alternative fuel conversion systems must be certified for the specific vehicle or engine family that is being converted, whether they are CARB or EPA-certified, provided the vehicle being converted is CARB or 50-state certified and is otherwise eligible for sale in the state of Connecticut. Additionally, conversion must meet any applicable Connecticut Department of Motor Vehicles safety policies for alternatively fueled vehicles so as to ensure public safety. (EPA's website, at <http://www.epa.gov/otaq/consumer/fuels/altfuels/altfuels.htm>, includes a discussion of fuel conversions and links to EPA-certified conversion systems.)



Proposed Project Title:

Project Summary:

Please describe briefly the proposed project. You may add more lines if necessary. Also complete the requested information on the vehicle/engine to be replaced in this proposed project and provide a timeline in the forms below.

Class/Equipment	Engine Make	Engine Model	Engine Model Year	Horsepower	Current Fuel Type	Amount of Fuel Used Annually	Annual Miles/Hours
Duration of Project Requested:			Months	Project Start Date		Project End Date	



**Connecticut Department of
Energy & Environmental Protection**

Proposed Project Budget:

Please provide a list of the expenses for the proposed project. You may add line items as needed. In addition, please provide a brief description of each line item being proposed, i.e. "engine replacement/installation" or "2014 BrandX truck".

<u>Line Item:</u>	<u>Cost</u>
Total Project Cost:	

Early Replacement Projects:

On-Road: A copy of the owner's schedule for vehicle retirement must be attached to confirm that the vehicle to be replaced is eligible for the program.

Nonroad: Eligibility of nonroad equipment such as agricultural or construction equipment is based on remaining useful life, which has been determined by EPA and can be found in tables at: www.epa.gov/cleandiesel/documents/fy14-nonroad-remaining-useful-life.pdf.

Terms and Conditions: Vehicle/equipment owner has read and understands the following terms and conditions. **Check here:**

Vehicle/Equipment Owners are required to conduct an open and competitive procurement process for contractual services and/or technologies used on the project.

Vehicle/Equipment Owners must enter into a contract with the State of Connecticut and comply with state and federal contracting requirements.

Vehicle/Equipment Owners must agree to keep the replaced, repowered or retrofitted vehicle or equipment operational, with emission controls in place, for a minimum of three years or to replace with equipment with equal or better emissions reductions.

If the proposal includes the early replacement of a vehicle or engine, Vehicle/Equipment Owners must provide documentation that the old vehicle or engine has been rendered permanently disabled before funds are released for final payment.

If the proposal is for a vehicle replacement, which is eligible for 25% funding, or engine replacement, eligible 40% funding, or drayage truck replacement, eligible for 50% funding, Owners must provide a statement that they can secure the balance of funds and will ensure that the balance of funds comes from a source eligible to supplement this grant.

Project must be completed and paperwork submitted no later than August 28, 2015. DEEP cannot guarantee reimbursement payments for submissions after that date.



**Connecticut Department of
Energy & Environmental Protection**

Evaluation Criteria

Proposed projects should reduce diesel emissions, be cost effective (including consideration of the applicant’s ability to provide matching funds), and have potential for completion by August 28, 2015. Project ideas will be ranked according to the following criteria developed to be consistent with EPA’s priorities for this grant program and with the transportation section of the 2013 Comprehensive Energy Strategy for Connecticut, found at http://www.ct.gov/deep/lib/deep/energy/cep/2013_ces_final.pdf. To complete the ranking criteria section below, use the geographical area in which the vehicle operates; this may be different from the business address.

**Ranking Criteria:
Please check those that apply**

In an EPA-designated maintenance area for particulate matter (Fairfield or New Haven Counties) <i>(1 point)</i> ;	<input type="checkbox"/>
In an environmental justice community <i>(1 point)</i> ;	<input type="checkbox"/>
Near transportation hubs or corridors <i>(1 point)</i> ;	<input type="checkbox"/>
In a U.S. Census-defined urban area <i>(1 point)</i> ;	<input type="checkbox"/>
In an area that receives a disproportionate quantity of air pollution from diesel fleets, including ports, rail yards, terminals, construction sites, school bus depots/yards, and distribution centers; <i>(1 point)</i> ;	<input type="checkbox"/>
Includes motor vehicle anti-idling education and outreach <i>(1 point)</i> ;	<input type="checkbox"/>
Consistency with the transportation section of the 2013 Comprehensive Energy Strategy for Connecticut and the state’s clean fuels/clean vehicles initiative <i>(1 point)</i> .	<input type="checkbox"/>
Total Criteria Points Met:	

If you have claimed an anti-idling education and outreach program please describe it below.

Request for Applications

Transit-Oriented Development Planning Grant Program

The Office of Policy and Management (OPM) hereby issues this Request for Applications (RFA) in order to generate a pool of prospective transit-oriented development planning projects for consideration of funding under this competitive grant program. OPM, in consultation with the Department of Economic and Community Development (DECD), the Department of Transportation (DOT), the Department of Energy and Environmental Protection (DEEP), the Department of Housing (DOH), and the Connecticut Housing Finance Authority (CHFA), shall review applications and select TOD Planning Grant Program recipients in accordance with the provisions of this RFA and the rating criteria established in Attachment A incorporated herein. Funding is authorized under Section 2 of Public Act 14-98.

A. What is “Transit-Oriented Development”?

Section 13b-79o of the Connecticut General Statutes defines “Transit-Oriented Development” as “the development of residential, commercial and employment centers within one-half mile or walking distance of public transportation facilities, including rail and bus rapid transit and services, that meet transit supportive standards for land uses, built environment densities and walkable environments, in order to facilitate and encourage the use of those services.”

B. What are the goals of the TOD Planning Grant Program RFA process?

- (1) To identify which proposals best meet the definition of “Transit-Oriented Development” and the rating criteria established in Attachment A of this RFA, in order to be awarded grants under the TOD Planning Grant Program; and
- (2) To identify which proposals include TOD-related elements that might be suitable for other state agency-administered programs in the future.

C. What activities are eligible for funding under the TOD Planning Grant Program?

- (1) Completion of a TOD plan or station area plan of development;
- (2) Development or adoption of a TOD overlay zone;
- (3) Preparation of a development strategy and selection of a preferred development approach;
- (4) Market analysis to determine the economic viability of a project;
- (5) Financial assessment and planning related to implementation of a TOD plan or evaluation of parking requirements; or
- (6) Other activities as deemed appropriate.

D. Who may submit an application?

Only Connecticut municipalities (i.e., cities and towns) may apply for TOD Planning Grants.

E. What are the funding limits?

Grant awards under this program shall be not less than fifty thousand dollars (\$50,000) and not more than two hundred fifty thousand dollars (\$250,000). Nothing in this RFA shall preclude two or more municipalities from submitting a joint application.

F. What constitutes a complete application?

In order to apply for a grant under this program, a municipality must provide all of the following:

- (1) A formal written proposal submitted by the chief executive officer of the municipality, as outlined in Section H of this RFA;
- (2) A resolution of the respective regional planning organization (e.g., Regional Council of Governments), indicating that the member municipality’s application has regional support; and

- (3) A Certified Resolution of the municipality's legislative body authorizing the chief executive officer of the municipality to apply for a TOD Planning Grant and to designate the grant administrator.

G. Milestone dates?

- (1) October 8, 2014 (4:00 p.m.) – Deadline to submit written questions on the RFA.
- (2) October 17, 2014 – Deadline for OPM to post responses to RFA questions on its website.
- (3) November 14, 2014 (4:00 p.m.) – Deadline to submit completed application as specified in Section F of this RFA. Municipalities that need additional time to complete the Certified Resolution requirement, especially those where a Town Meeting constitutes the legislative body, must submit a draft resolution along with their formal written proposal and regional letter of support on November 14, 2014. In order for the application to be deemed complete, Certified Resolutions must be received by no later than 4:00 p.m. on December 10, 2014. In no event shall the content of any proposal be modified after November 14, 2014.
- (4) December 19, 2014 – Deadline for OPM to complete the rating and selection of TOD Planning Grant Projects and to announce grant awards.

H. What is the format for the written proposal?

- (1) A narrative description of current conditions in the existing or proposed TOD zone (i.e., transportation facilities, parking, employment, residential, commercial/retail, brownfields, building vacancy rate, parking spaces, zoning regulations, etc.);
- (2) A statement of the municipality's vision for future housing and economic development in the existing or proposed TOD zone to support access to and ridership on the existing or planned public transportation system;
- (3) A detailed description of the proposal (e.g., work plan, budget, site map, etc.) and how it relates to both the existing conditions and the future vision;
- (4) If funding is being requested to determine economic viability of a project through a market analysis, to the extent possible, an estimate of the time needed to advance the proposed project to full build-out (i.e., construction);
- (5) A description of how the proposal will support transit use and ridership growth; and
- (6) A brief assessment of what is considered to be the most critical hurdle(s) to overcome in order to realize the municipality's vision, and to what extent, if any, state agencies might be needed to facilitate a coordinated solution.

Note: Formal written proposals should be no more than 10 pages in length, excluding necessary attachments. By submitting a proposal, the applicant agrees to accept OPM's General Grant Conditions, which can be reviewed in Attachment B of this RFA.

I. How will proposals be rated and selected?

Proposals that meet the definition of "Transit-Oriented Development," or are directly supportive of such efforts, and are part of a complete application shall be rated and selected in accordance with the criteria and weighting set forth in Attachment A of this RFA.

J. Rights Reserved To The State.

The State reserves the right to award in part, to reject any and all bids in whole or in part for misrepresentation or if the proposer is in default of any prior State contract, or if the bid or proposal limits or modifies any of the terms and conditions and/or specifications of the RFA. The State also reserves the right to waive technical defect, irregularities and omissions if, in its judgment, the best interest of the State will be served.

The State reserves the right to correct inaccurate awards resulting from its clerical errors. This may include, in extreme circumstances, revoking the awarding of a grant already made to a proposer and subsequently awarding the grant to another proposer. Such action on the part of the State shall not constitute a breach of contract on the part of the State since the grant with the initial proposer is

deemed to be void *ab initio* and of no effect as if no grant ever existed between the State and the proposer.

K. *To whom must applications and related questions be submitted?*

Applications and any questions related to this RFA shall **ONLY** be submitted in writing to: Dan Morley, Office of Policy and Management, 450 Capitol Avenue MS# 54ORG, Hartford, CT 06106-1379, as well as in a Portable Document File (PDF) to: Daniel.Morley@ct.gov.

L. *Communications Notice.*

All communications with the State or any person representing the State concerning this RFA are strictly prohibited, except as permitted by this RFA. Any violation of this prohibition by proposers or their representatives may result in disqualification or other sanctions, or both.

M. *Inquiry Procedures.*

All questions regarding this RFA and submission requirements must be directed, in writing, to the Official State Contact by 4:00 PM on Wednesday, October 8, 2014. Proposers are required to limit their contact regarding this RFA to the person(s) named herein. Written responses to all questions received will be posted to the Office of Policy and Management website at <http://ct.gov/opm/rfp> and the DAS website at <http://das.ct.gov/cr1.aspx?page=12> by Friday, October 17, 2014.

N. *Minimum Submission Requirements.*

Proposals must be (1) submitted before the deadline, (2) satisfy the packaging and labeling requirements, (3) follow the required format, (4) be complete, (5) include all required forms, and (6) be duly executed. Proposals that fail to meet these minimum submission requirements may be disqualified and not reviewed further.

O. The State may amend or cancel this RFA, prior to the due date and time, if the State deems it to be necessary, appropriate or otherwise in the best interests of the State. Failure to acknowledge receipt of amendments, in accordance with the instructions contained in the amendments, may result in a firm's proposal not being considered.

P. Any costs and expenses incurred by proposers in preparing or submitting proposals are the sole responsibility of the proposer.

Q. No additions or changes to the original proposal will be allowed after submission. While changes are not permitted, clarification of proposals may be required by the State at the proposer's sole cost and expense.

R. All responses to the RFA must conform to instruction. Failure to include any required signatures, provide the required number of copies, meet deadlines, answer all questions, follow the required format, or failure to comply with any other requirements of this RFA may be considered appropriate cause for rejection of the response.

S. This RFA is not an offer and neither this RFA nor any subsequent discussions shall give rise to any commitment on the part of the State or confer any rights on any proposer unless and until a contract is fully executed by the necessary parties. The contract document will represent the entire agreement between the proposer and the State and will supersede all prior negotiations, representations or agreements, alleged or made, between the parties. The State shall assume no liability for payment of services under the terms of the grant until the successful proposer is notified that the grant has been accepted and approved by the State. The grant may be amended only by means of a written instrument signed by the State and the proposer.

Attachment A

Transit-Oriented Development Planning Grant Program

Criteria and Weighting

I. INITIAL SCREENING CRITERIA

- A. Did the applicant submit a complete application in accordance with the key milestone dates noted in Section G of this RFA?
- B. Does the proposal meet the definition of “Transit-Oriented Development”, in accordance with Section 13b-79o of the Connecticut General Statutes, or is it directly supportive of such efforts?

Any application that does not pass the Initial Screening Criteria will not be rated.

II. RATING CRITERIA

The following criteria shall be those utilized in the selection process. They are presented as a guide for the proposer in understanding the State's requirements and expectations for this project and are not necessarily presented in order of importance.

RATING CRITERIA
A. Local & Regional Supporting Actions
B. Leverages Other Funding
C. Economic & Market Viability
D. Timeline to Implementation
E. Supportive of Transit & State C&D Plan

Guidelines for Rating Eligible TOD Pilot Projects

A. Local & Regional Supporting Actions

The applicant should provide evidence of local and regional commitment to advancing TOD goals and their specific proposal(s). Any actions previously taken in support of TOD goals and proposal-specific objectives should be provided. Such actions can include, but are not limited to, zoning that encourages an appropriate scale for housing density and mixed uses to support alternative modes of travel other than automobile, property assembly, remediation activities, CEDS, etc.

B. Leverages Other Funding

The applicant should indicate if the TOD funds requested will help leverage other past or future proposed public or private funding to provide a larger economic and development impact. These can include, but are not limited to, investments or financial commitments made by private, municipal, state, federal or non-governmental organizations.

C. Economic & Market Viability

The applicant should describe the economic and market viability of TOD in the proposed project area, as well as the viability of their specific project proposal. This should include, but is not limited to, an assessment of the proposed project's potential to progress as envisioned, and to ultimately be successful.

D. Timeline to Implementation

The applicant should provide a realistic project schedule or timeline that includes, but is not limited to, the amount of time needed to implement the proposed plan. If funding is being requested to determine economic viability of a project through a market analysis, to the extent possible, the applicant should provide an estimate of the time needed to complete the market analysis, as well as to advance the proposed project to full build-out (i.e., construction).

E. Supportive of Transit & State C&D Plan

The applicant should: 1) Describe the transit-supportive qualities of the proposed project, including how the proposal will encourage use of the transit system and help grow ridership; and 2) Describe how the proposed project supports key elements of related state plans; in particular, Growth Management Principles #1-3 of the [Conservation & Development Policies Plan for Connecticut \(State C&D Plan\)](#):

- *Redevelop and Revitalize Regional Centers and Areas with Existing or Currently Planned Infrastructure*; this includes encouraging local zoning that allows for a mix of use “as-of-right” to create vibrant central places, as well as promoting supportive land uses around public transportation facilities.
- *Expand Housing Opportunities and Design Choices to Accommodate a Variety of Household Types and Needs*; this includes enhancing housing mobility and choice across income levels and promoting vibrant, mixed-income neighborhoods, as well as promoting affordable housing as part of mixed use and transit-oriented developments within walking distance to public transportation facilities.
- *Concentrate Development Around Transportation Nodes and Along Major Transportation Corridors to Support the Viability of Transportation Options*; this includes promoting compact, pedestrian-oriented, mixed use development patterns around existing and planned public transportation stations, as well as encouraging a network of pedestrian and bicycle paths and greenways that provide convenient inter- and intra-town access to the regional public transportation network.

Attachment B

Transit-Oriented Development Planning Grant Program

OPM General Grant Conditions

SECTION 1: Use of Grant Funds.

The Grantee agrees to expend the grant funds awarded pursuant to this agreement for allowable purposes only and to comply with all of the terms and conditions of the grant award and any related documents that set forth its obligations as Grantee. Grant funds shall not, without advance written approval by the Office of Policy and Management (OPM), be obligated prior to the starting date or subsequent to the end date of the grant period.

SECTION 2: Fiscal Control.

The Grantee shall maintain accounting records and establish policies and provide procedures to assure sound fiscal control, effective management, and efficient use of grant funds. The Grantee shall establish fiscal control and accounting procedures to assure proper disbursement of, and accounting for, grant funds. Accounting procedures must provide for the accurate and timely recording of receipt of funds by source, expenditures made from such funds, and unexpended balances. Controls must be adequate to insure that expenditures charged to grant activities are made for allowable purposes only.

SECTION 3: Retention of Records and Records Accessibility.

3.1 All services performed by Grantee shall be subject to the inspection and approval of OPM at all times, and Grantee shall furnish all information concerning the services.

OPM or its representatives shall have the right, at reasonable hours, to inspect or examine the part of the plant or place of business or any books, records, and other documents of Grantee or its subcontractors or subgrantees pertaining to work performed under this agreement and shall allow such representatives free access to any and all such plants, places of business, books and records. OPM or its representatives will give the Grantee or its subcontractors or subgrantees at least twenty-four (24) hours notice of such intended examination. At OPM's request, the Grantee or subcontractors or subgrantees shall provide OPM with hard copies or an electronic format of any data or information in the possession or control of the Grantee, subcontractor or subgrantee which pertains to OPM's business under this agreement.

3.2 The Grantee shall retain and maintain accurate records and documents relating to performance of services under this agreement for a minimum of three (3) years starting from the date of submission of the final expenditure report with the following qualifications and shall make them available for inspection and audit by OPM or its representative:

- a. If any litigation, claim or audit is started before the expiration date of the three-year period, the records shall be retained until all litigation, claims or audit findings involving the records have been resolved; and
- b. Records for the purchase of equipment (i.e., non-expendable, tangible personal property) acquired with grant funds shall be retained for three years after the final disposition of said property.

3.3 Any subcontractor or subgrantee under this agreement shall retain and maintain accurate records and documents relating to performance of services under this agreement for a minimum of three (3) years from the expiration of the subcontract or subgrant and shall make them available for inspection and audit by OPM or its representative.

3.4 The Grantee must incorporate this paragraph verbatim into any agreement it enters into with any subcontractor or subgrantee providing services under this agreement.

SECTION 4: Insurance.

The Grantee agrees that while performing any service specified in this grant, the Grantee shall maintain sufficient insurance (liability and/or other), according to the nature of the service to be performed, so as to “save harmless” OPM and the State of Connecticut from any insurable cause whatsoever. If requested, certificates of insurance shall be filed with OPM prior to the award of funding.

SECTION 5: Conflict of Interest.

No person who is an officer, employee, consultant or review board member of the Grantee shall participate in the selection, award or administration of a contract, subcontract, or subgrant or in the selection and supervision of an employee if a conflict of interest, real or apparent, would be involved. Such a conflict would arise when the officer, employee, consultant, review board member or any member of his/her immediate family, his/her partner, or an organization which employs, or is about to employ any of the above, has a financial interest in the entity or firm selected for the contract, subcontract, or subgrant or when the individual employee is related to any of the foregoing persons.

SECTION 6: Reports.

The Grantee shall submit such reports as OPM shall reasonably request and shall comply with all provisions regarding the submission of such reports. Reports shall include, but not be limited to, revised project narratives, revised budgets and budget narratives, progress reports, financial reports, cash requests, grantee affirmative action packets, and subgrantee packets and budgets. Cash requests may be withheld by OPM until complete and timely reports are received and approved.

SECTION 7: Funding Limitation.

Funding of this project in no way obligates OPM to fund the project in excess of this grant, beyond the period of this grant, or in future years.

SECTION 8: Revised Budget.

If the grant amount and/or the distribution of funds between categories of funds, as identified on the Notice of Grant Award, is different from the amount and/or the distribution in the grant application budget, the Grantee agrees to submit to OPM a revised budget and budget narrative equal to and in the same distribution as the grant award not later than thirty (30) days after signing of the grant. Cash requests will be withheld until the revision is received and approved.

SECTION 9: Audits.

9.1 In accordance with the following conditions, the Grantee agrees to conduct and submit to OPM two completed audit packages with management letters and corrective action plans for audits of each of the fiscal years included in the period of this grant and any amendments thereto.

9.2 If the Grantee meets the requirements of the State Single Audit Act, Sections 4-230 through 4-236, as amended, of the Connecticut General Statutes, the Grantee is required to submit a State Single Audit Report to OPM. Connecticut General Statutes § 4-231 requires those non-state entities which expended a total amount of State Financial Assistance equal to or in excess of \$300,000 in any fiscal year to have either a single audit or a program-specific audit conducted for such fiscal year. A program-specific audit may be conducted if the Grantee received State Financial Assistance from OPM for this grant and it is the only State Financial Assistance that the Grantee has received during this fiscal period. The State Single Audit Report should be filed with OPM no later than six months after the end of the audit period.

9.3 If the Grantee receives any federal funds in this grant, as identified on the Notice of Grant Award, and meets the requirements of OMB Circular A-133, Audits of State and Local Governments and Non Profit Organizations, the Grantee is required to submit an audit conducted in accordance with Generally Accepted Accounting Principles (GAAP) and/or Generally Accepted Governmental Auditing Standards (GAGAS) issued by the Comptroller General of the United States, as well as OMB Circular A-133. This circular requires those state and local governments and non-profit organizations which expended a total amount of federal financial assistance equal to or in excess of \$500,000 in any fiscal year to have a

federal single audit or a program-specific audit conducted for such fiscal year. A program-specific audit may be conducted if the Grantee receives Financial Assistance under only one federal program. For audit purposes, State or grantee match funds, as identified on the Notice of Grant Award, are subject to the same requirements as the federal monies. OMB Circular A-133 requires that the audit report be submitted by the earlier of 30 days after the date of receipt of the auditor's report(s), or 9 months after the end of the audit period.

SECTION 10: Unexpended Funds and/or Disallowed Costs.

If project costs are less than the grant, and/or any project costs have been disallowed, the Grantee agrees to return the unexpended/disallowed funds to OPM no later than sixty (60) days following closeout of the grant.

SECTION 11: Nondiscrimination and Affirmative Action.

11.1 The Grantee agrees and warrants that in the performance of the Grant Award such Grantee will not discriminate nor permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, mental retardation, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such Grantee that such disability prevents performance of the work involved, in any manner prohibited by the laws of the United States or the State of Connecticut.

11.2 The Grantee agrees to take affirmative action to insure that applicants with job-related qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, mental retardation, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such Grantee that such disability prevents performance of the work involved.

11.3 The Grantee agrees, in all solicitations or advertisements for employees placed by or on behalf of the Grantee, to state that it is an "affirmative action-equal opportunity employer" in accordance with regulations adopted by the State Commission on Human Rights and Opportunities.

11.4 The Grantee agrees and warrants that in the performance of the grant such Grantee will not discriminate or permit discrimination against any person or group of persons on the grounds of sexual orientation, in any manner prohibited by the laws of the United States or of the State of Connecticut, and that employees are treated when employed without regard to their sexual orientation.

11.5 The Grantee agrees to provide each labor union or representative of workers with which such Grantee has a collective bargaining agreement or other contract or understanding and each vendor with which such Grantee has a contract or understanding, a notice to be provided by the Commission on Human Rights and Opportunities advising the labor union or workers' representative of the Grantee's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment.

11.6 The Grantee agrees to comply with each provision of this section and Connecticut General Statutes §§ 46a-68e and 46a-68f and with each regulation or relevant order issued by the Commission on Human Rights and Opportunities pursuant to Connecticut General Statutes §§ 46a-56, 46a-68e and 46a-68f.

11.7 The Grantee agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Grantee which relate to the provisions of this section and Connecticut General Statutes § 46a-56.

11.8 If the grant is a public works contract, the Grantee agrees and warrants that the Grantee will make good faith efforts to employ minority business enterprises as subcontractors and suppliers of materials on such public works project.

11.9 Determination of the Grantee's good faith efforts shall include but shall not be limited to the following factors: The Grantee's employment and subcontracting policies, patterns and practices; affirmative advertising, recruitment and training; technical assistance activities and such other reasonable activities or efforts as the Commission on Human Rights and Opportunities may prescribe that are

designed to ensure the participation of minority business enterprises in public works projects. The Grantee shall develop and maintain adequate documentation, in a manner prescribed by the Commission, of its good faith efforts. For the purposes of this paragraph, "minority business enterprise" means any small contractor or supplier of materials fifty-one per cent or more of the capital stock, if any, or assets of which is owned by a person or persons who are active in the daily affairs of the enterprise, who have the power to direct the management and policies of the enterprise and who are members of a minority, as such term is defined in subsection (a) of Connecticut General Statutes § 32-9n; "good faith" means that degree of diligence which a reasonable person would exercise in the performance of legal duties and obligations; "good faith efforts" includes, but is not limited to, those reasonable initial efforts necessary to comply with statutory or regulatory requirements and additional or substituted efforts when it is determined that such initial efforts will not be sufficient to comply with such requirements; and "public works contract" means any agreement between any individual, firm or corporation and the State or any political subdivision of the State other than a municipality for construction, rehabilitation, conversion, extension, demolition or repair of a public building, highway or other changes or improvements in real property, or which is financed in whole or in part by the State, including, but not limited to, matching expenditures, grants, loans, insurance or guarantees.

11.10 The Grantee shall include the provisions of subsections 11.1 to 11.8, inclusive, in every subcontract or purchase order entered into in order to fulfill any obligation of a grant with the State and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission on Human Rights and Opportunities. The Grantee shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with Connecticut General Statutes § 46a-56; provided, if such Grantee becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission, the Grantee may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.

11.11 For the purposes of this entire Non-Discrimination section, "Grant Award" includes any extension or modification of the Grant Award, "Grantee" includes any successors or assigns of the Grantee, "marital status" means being single, married as recognized by the state of Connecticut, widowed, separated or divorced, and "mental disability" means one or more mental disorders, as defined in the most recent edition of the American Psychiatric Association's "Diagnostic and Statistical Manual of Mental Disorders", or a record of or regarding a person as having one or more such disorders. For the purposes of this section, "Grant" does not include a grant where each grantee is (1) a political subdivision of the state, including, but not limited to, a municipality, (2) a quasi-public agency, as defined in Conn. Gen. Stat. Section 1-120, (3) any other state, including but not limited to any federally recognized Indian tribal governments, as defined in Conn. Gen. Stat. Section 1-267, (4) the federal government, (5) a foreign government, or (6) an agency of a subdivision, agency, state or government described in the immediately preceding enumerated items (1), (2), (3), (4) or (5).

SECTION 12: Executive Orders.

12.1 This agreement is subject to the provisions of Executive Order No. Three of Governor Thomas J. Meskill regarding nondiscrimination promulgated June 16, 1971, and such Executive Order is incorporated herein by reference and made a part thereof. The parties agree to abide by said Executive Order and agree that the State Labor Commissioner shall have continuing jurisdiction in respect to contract performance in regard to nondiscrimination until the agreement is completed or terminated prior to completion. This agreement may be canceled, terminated or suspended by the State Labor Commissioner for violation of or noncompliance with said Executive Order or any state or federal law concerning nondiscrimination, notwithstanding that the Labor Commissioner is not a party to this agreement.

12.2 This agreement is subject to the provisions of Executive Order No. Seventeen of Governor Thomas J. Meskill promulgated February 15, 1973, requiring contractors and subcontractors to list employment openings with the Connecticut State Employment Service and such Executive Order is

incorporated herein by reference and made a part thereof. The parties agree to abide by said Executive Order and agree that the granting agency and the State Labor Commissioner shall have joint and several continuing jurisdiction in respect to performance in regard to listing all employment openings with the Connecticut State Employment Service. This agreement may be canceled, terminated or suspended by the granting agency or the State Labor Commissioner for violation of or noncompliance with said Executive Order No. Seventeen, notwithstanding that the Labor Commissioner is not a party to this agreement.

12.3 This agreement is subject to the provisions of Executive Order No. Sixteen of Governor John G. Rowland promulgated August 4, 1999, regarding Violence in the Workforce Prevention and, such Executive Order is incorporated herein by reference and made a part thereof. This agreement may be canceled, terminated or suspended by the State for violation of or noncompliance with said Executive Order No. Sixteen.

SECTION 13: Americans with Disabilities Act.

This section applies to those grantees, which are or will become responsible for compliance with the terms of the Americans with Disabilities Act of 1990 during the grant award period. The Grantee represents that it is familiar with the terms of this Act and that it is in compliance with the law. Failure of the Grantee to satisfy this standard either now or during the period of the grant, as it may be amended, will render the grant voidable at the option of OPM upon notice to the Grantee. The Grantee warrants that it will hold OPM and the State harmless from any liability, which may be imposed upon OPM and the State as a result of any failure of the Grantee to be in compliance with this Act.

SECTION 14: Independent Contractor.

The Grantee shall act as an independent contractor in performing this agreement, maintaining complete control over its employees and all of its subcontractors. Before hiring outside consultants or entering into contractual agreements with persons, partnerships or companies, the Grantee will notify OPM of the contractor's identity.

SECTION 15: Federal Compliance and Assurances.

If the Grantee receives any federal funds in this grant, as identified on the Notice of Grant Award, the Grantee and all its subgrantees will comply with the nondiscrimination requirement of Title VI of the Civil Rights Act of 1964; Title IX of the Education Amendments of 1972; Section 504 of the Rehabilitation Act of 1973 as amended; and the Age Discrimination Act of 1975, to the effect that no person shall, on the grounds of race, color, national origin, age, sex, or disability, be excluded from participation in, be denied the benefits of, or otherwise be subjected to discrimination under, or denied employment in connection with any program or activity funded in whole or in part with funds made available in this grant.

SECTION 16: Non-Supplanting.

16.1 If the Grantee receives any federal funds in this grant as identified on the Notice of Grant Award, the Grantee agrees that these grant funds will be used to supplement and increase, but not supplant, the level of state, local, private and federal funds that would, otherwise, be made available for this project and to serve this target population and will in no event replace such state, local, private and federal funds.

16.2 The Grantee shall not use state funds conveyed by the grant to supplant any local funds, if a municipality, or other state funds, if a state agency, which were budgeted for purposes analogous to that of the state grant funds. OPM may waive this provision upon request and for good cause shown, when it is satisfied that the reduction in local funds or other state funds, as the case may be, is due to circumstances not related to the grant.

SECTION 17: Additional Federal Conditions.

If the Grantee receives any federal funds in this grant as identified on the Notice of Grant Award, the Grantee agrees to comply with the attached Additional Federal Conditions which have been issued by the federal grantor agency to OPM and which are, hereby, made a part of this grant award.

SECTION 18: Indemnification.

The Grantee, hereby, agrees to indemnify, defend and save harmless the State of Connecticut, including, but not limited to, OPM, their respective officers, employees and agents for any breach of this agreement.

SECTION 19: Large State Contracts.

Pursuant to Connecticut General Statutes §§ 4-250 and 4-252, Contractor must present at the execution of each large state contract (having a total cost to the State of more than \$500,000 in a calendar or fiscal year) an executed gift affidavit, which Contractor shall update on an annual basis in accordance with paragraph 8 of Governor M. Jodi Rell's Executive Order No. 1. In addition, pursuant to paragraph 8 of Governor M. Jodi Rell's Executive Order No. 1, anyone who executes and files said gift affidavit shall also execute and file a campaign contribution affidavit disclosing all contributions made to campaigns of candidates for statewide public office or the General Assembly.

SECTION 20: State Contracting Standards Board.

Pursuant to paragraph 6(a) of Governor M. Jodi Rell's Executive Order No. 7C, Grantee acknowledges and accepts that, for cause, the State Contracting Standards Board may review and recommend, for OPM's consideration and final OPM determination, termination of this grant contract. "For Cause" means: (1) a violation of the State ethics laws (Chapter 10 of the Connecticut General Statutes) or Connecticut General Statutes § 4a-100 or (2) wanton or reckless disregard of any State contracting and procurement process by any person substantially involved in such contract or state contracting agency.

SECTION 21: Campaign Contribution and Solicitation Prohibitions.

For all State contracts as defined in Section 9-612 of the Connecticut General Statutes having a value in a calendar year of \$50,000 or more or a combination or series of such agreements or contracts having a value of \$100,000 or more, the authorized signatory to this agreement expressly acknowledges receipt of the State Elections Enforcement Commission's notice advising state contractors of state campaign contribution and solicitation prohibitions, and will inform its principals of the contents of the notice. See Attachment C.

SECTION 22: Non-Discrimination Certification.

Pursuant to Connecticut General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), every Grantee is required to provide the State with a non-discrimination certificate for all State contracts regardless of type, term, cost or value. The appropriate form must be submitted to the awarding State agency prior to contract execution. Copies of "nondiscrimination certification" forms that will satisfy the statutory requirements may be found on OPM's website. The applicable certification form must be signed by an authorized signatory of the Grantee.

SECTION 23: Additional Restrictions on Use of Federal Funds.

Pursuant to 18 U.S.C. § 1913 and 31 U.S.C. § 1352, Grantee understands and agrees that it cannot use any federal funds, either directly or indirectly, in support of the enactment, repeal, modification or adoption of any law, regulation or policy, at any level of government without the express prior written approval of federal government.

SECTION 24: Iran Certification.

Effective October 1, 2013, this certification must accompany any large state contract. When submitting your bid or proposal, or, if there was no bid process, prior to executing a contract, this certification must be completed by any corporation, general partnership, limited partnership, limited liability partnership, joint venture, nonprofit organization or other business organization **whose principal place of business is located outside of the United States.** United States subsidiaries of foreign

corporations are exempt. For purposes of this certification, a “foreign corporation” is one that is organized and incorporated outside the United States of America. “Large state contract” has the same meaning as provided in section 4–250 of the Connecticut General Statutes.

SECTION 25: Forum and Choice of Law.

The parties deem the Grant to have been made in the City of Hartford, State of Connecticut. Both parties agree that it is fair and reasonable for the validity and construction of the Grant to be, and it shall be, governed by the laws and court decisions of the State of Connecticut, without giving effect to its principles of conflicts of laws. To the extent that any immunities provided by Federal law or the laws of the State of Connecticut do not bar an action against the State, and to the extent that these courts are courts of competent jurisdiction, for the purpose of venue, the complaint shall be made returnable to the Judicial District of Hartford only or shall be brought in the United States District Court for the District of Connecticut only, and shall not be transferred to any other court, provided, however, that nothing here constitutes a waiver or compromise of the sovereign immunity of the State of Connecticut. The Grantee waives any objection which it may now have or will have to the laying of venue of any Claims in any forum and further irrevocably submits to such jurisdiction in any suit, action or proceeding.

SECTION 26: Special Grant Conditions.

The Grantee agrees to comply with the attached Special Grant Conditions, which have been issued in connection with this specific grant award, and which are hereby made a part of this award.

ATTACHMENT C

NOTICE TO EXECUTIVE BRANCH STATE CONTRACTORS AND PROSPECTIVE STATE CONTRACTORS OF CAMPAIGN CONTRIBUTION AND SOLICITATION BAN

This notice is provided under the authority of Connecticut General Statutes Section 9-612(g)(2), as amended by P.A. 10-1, and is for the purpose of informing state contractors and prospective state contractors of the following law (italicized words are defined below):

Campaign Contribution and Solicitation Limitations

No state contractor, prospective state contractor, principal of a state contractor or principal of a prospective state contractor, with regard to a state contract or state contract solicitation with or from a state agency in the executive branch or a quasi-public agency or a holder, or principal of a holder of a valid prequalification certificate, shall make a contribution to (i) an exploratory committee or candidate committee established by a candidate for nomination or election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee (which includes town committees).

In addition, no holder or principal of a holder of a valid prequalification certificate, shall make a contribution to (i) an exploratory committee or candidate committee established by a candidate for nomination or election to the office of State senator or State representative, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee.

On and after January 1, 2011, no state contractor, prospective state contractor, principal of a state contractor or principal of a prospective state contractor, with regard to a state contract or state contract solicitation with or from a state agency in the executive branch or a quasi-public agency or a holder, or principal of a holder of a valid prequalification certificate, shall **knowingly solicit** contributions from the state contractor's or prospective state contractor's employees or from a *subcontractor or principals of the subcontractor* on behalf of (i) an exploratory committee or candidate committee established by a candidate for nomination or election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee.

Duty to Inform

State contractors and prospective state contractors are required to inform their principals of the above prohibitions, as applicable, and the possible penalties and other consequences of any violation thereof.

Penalties for Violations

Contributions or solicitations of contributions made in violation of the above prohibitions may result in the following civil and criminal penalties:

Civil penalties—Up to \$2,000 or twice the amount of the prohibited contribution, whichever is greater, against a principal or a contractor. Any state contractor or prospective state contractor which fails to make reasonable efforts to comply with the provisions requiring notice to its principals of these prohibitions and the possible consequences of their violations may also be subject to civil penalties of up to \$2,000 or twice the amount of the prohibited contributions made by their principals.

Criminal penalties—Any knowing and willful violation of the prohibition is a Class D felony, which may subject the violator to imprisonment of not more than 5 years, or not more than \$5,000 in fines, or both.

Contract Consequences

In the case of a state contractor, contributions made or solicited in violation of the above prohibitions may result in the contract being voided.

In the case of a prospective state contractor, contributions made or solicited in violation of the above prohibitions shall result in the contract described in the state contract solicitation not being awarded to the prospective state contractor, unless the State Elections Enforcement Commission determines that mitigating circumstances exist concerning such violation.

The State shall not award any other state contract to anyone found in violation of the above prohibitions for a period of one year after the election for which such contribution is made or solicited, unless the State Elections Enforcement Commission determines that mitigating circumstances exist concerning such violation.

Definitions:

"State contractor" means a person, business entity or nonprofit organization that enters into a state contract. Such person, business entity or nonprofit organization shall be deemed to be a state contractor until December thirty-first of the year in which such contract terminates. "State contractor" does not include a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified service and full or part-time, and only in such person's capacity as a state or quasi-public agency employee.

"Prospective state contractor" means a person, business entity or nonprofit organization that (i) submits a response to a state contract solicitation by the state, a state agency or a quasi-public agency, or a proposal in response to a request for proposals by the state, a state agency or a quasi-public agency, until the contract has been entered into, or (ii) holds a valid prequalification certificate issued by the Commissioner of Administrative Services under section 4a-100. "Prospective state contractor" does not include a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified service and full or part-time, and only in such person's capacity as a state or quasi-public agency employee.

"Principal of a state contractor or prospective state contractor" means (i) any individual who is a member of the board of directors of, or has an ownership interest of five per cent or more in, a state contractor or prospective state contractor, which is a business entity, except for an individual who is a member of the board of directors of a nonprofit organization, (ii) an individual who is employed by a state contractor or prospective state contractor, which is a business entity, as president, treasurer or executive vice president, (iii) an individual who is the chief executive officer of a state contractor or prospective state contractor, which is not a business entity, or if a state contractor or prospective state contractor has no such officer, then the officer who duly possesses comparable powers and duties, (iv) an officer or an employee of any state contractor or prospective state contractor who has *managerial or discretionary responsibilities with respect*

to a state contract, (v) the spouse or a *dependent child* who is eighteen years of age or older of an individual described in this subparagraph, or (vi) a political committee established or controlled by an individual described in this subparagraph or the business entity or nonprofit organization that is the state contractor or prospective state contractor.

"State contract" means an agreement or contract with the state or any state agency or any quasi-public agency, let through a procurement process or otherwise, having a value of fifty thousand dollars or more, or a combination or series of such agreements or contracts having a value of one hundred thousand dollars or more in a calendar year, for (i) the rendition of services, (ii) the furnishing of any goods, material, supplies, equipment or any items of any kind, (iii) the construction, alteration or repair of any public building or public work, (iv) the acquisition, sale or lease of any land or building, (v) a licensing arrangement, or (vi) a grant, loan or loan guarantee. "State contract" does not include any agreement or contract with the state, any state agency or any quasi-public agency that is exclusively federally funded, an education loan, a loan to an individual for other than commercial purposes or any agreement or contract between the state or any state agency and the United States Department of Navy or the United States Department of Defense.

"State contract solicitation" means a request by a state agency or quasi-public agency, in whatever form issued, including, but not limited to, an invitation to bid, request for proposals, request for information or request for quotes, inviting bids, quotes or other types of submittals, through a competitive procurement process or another process authorized by law waiving competitive procurement.

"Managerial or discretionary responsibilities with respect to a state contract" means having direct, extensive and substantive responsibilities with respect to the negotiation of the state contract and not peripheral, clerical or ministerial responsibilities.

"Dependent child" means a child residing in an individual's household who may legally be claimed as a dependent on the federal income tax of such individual.

"Solicit" means (A) requesting that a contribution be made, (B) participating in any fund-raising activities for a candidate committee, exploratory committee, political committee or party committee, including, but not limited to, forwarding tickets to potential contributors, receiving contributions for transmission to any such committee or bundling contributions, (C) serving as chairperson, treasurer or deputy treasurer of any such committee, or (D) establishing a political committee for the sole purpose of soliciting or receiving contributions for any committee. Solicit does not include: (i) making a contribution that is otherwise permitted by Chapter 155 of the Connecticut General Statutes; (ii) informing any person of a position taken by a candidate for public office or a public official, (iii) notifying the person of any activities of, or contact information for, any candidate for public office; or (iv) serving as a member in any party committee or as an officer of such committee that is not otherwise prohibited in this section.

"Subcontractor" means any person, business entity or nonprofit organization that contracts to perform part or all of the obligations of a state contractor's state contract. Such person, business entity or nonprofit organization shall be deemed to be a subcontractor until December thirty first of the year in which the subcontract terminates. "Subcontractor" does not include (i) a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or (ii) an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified

service and full or part-time, and only in such person's capacity as a state or quasi-public agency employee.

“Principal of a subcontractor” means (i) any individual who is a member of the board of directors of, or has an ownership interest of five per cent or more in, a subcontractor, which is a business entity, except for an individual who is a member of the board of directors of a nonprofit organization, (ii) an individual who is employed by a subcontractor, which is a business entity, as president, treasurer or executive vice president, (iii) an individual who is the chief executive officer of a subcontractor, which is not a business entity, or if a subcontractor has no such officer, then the officer who duly possesses comparable powers and duties, (iv) an officer or an employee of any subcontractor who has managerial or discretionary responsibilities with respect to a subcontract with a state contractor, (v) the spouse or a dependent child who is eighteen years of age or older of an individual described in this subparagraph, or (vi) a political committee established or controlled by an individual described in this subparagraph or the business entity or nonprofit organization that is the subcontractor.

GUIDELINES

2014 Regional Performance Incentive (RPI) Program

INTRODUCTION

Connecticut's Regional Performance Incentive (RPI) program provides financial assistance to regional councils of governments (RCOGs), two or more municipalities acting through an RCOG, regional economic districts, or any combination thereof, for projects or related planning studies designed to provide cost saving service(s) to municipalities on a regional basis, or for shared information technology services. Eligible applicants may submit proposals to the Office of Policy and Management (OPM) for funding of projects.

This manual has been prepared to assist in the submission of proposals for regionalized service(s) utilizing funds from the *Regional Planning Incentive Account*.

Please call Sandra Huber, RPI Program Coordinator, at (860) 418-6293 or e-mail at: sandra.huber@ct.gov with any questions regarding the RPI Grant Program.

QUESTIONS AND ANSWERS RELATED TO THE REGIONAL PERFORMANCE INCENTIVE PROGRAM

1. **What statute provides for the Regional Performance Incentive Program?** Connecticut General Statutes Section 4-124s, as amended by Section 253 of Public Act 13-247, provides for the Regional Performance Incentive (RPI) Program.
2. **What entities may apply for RPI Grant funding?** Any of the RCOGs, two or more municipalities acting through an RCOG, regional economic districts or combinations thereof, may submit proposals for RPI funding.
3. **If an organization submits a proposal, are all member towns required to participate?** No, the towns have the option of whether to participate or not.
4. **What do towns that elect to participate in the proposed regional service have to do to be included in the proposal?** Each town must obtain a resolution from their legislative body endorsing the proposal.
5. **Is an affirmative vote by the Board of Selectman sufficient to qualify as "a resolution by the legislative body" when a town wishes to participate in the regionalized service?** Yes. For purposes of the RPI Program, "legislative body" includes "board of selectmen, town council, city council, board of alderman, board of directors, board of representatives, or board of the mayor and burgesses of a municipality".
6. **Is there a limit to the amount of funding that an organization can apply for?** No, but each proposal must be submitted on a separate proposal form.
7. **What is meant by "economies of scale"?** For purposes of the RPI Program, "economies of scale" generally refers to the potential cost savings and other operational efficiencies that can result when certain government services are performed on an inter-municipal or regional basis, as opposed to on an individual municipality basis.
8. **What is meant by "cost benefit analysis"?** Cost benefit analysis is a discipline used to assess the case for a project or proposal. Such assessments should include costs and benefits that are less easily expressed in monetary terms, (for example, environmental

GUIDELINES

2014 Regional Performance Incentive (RPI) Program

- impact), as well as those that can be expressed in monetary terms. The analysis consists of weighing the total expected costs with the total expected benefits in order to choose the best option.
9. **How does a proposal qualify for funding?** The proposed project must:
- o Be new (on a regional basis);
 - o Demonstrate cost savings;
 - o Not result in loss of any services; and
 - o Demonstrate how it will be sustainable on a regional basis, once established.
10. **Can an applicant submit more than one (1) proposal?** Yes. Each proposal should be submitted separately and each will be judged on its merits by OPM.
11. **When should proposals be submitted?** All RPI proposals, including those related to the Nutmeg Network described in #32 must be submitted to OPM by December 31, 2014. OPM will accept municipal resolutions until March 31, 2015.
12. **Where should proposals be submitted?** RPI Project proposals should be addressed to:
- State of Connecticut
Office of Policy and Management
Intergovernmental Policy Division
450 Capitol Ave., MS#54ORG
Hartford, Ct 06106-1379
Attention: RPI Program**
13. **How are grant awards to be determined?** Grant awards will be based upon the merits of the proposal and availability of funding. OPM must give priority to proposals submitted by an RCOG which include participation of all member municipalities and which
14. may increase their purchasing power or provide cost savings. Priority must also be given to proposals submitted by any economic development district.
15. **When will grant awards be announced?** Grant awards will be announced periodically as funds become available.
16. **When will funding be awarded?** Funding will be generated from specific tax revenues and will have to be accumulated. It will be distributed when available and as determined by OPM.
17. **When does the applicant receive funding?** Grant funding will be made available after the execution of the Notice of Grant Award form.
18. **When does a project begin?** A project begins the day that the Notice of Grant Award is fully executed by all parties.
19. **When does a project end?** A project ends on the end date indicated on the executed Notice of Grant Award.
20. **What should a grantee do if they are unable to complete the proposed project by the end date in the Notice of Grant Award?** OPM may grant an extension of the end date of the project provided it receives a written request for an extension within sufficient time of the end date, containing an explanation why the deadline cannot be met.
21. **What should a grantee do with surplus grant funds if a project comes in under budget?** The grantee may request a change of scope to enhance the project or to transfer the funds to another approved project that may have experienced cost overruns or

GUIDELINES

2014 Regional Performance Incentive (RPI) Program

could be expanded through the availability of additional funds.

The change of scope or transfer cannot be implemented without prior approval from OPM. Any unexpended funds remaining after the completion of a project must be returned to OPM in the absence of a change of scope or budget granted by OPM.

22. **Is there a margin within which increases/decreases in budget line items can be made without a formal request to OPM?** Yes an adjustment of up to 10%, to a maximum of \$500 can be made without pre-approval by OPM. Any such changes must still be reported to OPM as part of the quarterly grant reporting requirements.
23. **If the grantee requires a budget line item adjustment greater than 10% or \$500, what is the procedure?** The grantee must request such changes in writing to OPM with an explanation of why the change is necessary.
24. **Can a town be added to the list of participating municipalities once the Notice of Grant Award has been fully executed?** Yes, if the project can be completed within the budget and time constraints.
25. **Can a town withdraw from a project once the Notice of Grant Award has been fully executed?** Yes, OPM should be notified if and when such a withdrawal occurs; but note that it could result in the reduction of project funding or nullification of the project contract if its withdrawal renders the project ineligible for the program.
26. **Can the grantee use sole-source bidding to award contracts to sub-grantees?** No, the process should be

open and competitive, showing no preferences. Current State bid for equipment or commodities can also be utilized.

27. **How should the ownership of equipment or other assets be handled?** Towns will need to establish an inter-local agreement which delineates roles and responsibilities during the implementation and after the project is completed. Policies regarding ownership of equipment or other assets including maintenance, insurance, liability and succession will have to be developed by the participating towns with guidance from their municipal attorneys.
28. **What project costs are eligible?**
Consulting Fees, Design Fees, Engineering Fees, Construction Costs, Equipment Lease and or Purchase, Equipment Rental, Legal Expenses, Operating Expenses, Salaries & Benefits, Supplies, and Utilities.

Meals and refreshments are not eligible project costs. Note also that supplanting operating expenses with grant funding is prohibited by the *General Grant Conditions*.
29. **What kind of documentation is required for substantiation of expenses?** Detailed invoices and cancelled checks are required as substantiation of expenses charged to the project. All costs associated with an eligible project are subject to prior review and post payment audit by the Office of Policy and Management.
30. **Is this a permanently established program?** Yes, funding is to be generated by a portion of the hotel tax and the rental car tax. Initial funding

GUIDELINES

2014 Regional Performance Incentive (RPI) Program

will have to accrue before any advances of funds can occur.

31. **What is the role of the applicant organization once the project is completed and funds are expended?** After the completion of the project and final reports are submitted, there will be no State oversight of the project.

Any on-going role for the original applicant organization will have to be defined by the organization and its member municipalities and memorialized by executed, written agreements or memoranda of understanding.

32. **Are there any other requirements that applicants should be aware of?** Yes, the proposed project must be consistent with the State Plan of Conservation and Development. See also items 14 and 15 of the Special Grant Conditions which relate to proposals involving the development of proprietary software.

33. **What types of RPI proposals have received funding to date?**
Animal Control Facility
Economic Development Services
Emergency Operations Center
GIS Data Services

Website Development
IT Development and Sharing
Major Crime Squad Mobile Lab
Online Property Survey Index
Planning Studies
Planning Collaborative
Pre-Hospital Emergency Care Study
Public Works Equipment Sharing
Traffic Team
Trail Maintenance Equipment Sharing
Transfer Station Planning

Interested applicants may contact OPM to discuss whether a proposal is eligible for consideration of funding.

34. **What proposal form does an applicant use for funding for connection to and operating costs relating to the Nutmeg Network?** An individual municipality or RCOG applying for funding for connection to the Nutmeg Network must use the "Notice of Intent - Nutmeg Network Application Form RPI-2NN, specific to that purpose.

GUIDELINES

2014 Regional Performance Incentive (RPI) Program

INSTRUCTIONS

Only a COMPLETE RPI Program Proposal package will be acted upon by the Office of Policy and Management (OPM). A separate complete proposal form is required for each proposal. *Note that there is a separate form specific to proposals submitted for a grant to fund capital costs associated with connection to the Nutmeg Network.*

An RPI Proposal Form prescribed by OPM (see attached) must be completed by the applicant. All information required on the proposal form must accompany the proposal and be received by the Office of Policy and Management **no later than December 31, 2014. Municipal resolutions will be accepted until March 31, 2015.**

The Office of Policy and Management will review all proposals and make grant award determinations based on the merits of each proposal, giving weighted priority to proposals submitted by RPOs which include

participation by all member municipalities or by economic development districts, and which produce measurable economies of scale that will provide participating municipalities with desired or required services and lower the cost and tax burden of providing those services.

Once grant awards have been determined by the Office of Policy and Management, a Notice of Grant Award form will be forwarded to recipients for execution; once completed by the Grantee, the Notice of Grant Award form must be returned to the Office of Policy and Management for execution by the Secretary and a fully executed copy will be returned to the Grantee.

Grant payment will be remitted to the grantee after the Notice of Grant Award process has been finalized.

GUIDELINES

2014 Regional Performance Incentive (RPI) Program

Legislation providing for the Regional Performance Incentive Program

Excerpted from Public Act 13-247

Sec. 251. Section 4-66k of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):

(a) There is established an account to be known as the "regional [performance] planning incentive account" which shall be a separate, nonlapsing account within the General Fund. The account shall contain any moneys required by law to be deposited in the account. Moneys in the account shall be expended by the Secretary of the Office of Policy and Management in accordance with subsection (b) of this section for the purposes of [(1)] first providing funding to regional planning organizations in accordance with the provisions of subsections (b) and (c) of this section and then to providing grants under the regional performance incentive program established pursuant to section 4-124s, as amended by this act. [and (2) providing funding to the Voluntary Regional Consolidation Bonus Pool established pursuant to subsection (b) of section 4-124q.]

(b) For the fiscal year ending June 30, 2014, funds from the regional planning incentive account shall be distributed to each regional planning organization, as defined in section 4-124i, revision of 1958, revised to January 1, 2013, in the amount of one hundred twenty-five thousand dollars. Any regional council of governments that is comprised of any two or more regional planning organizations that voluntarily consolidate on or before December 31, 2013, shall receive an additional payment in an amount equal to the amount the regional planning organizations would have received if such regional planning organizations had not voluntarily consolidated.

(c) Beginning in the fiscal year ending June 30, 2015, and annually thereafter, funds from the regional planning incentive account shall be distributed to each regional council of governments formed pursuant to section 4-124j, as amended by this act, in the amount of one hundred twenty-five thousand dollars plus fifty cents per capita, using population information from the most recent federal decennial census. Any regional council of governments that is comprised of any two or more regional planning organizations, as defined in section 4-124i, revision of 1958, revised to January 1, 2013, that voluntarily consolidated on or before December 31, 2013, shall receive a payment in the amount of one hundred twenty-five thousand dollars for each such regional planning organization that voluntarily consolidated on or before said date.

GUIDELINES

2014 Regional Performance Incentive (RPI) Program

Sec. 253. Section 4-124s of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):

(a) For purposes of this section:

(1) "Regional council of governments" means any such council organized under the provisions of sections 4-124i to 4-124p, inclusive;

(2) "Regional council of elected officials" means any such council organized under the provisions of sections 4-124c to 4-124h, inclusive;

(3) "Regional planning agency" means an agency defined in chapter 127;

(4) "Municipality" means a town, city or consolidated town and borough;

(5) "Legislative body" means the board of selectmen, town council, city council, board of alderman, board of directors, board of representatives or board of the mayor and burgesses of a municipality; and

(6) "Secretary" means the Secretary of the Office of Policy and Management or the designee of the secretary.

(b) There is established a regional performance incentive program that shall be administered by the Secretary of the Office of Policy and Management. [On or before December 1, 2011, any regional planning agency, any regional council of elected officials, any regional council of governments, any two or more municipalities, any economic development district or any combination thereof, may submit to said secretary a proposal for joint provision of a service or services that are currently provided by municipalities within the region of such agency or council or contiguous thereto, but not currently provided on a regional basis.] On or before December 31, 2011, and annually thereafter, any [such entity] regional planning agency, any regional council of elected officials, any regional council of governments, any two or more municipalities acting through a regional planning agency, regional council of elected officials or regional council of governments, any economic development district or any combination thereof may submit a proposal to the secretary for: (1) The joint provision of any service that one or more participating municipalities of such council or agency currently provide but which is not provided on a regional basis, [or] (2) a planning study regarding the joint provision of any service on a regional basis, or (3) shared information technology services. A copy of said proposal shall be sent to the legislators representing said participating municipalities.

(c) (1) An entity specified in subsection (a) of this section shall submit each proposal in the form and manner the secretary prescribes and shall, at a minimum, provide the following information for each proposal: (A) Service

GUIDELINES

2014 Regional Performance Incentive (RPI) Program

description; (B) the explanation of the need for such service; (C) the method of delivering such service on a regional basis; (D) the organization that would be responsible for regional service delivery; (E) a description of the population that would be served; (F) the manner in which regional service delivery will achieve economies of scale; (G) the amount by which participating municipalities will reduce their mill rates as a result of savings realized; (H) a cost benefit analysis for the provision of the service by each participating municipality and by the entity submitting the proposal; (I) a plan of implementation for delivery of the service on a regional basis; (J) a resolution endorsing such proposal approved by the legislative body of each participating municipality; and (K) an explanation of the potential legal obstacles, if any, to the regional provision of the service.

(2) The secretary shall review each proposal and shall award grants for proposals the secretary determines best meet the requirements of this section. In awarding such grants, the secretary shall give priority to a proposal submitted by (A) any entity specified in subsection (a) of this section that includes participation of all of the member municipalities of such entity, and which may increase the purchasing power of participating municipalities or provide a cost savings initiative resulting in a decrease in expenses of such municipalities, allowing such municipalities to lower property taxes, and (B) any economic development district.

(d) On or before December 31, 2013, and annually thereafter, in addition to any proposal submitted pursuant to this section, any municipality or regional council of governments may apply to the secretary for a grant to fund: (1) Operating costs associated with connecting to the state-wide high speed, flexible network developed pursuant to section 4d-80, as amended by this act; and (2) capital cost associated with connecting to such network, including expenses associated with building out the internal fiber network connections required to connect to such network, provided the secretary shall make any such grant available in accordance with the two-year schedule by which the Bureau of Enterprise Systems and Technology recommends connecting each municipality and regional council of governments to such network. Any municipality or regional council of governments shall submit each application in the form and manner the secretary prescribes.

[(d)] (e) The secretary shall submit to the Governor and the joint standing committee of the General Assembly having cognizance of matters relating to finance, revenue and bonding a report on the grants provided pursuant to this section. Each such report shall include information on the amount of each grant, and the potential of each grant for leveraging other public and private investments. The secretary shall submit a report for the fiscal year commencing July 1, 2011, not later than February 1, 2012, and shall submit a report for each

GUIDELINES

2014 Regional Performance Incentive (RPI) Program

subsequent fiscal year not later than the first day of March in such fiscal year. Such reports shall include the property tax reductions achieved by means of the program established pursuant to this section.



STATE OF CONNECTICUT

OFFICE OF POLICY AND MANAGEMENT

TO: Municipal Chief Executive Officers and School Superintendents

FROM: W. David LeVasseur, Acting Undersecretary
Intergovernmental Policy Division

DATE: October 7, 2014

SUBJECT: Uniform Chart of Accounts Grant Programs – Public Act 13-247

The Office of Policy and Management (OPM) would like to make you aware of a unique opportunity for municipalities and school districts to finance all or a portion of their costs for upgrades or changes to their current financial accounting systems or the development of a financial accounting system to be shared among municipalities and school districts through a collaboration.

In a recent survey conducted of municipalities and boards of education the following was identified:

- 25% of the respondents indicated that they anticipated undergoing a change or upgrade to their local financial accounting systems in the next 24 months.
- A number of smaller sized municipalities and school districts indicated using quick-books or similar applications as their sole financial accounting system.

In conjunction with the State of Connecticut's recently developed Uniform Chart of Accounts (UCOA) for municipalities and local boards of education, OPM is accepting applications for those municipalities and school districts who would convert from their current chart of accounts to the State developed UCOA as part of their upgrade/change in financial accounting systems. For those participating in a collaboration for the development of a shared financial accounting system, the State developed UCOA must be integrated into the shared financial accounting system. Successful applicants would be provided funding for the upgrades/changes or development indicated above.

Specific guidelines and application forms for the two grant programs are attached and can be found at: <http://www.ct.gov/opm/cwp/view.asp?A=2985&Q=553560>. **The deadline for submitting applications is December 31, 2014.** Please contact Eric Lindquist of my staff at 860-418-6395 or at eric.k.lindquist@ct.gov should you have any questions.



NATIONAL DISASTER RESILIENCE COMPETITION

Fact Sheet September 2014

The U.S. Department of Housing and Urban Development (HUD), in collaboration with the Rockefeller Foundation (Rockefeller), is providing significant resources and support to communities to help them become more resilient. The National Disaster Resilience Competition (NDRC) is a two-phase process that will competitively award nearly \$1 billion in HUD Disaster Recovery funds to eligible communities.¹ The competition will help communities recover from prior disasters and improve their ability to withstand and recover more quickly from future disasters, hazards, and shocks. To complement these funds, Rockefeller will provide technical assistance and training workshops to every eligible state and local government. This support will help applicants consider future risks and vulnerabilities in planning and decision-making, and assist them in applying for HUD funding. While distinct, these two programs will work together to help communities better understand their risks and identify ways in which they can protect the long-term well-being and safety of their residents.

Overview

Cities and towns face significant economic and social risks from extreme weather events including heat waves, drought, tropical storms, high winds, storm surges, and heavy downpours. In many places, these risks are projected to increase substantially due to climate change, sea level rise, and increased development in coastal areas and other vulnerable locations. Hurricane Sandy, recent droughts, and longer wildfire seasons illustrate the rise in natural disasters and the grave consequences of such events. In spite of advances in disaster preparedness, extreme weather is now affecting the safety, health, and economies of entire regions. American communities cannot effectively reduce their risks and vulnerabilities without considering future extreme events and the effects of climate change in their everyday planning and decision-making. Following a disaster, the recovery phase presents a valuable opportunity for communities to consider how to rebuild more resiliently. The National Disaster Resilience Competition (NDRC) will make nearly \$1 billion available to communities that have been impacted by natural disasters in recent years. The competition will encourage communities to not

¹ Due to legislative program requirements, applicants will be limited to 67 states and local jurisdictions that were Presidentially-Declared Disaster Areas in 2011, 2012, and 2013 (effectively covering 48 of 50 states plus Puerto Rico and Washington, DC). See complete list at <http://portal.hud.gov/hudportal/documents/huddoc?id=ElgbAppNatReslnceComp.pdf>

only consider how they can recover from a past disaster but also how to avoid future disaster losses. Applicants will need to link or “tie-back” their proposals to the disaster from which they are recovering, as well as demonstrate how they are reducing future risks and advancing broader community development goals within in their target geographic area(s). For example, a community that lost housing during a mudslide may consider constructing homes in a safer area for the survivors. It may also find a financing mechanism for affected downstream businesses to survive the effects of the event and prepare and plan for future hazards.

The competition is structured in two phases: (1) a framing phase and (2) an implementation phase. During Phase 1, applicants will consider their disaster recovery needs, vulnerabilities, stakeholder interests, resilience, community development objectives, and investment alternatives. In Phase 2, applicants will consider and refine approaches to meet their needs and objectives identified in Phase 1. HUD will invite a subset of applicants from Phase 1 to participate in Phase 2. HUD will make funding awards at the conclusion of Phase 2.

Eligible Applicants

All states with counties that experienced a Presidentially Declared Major Disaster in 2011, 2012 or 2013 are eligible to submit applications that address unmet needs as well as vulnerabilities to future extreme events, stresses, threats, hazards, or other shocks in areas that were most impacted and distressed as a result of the effects of the Qualified Disaster. This includes 48 of 50 states plus Puerto Rico and Washington, DC. In addition, 17 local governments that have received funding under PL 113-2 are also eligible. You can find a list of eligible grantees applicants here.

Defining Resilience

A resilient community is able to resist and rapidly recover from disasters or other shocks with minimal outside assistance. Reducing current and future risk is essential to the long-term vitality, economic well-being, and security of all communities. By identifying future risk and vulnerabilities, resilient recovery planning can maximize preparedness, save lives, and bring benefits to a community long after recovery projects are complete.

This competition encourages American communities to consider not only the infrastructure needed to become resilient, but also the social and economic characteristics that allow communities to quickly bounce back after a disruption. For example, applicants need to consider how their projects will promote community development goals, ensure meaningful public engagement and participation, and build collaborations with neighboring jurisdictions and stakeholders who are critical partners in preventing, mitigating, and recovering from disasters.

The Role of Philanthropy: Rockefeller Foundation

[Rebuild by Design](#)², a competition previously funded by HUD, Rockefeller, and other philanthropic partners, demonstrated the value of combining philanthropic, government, and other community resources to increase community capacity and innovation.

The Rockefeller Foundation will support the goals of the NDRC by convening resilience workshops around the country. Teams from every eligible state and local jurisdiction will have the opportunity to gain a wide range of information and expertise on resilience. The workshops will help communities identify and assess their local risks and vulnerabilities, encourage sharing and peer-learning, and teach resilience-enhancing opportunities that will assist them in developing their proposals for the NDRC.

HUD is confident that every state and local government participating in the first phase, or framing process, of the competition will benefit from the effort and emerge with a better understanding of the risks it faces, the resilience issues it should consider when making major public investments, and how it can enhance its ability to withstand extreme events including climate change.

The Rockefeller Foundation's philanthropic and intellectual investments aim to help poor and vulnerable people by increasing the resilience of all communities. The Rockefeller Foundation — and other philanthropic organizations that may be involved in activities related to this NOFA — is independent and not under HUD's direction. The Rockefeller Foundation will not represent HUD and cannot give technical answers about how to respond to the NOFA. HUD will provide that information to potential applicants.

Objectives of the National Disaster Resilience Competition

The NDRC will build on the successful model of Rebuild by Design, which emphasized innovative designs and community engagement to develop resilient projects to recover from Hurricane Sandy. The NDRC expands the reach of that approach to a national scale. Through the NDRC, HUD seeks to meet the following six objectives:

1. Fairly and effectively allocate the CDBG Disaster Recovery funds.³
2. Create multiple examples of modern disaster recovery that applies science-based and forward-looking risk analysis to address recovery, resilience, and revitalization needs.
3. Leave a legacy of institutionalizing the implementation of thoughtful, sound, and resilient approaches to address future risks in state and local decision making and planning.

² Information about the Rebuild by Design process and innovative design proposals is available at www.rebuildbydesign.org.

³ Through Public Law 113-2, Congress appropriated \$16.0B (\$15.2B post-sequester) to HUD for the Community Development Fund for disaster relief, long-term recovery, restoration of infrastructure and housing, and economic revitalization. These funds are limited to Presidentially Declared Disasters from 2011-2013. HUD has until 9/30/17 to obligate all funds and has obligated ~\$2.2 billion to date.

4. Provide resources to help communities plan and implement disaster recovery that makes them more resilient to future threats or hazards, including extreme weather events and climate change, while also improving quality of life for existing residents and making communities more resilient to economic stresses or other shocks.
5. Fully engage and inform community stakeholders about the impacts of climate change and assist in developing pathways to resilience based on sound science.
6. Leverage investments from the philanthropic community to help communities define problems, set policy goals, explore options, and craft solutions for local and regional resilient recovery strategies.

Program Format and Timeline: HUD's NDRC and Rockefeller's Resilience Program

The two-phased NDRC competition is structured iteratively to guide each applicant through broad consideration of its disaster recovery needs, vulnerabilities, stakeholder interests, resilience and other community development objectives, and investment alternatives in Phase 1. Phase 2 calls for the reconsideration of those issues at a more granular level of detail. Phase 1 is considered to be the framing phase and will last 180 days from the announcement of the NDRC. Phase 2 is the implementation phase and will conclude 120 days after the selection of participants identified at the end of Phase 1.

Phase 1: The Framing Phase

The best applications will demonstrate how the concept (1) helps the community recover from the effects of the covered disaster, (2) advances community development objectives such as economic revitalization, and (3) improves the community's ability to absorb or rapidly recover from the effects of future extreme events, stresses, threats, hazards, or other shocks. The NDRC urges applicants to consider the full range of their community development objectives and foreseeable future conditions, including those related to climate change. They should take into consideration changing threats and hazards, including those due to climate change, and rebuild in a way that meets future needs.

In an effort to be supportive of the NDRC, the Rockefeller Foundation plans to convene resilience workshops around the country during the first 180 days of the NDRC, coinciding with Phase 1. Participation in these workshops will be offered to every eligible state and local government applicant. The Rockefeller led workshops are designed to provide a wide range of information and expertise to help communities understand resilience and identify threats, hazards, economic stresses and other potential shocks, including those resulting from climate change. The resilience workshops will offer tools and concepts that will help applicants identify and assess their situation, engage with their communities, choose resilience building opportunities, and prepare applications.

HUD will offer additional resources to help eligible applicants understand the terms of the competitive award process and support other communities and stakeholders who are interested in better understanding the concept of resilient recovery. Webinars will be offered approximately once per week during the first ten weeks. All resources, webinars, and links to Rockefeller and other training opportunities will be available through the HUD Exchange⁴.

During Phase 1, the applicant must consult with stakeholders to comprehensively frame the recovery needs, identify relevant risks and vulnerabilities, and other community development opportunities in the target geographic area(s). Every fundable application must demonstrate a logical link, or tie-back, to addressing Unmet Recovery Needs stemming from the effects of the community's Presidentially-declared major disaster from 2011, 2012, or 2013, and proposals must primarily benefit the most impacted and distressed areas related to the Qualified Disaster. During the first 45 days after announcement of the NDRC, any potential applicant may submit a written request to HUD with its "most impacted and distressed" and "unmet needs" threshold responses for the target area(s). HUD will respond within 15 working days to confirm or reject whether the information provided for each area meets the thresholds and provide specific reasons for any rejection.

Phase 2: The Implementation Phase

In Phase 2, the highest scoring applicants from Phase 1 will be invited to fully articulate a resilience-enhancing disaster recovery or revitalization project or program that addresses their identified risks, vulnerabilities, and community development opportunities. HUD will only invite an applicant to compete in Phase 2 if it has committed to taking a permanent resilience-enhancing action. For example, a state or county might coordinate or merge local plans or requirements in a way that will clearly enhance community resilience. This may be accomplished by incorporating hazard mitigation assessments into forward-looking comprehensive plans that have been updated to consider climate change impacts.

At the end of Phase 2, HUD anticipates awarding grants to multiple winning applications, with funding levels ranging from \$1,000,000 to \$500,000,000. Phase 1 applicants that are not invited to continue to Phase 2, but have met all the requirements (as detailed in the NOFA) are eligible to receive CDBG-DR funds totaling up to \$2.5 million (for applicants that are not current CDBG-DR grantees under P.L. 113-2) or \$500,000 (for applicants that are current grantees under P.L. 113-2) or the total amount of demonstrated unmet needs, whichever is less. Any such award may include reimbursement of some or all planning and general administration costs associated with completion of the Phase 1 application. HUD will award a total up to \$30 million to such applicants under a separate Notice. Any reserve pool funds not awarded at this stage will be allocated in a similar manner following Phase 2 to any applicants meeting the same criteria.

⁴ The HUD Exchange can be located at <https://hudexchange.info/cdbg-dr/resilientrecovery>

Total estimated funding for NDRC is \$999,108,000. HUD is setting aside \$181,000,000 for applications serving Hurricane Sandy Qualified Disasters in the States of New York and New Jersey and in New York City due to the catastrophic level of damage caused by Hurricane Sandy and the 2011 tropical storms.

The table below outlines the elements and estimated timeframe for HUD’s National Disaster Resilience Competition.

Estimated Dates	Major Activities
September 17 – November 3	<ul style="list-style-type: none"> • NOFA posted • 45-day window for applicants to submit written requests for threshold review • Posting of resources to HUD Exchange • Webinars delivered roughly 1x/ week by HUD staff to all interested parties
October - TBD	<ul style="list-style-type: none"> • Resources and webinars made available through HUD Exchange
Mid-March 2015	<ul style="list-style-type: none"> • Completion of Phase 1 application phase
March – June 2015	<ul style="list-style-type: none"> • HUD review phase • Announcement of successful Phase 1 proposals and invitations extended for Phase 2 applications
June – October 2015	<ul style="list-style-type: none"> • Preparation of Phase 2 applications
December 2015	<ul style="list-style-type: none"> • Announcement of Phase 2 winners • Funding levels announced for all successful applicants
Early 2016	<ul style="list-style-type: none"> • Grant agreements signed and sent to successful applicants



National Disaster Resilience Competition

BACKGROUND

This NOFA (FR-5800-N-29) will competitively award approximately \$1 billion (\$999,108,000) in supplemental disaster recovery Community Development Block Grant (CDBG-DR) funds for resilient recovery activities across the United States. HUD is setting aside \$181,000,000 for applications serving Hurricane Sandy Qualified Disasters in the states of New York and New Jersey and in New York City due to the catastrophic level of damage caused in those areas from Hurricane Sandy and tropical storms in 2011.

In 2011-2013, the President declared major disaster areas in over 2,100 counties and 48 states. In response to Hurricane Sandy, the Disaster Relief Appropriations Act, 2013 (P.L. 113-2) included \$15 billion for disaster recovery from major disasters declared in 2011, 2012, and 2013. At this time, HUD has allocated approximately \$14 billion in disaster recovery funds, by formula and to Rebuild by Design projects.

This competition is structured iteratively to guide each applicant through broad consideration in Phase 1 and reconsideration at a more granular level of detail in Phase 2.

Phase 1 is the framing phase, in which the applicant will comprehensively frame the recovery needs, relevant risks and vulnerabilities, and related community development opportunities in its target geographical area. Applicants must demonstrate a logical link or tieback to addressing Unmet Recovery Needs from disasters in 2011, 2012, or 2013.

In Phase 2, the implementation phase, the highest scoring applicants from Phase 1 will be invited to more fully articulate a resilience-enhancing disaster recovery or revitalization project or program and will compete for implementation funding. Awards for Phase 1 and 2 will be made at the conclusion of Phase 2.

For more information, please visit: <https://hudexchange.info/cdbg-dr/resilientrecovery>

TWO-PHASE COMPETITION PROCESS

Phase 1

[Framing Unmet Recovery Needs, Vulnerabilities, and Community Development Objectives]



ELIGIBLE APPLICANTS

STATES, DISTRICTS, AND TERRITORIES

1. Alaska
2. Alabama
3. Arkansas
4. Arizona
5. California
6. Colorado
7. Connecticut
8. District of Columbia
9. Delaware
10. Florida
11. Georgia
12. Hawaii
13. Iowa
14. Idaho
15. Illinois
16. Indiana
17. Kansas
18. Kentucky
19. Louisiana
20. Massachusetts
21. Maryland
22. Maine
23. Michigan
24. Minnesota
25. Missouri
26. Mississippi
27. Montana
28. North Carolina
29. North Dakota
30. Nebraska
31. New Hampshire
32. New Jersey
33. New Mexico
34. New York



CITIES AND COUNTIES

1. Birmingham, Alabama
2. Jefferson County, Alabama
3. Tuscaloosa, Alabama
4. Chicago, Illinois
5. Cook County, Illinois
6. Du Page County, Illinois
7. Jefferson Parish, Louisiana
8. New Orleans, Louisiana
9. St. Tammany Parish, Louisiana
10. Springfield, Massachusetts
11. Joplin, Missouri
12. Minot, North Dakota
13. New York City, New York
14. Moore, Oklahoma
15. Dauphin County, Pennsylvania
16. Luzerne County, Pennsylvania
17. Shelby County, Tennessee

ELIGIBILITY MAP



Phase 2

[From Framing to Implementation]



FUNDING INFORMATION

Phase 1
Phase 1 applicants that are not invited to continue to Phase 2, but which met all the requirements of the NOFA, are eligible to receive CDBG-DR funds totaling up to \$2.5 million (for applicants that are not current CDBG-DR grantees under P.L. 113-2) or \$500,000 (for current grantees under P.L. 113-2). HUD will award up to \$30 million of the total funding availability to such applicants. Any reserve pool funds not awarded at this stage will be allocated in a similar manner following Phase 2 to any applicants meeting the same criteria.

Phase 2
For Phase 2, the minimum award amount is \$1,000,000 and the maximum is \$500,000,000.

Note: These dates are tentative and subject to change in HUD's discretion.



National Disaster Resilience Competition

The Disaster Relief Appropriations Act, 2013 (PL 113-2) included Community Development Block Grant funds for disaster recovery (CDBG-DR) from major disasters declared under the Stafford Act (42 U.S.C. 4121 et seq.) in 2011, 2012, and 2013. Eligible applicants for HUD’s National Disaster Resilience Competition will be the states with qualifying disasters and those units of general local government who received CDBG-DR funding under PL 113-2 for disasters occurring in 2011-2013. There will be a total of 67 potential applicants for the National Disaster Resilience Competition. All successful applicants will need to tie their proposals to the eligible disaster from which they are recovering. Requirements for application will be published in a forthcoming Notice of Funding Availability as required by the HUD Reform Act.

Eligible Applicants

State, district and territory-level eligible applicants:

State	Number Of Major Declared Disasters	Total # of Counties With Major Declared Disasters
Alaska	7	17
Alabama	3	67
Arkansas	6	64
Arizona	1	1
California	4	15
Colorado	4	19
Connecticut	5	10
District of Columbia	4	1
Delaware	2	3
Florida	3	45
Georgia	1	26
Hawaii	2	4
Iowa	8	73
Idaho	1	6

State	Number Of Major Declared Disasters	Total # of Counties With Major Declared Disasters
Illinois	4	89
Indiana	2	36
Kansas	5	70
Kentucky	3	87
Louisiana	4	55
Massachusetts	6	14
Maryland	4	24
Maine	2	9
Michigan	1	16
Minnesota	6	73
Missouri	5	100
Mississippi	4	78
Montana	3	56
North Carolina	5	62
North Dakota	6	54

State	Number Of Major Declared Disasters	Total # of Counties With Major Declared Disasters
Nebraska	3	37
New Hampshire	7	10
New Jersey	6	21
New Mexico	7	40
New York	6	50
Ohio	3	47
Oklahoma	9	58
Oregon	3	15
Pennsylvania	5	51
Puerto Rico	3	59
Rhode Island	3	6

State	Number Of Major Declared Disasters	Total # of Counties With Major Declared Disasters
South Dakota	5	59
Tennessee	6	69
Texas	4	158
Utah	3	21
Virginia	5	117
Vermont	8	14
Washington	3	16
Wisconsin	3	19
West Virginia	5	49
Wyoming	1	16
TOTAL	209	2,106

Eligible Units of General Local Government Applicants

<u>Unit of General Local Government</u>	<u>Event</u>
Birmingham, AL	2011 Tornadoes
Jefferson County, AL	2011 Tornadoes
Tuscaloosa, AL	2011 Tornadoes
Chicago, IL	2013 Flooding
Cook County, IL	2013 Flooding
Du Page County, IL	2013 Flooding
Jefferson Parish, LA	2012 Hurricane Isaac
New Orleans, LA	2012 Hurricane Isaac
St. Tammany Parish, LA	2012 Hurricane Isaac
Springfield, MA	2011 Tornadoes
Joplin, MO	2011 Tornadoes
Minot, ND	2011 Flooding
New York City, NY	2012 Hurricane Sandy
Moore, OK	2013 Tornadoes
Dauphin County, PA	2011 Hurricane Irene/ Tropical Storm Lee
Luzerne County, PA	2011 Hurricane Irene/ Tropical Storm Lee
Shelby County, TN	2011 Severe Storms

REQUEST FOR QUALIFICATIONS (RFQ)

Connecticut Municipalities Broadband Infrastructure Upgrade and Expansion Project

Jointly issued by
the various Connecticut Municipalities, listed and detailed
in addenda to this RFQ (collectively, the “Requesting Entities” or “RE”).

The Requesting Entities (RE) wish to gather ideas and recommendations for developing, upgrading, and expanding broadband infrastructure and improving access to high-speed Internet across their communities preceding the possible issuance of a Request for Proposal or a multi-step bidding procedure. Inclusiveness being the theme of this Project, other municipalities may choose to join this RFQ during its pendency or thereafter, as practicable.

For purposes of this RFQ, the term “Respondent” means any profit or nonprofit entity or entities providing a written response to this RFQ. Responding to this RFQ is not a pre-requisite for responding to any subsequent solicitations relating to this Project. The RE welcome ideas and recommendations from all interested parties, including organizations with a commercial interest in the Project. Respondents are encouraged to collaborate in offering ideas and recommendations responding to any and all relevant aspects of this RFQ, just as it is hoped that the RE will collaborate and coordinate their activities and plans. While collective action is a possible goal of this RFQ, all references to the “RE” shall inherently mean actions taken “collectively or individually” by the separate entities, as the circumstances dictate.

The RE also request that Respondents make recommendations to aid the RE in the potential preparation of requests for proposals, including comments on and responses to questions posed in this RFQ concerning how the assets and authority of the state of Connecticut could possibly aid the implementation of this Project.

For administrative ease, the RE have adopted this generic RFQ and will use the website of the City of New Haven Bureau of Purchases for all notifications and questions to the RE. Interested firms shall prepare a response to this noncompetitive solicitation of qualifications, data, comments, or reaction through the submission of information or evidence of experience describing their capability to conduct a Project to upgrade and expand broadband access in the RE. This is not an invitation for bids or requests for proposals, therefore, no standard terms and conditions or contractual language is contained herein, nor are they required in any responses. No contract award will result from this solicitation.

To register and file all responses relating to the RFQ or any or all of the RE specifically, Respondents are encouraged to review the procedures or call the City of New Haven Bureau of Purchases, which will also publish all clarifications or changes to this document.

Proposals must be submitted in the form and manner specified in the request. Forms and specifications may be obtained from the Bureau of Purchases, website: www.cityofnewhaven.com/purchasingbureau .
Hardcopy submission: One (1) Original, with separate pricing envelope, and one CD or Thumb Drive containing the complete proposal.
Electronic submission is submitted through your login on our website: www.cityofnewhaven.com/purchasingbureau/CheckSubmissionIP.asp

Michael V. Fumiatti
Purchasing Agent
City of New Haven Bureau of Purchases
<http://www.cityofnewhaven.com/PurchasingBureauOnline/index.asp>
200 Orange Street
City of New Haven, CT 06510
(203) 946-8201
purchasing@newhavenct.net

Responses must be received no later than 11:00 AM EST on November 18, 2014.
Respondents are responsible for obtaining all RFQ materials.

Table of Contents

I.	PROCESS OVERVIEW	3
II.	GENERAL INVITATION	4
III.	OBJECTIVES OF THIS RFQ	7
IV.	GUIDANCE FOR RESPONSES	9
V.	SCOPE OF REQUESTED INFORMATION	10
VI.	PARTIES INTERESTED IN THE PROJECT	15
VII.	INFORMATION ABOUT THE RESPONDENT	15
VIII.	AREAS OF COOPERATION	16
IX.	GENERAL TERMS FOR RFQ	17
X.	APPENDIX A - Confidentiality Statement	18
X.	APPENDIX B - Profile of RE Municipality	21

I. PROCESS OVERVIEW

A. Schedule

The estimated schedule for the RFQ process is as follows:

• RFQ Release	9/15/14
• Deadline for questions from potential Respondents	10/15/14
• Responses Due	11/18/14

Any changes in the process or updates to the schedule above will be publicly posted through the City of New Haven Bureau of Purchases website:

<http://www.cityofnewhaven.com/PurchasingBureauOnline/index.asp>

B. Communications with Requesting Entities and Requests for Additional Information

In order to streamline and make this process transparent to all concerned parties, promoting a sharing of information and planning among all participants, all general communications regarding the RFQ or requests for additional information should be directed to:

Michael V. Fumiatti
Purchasing Agent
City of New Haven Bureau of Purchases
<http://www.cityofnewhaven.com/PurchasingBureauOnline/index.asp>
200 Orange Street
City of New Haven, CT 06510
(203) 946-8201
purchasing@newhavenct.net

C. Further Process

The RE anticipate that based on the information gathered through this RFQ process, they will collectively or individually proceed to a Request for Proposals that will result in binding commitments between the RE, one or more Respondents, and potentially other entities related to the upgrading and expanding broadband infrastructure in the RE as described in this RFQ.

II. GENERAL INVITATION

The RE issue this RFQ to gather ideas and recommendations for developing, upgrading, and expanding broadband infrastructure and improving access to high-speed Internet for residents, businesses, government and community anchor institutions located within the territories of the RE.

This process will inform the development of a comprehensive telecommunications infrastructure that supports economic development and other public purposes by meeting the technological needs of current and future businesses and residents, and ensuring that all who live and work in the RE will have access to reliable wired and/or wireless service at adequate speeds and affordable prices.

The RE hope that Respondents will also aid this RFQ process by commenting on and responding to questions posed below on possibilities to leverage the assets and authority of the Connecticut state government to the best advantage for this Project.

This RFQ arises from the interest of the RE in partnering to build a community-wide fiber-to-the-premises (FTTP) network with a number of highly motivated and high-caliber private sector partners over an open access fiber network to be built and managed by the partners as part of this Project. The RE seek input from all potential partners regarding the terms and conditions under which partners would construct, own, and operate a high-speed broadband data network to connect over fiber optics to residents and businesses throughout the RE communities.

The RE seek partners that will collaborate to build and operate the proposed broadband network throughout the identified service areas. In return, the RE pledge their support and facilitation of any necessary processes and potential grant opportunities, access to existing RE fiber resources and other community assets, commitment to providing right-of-way access and assistance with permitting, and access to existing the RE infrastructure and customers.

To be clear, the RE seek proposals from entities that can finance the network themselves or can identify alternative sources of funding. For purposes of this RFQ, the RE will contribute in-kind assets and support, but not funding. The private partners the RE may select as a result of a future RFP process or other means will build the network with their own funds. The RE will strongly endorse the partners, support the development of any necessary grant applications, and provide highly valuable in-kind support to enable the successful construction of the proposed network. The RE believe that this arrangement represents a fair and equitable distribution of risk and reward since the RE will provide their full cooperation and assistance with network design and build-out. The private partners will invest in the proposed open access network, receive full ownership and operational rights to the network they build, and enjoy the significant entry into the attractive telecommunications markets of the Requesting Entities.

A. The RE Seek Ideas And Comments From Respondents Regarding The Following Three Goals:

Goal 1: Create a world-leading gigabit-capable network in targeted commercial corridors, as well as in residential areas with demonstrated demand, to foster innovation, drive job creation, and stimulate economic growth.

Goal 2: Provide free or heavily-discounted 10-100 MB (minimum) Internet service over a wired or wireless network to underserved and disadvantaged residential areas across the territories and diverse demographics of the RE.

Goal 3: Deliver gigabit Internet service at prices comparable to other gigabit fiber communities across the nation.

Respondents are not required to submit responses pertaining to these goals though the RE encourage interested parties to respond to any and all relevant aspects of this RFQ.

B. The State Of Connecticut Possesses An Ultra-High Speed Statewide Fiber Network And A Regulatory Structure Providing Streamlined Access To The State's Public Rights Of Way Infrastructure

The RE are keenly aware of the momentum that has built in the last few years across the Nation in which private providers have begun to form public-private partnerships with municipalities and regional groups to construct fiber networks. It is also equally apparent that these deals are occurring in other regions of the US, yet not a single gigabit service public-private partnership project is planned anywhere in the Northeast.

The state possesses extraordinary assets such as an ultra-high speed statewide fiber network connecting all 169 municipalities with multiple nodes and gigabit access, as well as an organizational structure that provides easy access to the state's public rights of way infrastructure unparalleled in the US. Respondents are thus encouraged to fashion comments or responses to this RFQ that propose the involvement of the state's assets in the Project, perhaps also in cooperation with the Regional Councils of Government, if such a pooling of the various assets of large and small localities into a coordinated association will accomplish this Project more productively or cost effectively for the benefit of the proposed public-private partnership.

It may be in the best interests of the Respondents and the RE to form collaborative efforts among multiple governmental organizations in order to offset some of the local asset discrepancies through collaboration in this Project by large and small communities. This RFQ therefore solicits suggestions from potential providers as to

how best to leverage all of the broadband assets and personnel to be found, in the public and private sectors, to best capitalize on this Connecticut's wealth of fiber network capacity, high tech businesses, and population of knowledgeable and active Internet users.

C. The RE's Questions For Respondents To This RFQ include:

1. Market Potential:

- What role does market size, concentration and demographics play in the geographic location decision of gigabit broadband networks?
- What factors mitigate deficiencies in any of the above areas (community assets, regulatory relief, etc.)?
- What are Connecticut's strengths as a potential market for gigabit deployment; what are its weaknesses?
- Would a proposed service area that extends beyond the borders of a single municipality be more economically viable?
 - How would a larger service area impact consumer prices, offers of discounted service to underserved or disadvantaged neighborhoods or total private investment?
- Will the Partners benefit from an enhanced scope of multiple municipal build outs?
- Will standardizing the potential offerings by the municipalities in order to streamline the general effort in Connecticut benefit the largest number of municipalities, citizens, and businesses?
 - Or, will concurrent separate build outs or regional deals be the best course for the private providers, municipalities, and the state?

2. Cooperation and Incentives:

- Are there specific policy or regulatory areas that need to be coordinated across several municipalities?
- Understanding that state and municipal resources are limited, are there specific incentives that would further promote the deployment of gigabit broadband networks in the municipality, region and state?
- Describe any incentive programs that would result in broader network deployment; greater discounts and access for underserved/disadvantage populations, and or lower consumer prices?

3. Regulatory Environment and Support from State Entities:

The state of Connecticut is exploring ways in which it can facilitate the deployment and adoption of gigabit broadband service in the state. To that end, the state is interested in getting feedback from respondents to this RFI on the questions below. Answers will help inform state broadband policy.

- What are the benefits/issues associated with larger vs. smaller regions when developing a gigabit broadband?
- In what ways can the state play a beneficial role in assisting municipalities in pursuing a gigabit broadband network?
- In what ways, not already outlined in this RFQ, could the state assist in reducing the time and costs of building or expanding a gigabit network in the proposed service area?
- Are there additional steps the state could take to streamline permitting processes through state agencies?
- Is greater enforcement of existing regulations required for pole access, etc.? If so, what specifics can be offered as guidance?
- Are there specific changes to the state's laws and or regulations (i.e., pole or conduit access) that would accelerate growth in the state?
- Are there any additional suggestions you have to facilitate the building out a network? (Suggested changes should not impact the health and safety of state residents nor incur significant cost or revenue losses to the state or municipalities.)

III. OBJECTIVES OF THIS RFQ

The RE are eager to help local businesses, residents, and government obtain the resources necessary for them to succeed and thrive in order to build on the economic base already established in the RE, and to help the RE to be a global leaders and pioneers. Availability of access to a high-speed broadband network has quickly become viewed as critical urban infrastructure, similar to electricity, water, and roadways. Today, currently-available speeds do not provide an adequate competitive foundation to propel communities forward in a technology-based economy in which productivity is dependent on competitive advances based on capital projects with multiyear lead times, as with fiber network communications. The RE are committed to making the critical investments and policy modifications required today to ensure that Connecticut is prepared to meet the demands of an ever-evolving 21st century global economy.

Goal 1: Create a world-leading gigabit-capable network in residential neighborhoods and commercial corridors with demonstrated demand, to foster innovation, drive job creation, and stimulate economic growth.

A first step toward achieving affordable high-speed Internet access could include building upon existing the RE-owned fiber to focus on providing immediate access to households in locations near this fiber. In addition, this access should be provided in selected areas where there is a demonstrated demand and where construction is a cost-effective adjunct to construction related to the targeted commercial and industrial areas. Key to this effort will be leveraging existing fiber assets, deploying

new fiber where necessary, and connecting this backbone to serve new and existing residences and businesses in target locations.

The RE's primary goal is to increase penetration of affordable broadband service to foster innovation, drive job creation, and stimulate economic growth. To help the RE achieve these goals, Respondents should include in their responses ideas and recommendations for accomplishing the following objectives:

1. To improve cost-effective Internet access for residents (e.g., remote workers, home-based enterprises) and businesses that need, or will need, faster connectivity.
 - a. Provide a reliable platform for technology and research institutions that require high-speed broadband to conduct business.
 - b. Enhance educational opportunities at universities and health care delivery services.
 - c. Stimulate new product, application, and service development through increased bandwidth and network capacity.
 - d. Allow businesses to focus on growing their business, not the lack of access to needed telecommunications infrastructure.
2. Provide discounted or no-cost 10-100 MB (minimum) service in low income Census tracts of the RE to drive (re)location of select businesses to those areas.
 - a. Support the RE's economic development strategy through (re)location incentives.
 - b. Drive targeted economic development efforts in specified areas of the RE.
 - c. Position the RE as a leading destination for next-generation, technology-focused business relocation.
3. Provide discounted or no-cost gigabit service to urban anchors where gigabit service improves community development.
 - a. Provide priority access to schools, libraries, community centers, and public safety agencies, all of which face increasing demand for Internet access.
 - b. Prioritize extending fiber to anchor institutions to reduce gaps in overall network topology.
 - c. Provide access to nonprofits and other organizations that serve the community.

In addition, the RE anticipates that implementation of a gigabit-speed capable network will:

- Create a platform for technology companies to create the next-generation of products and services.

- Reduce existing and/or future government telecommunications costs while simultaneously providing faster speed and improved service.
- Leverage and coordinate ongoing infrastructure improvement efforts to reduce network development costs.
- Encourage competition and transparency.
- Provide a comprehensive broadband infrastructure that can be expanded upon to serve other areas of the RE in the future.
- Position the RE as global leaders in technology and innovation.

Goal 2: Provide free or heavily-discounted 10-100 MB (minimum) Internet service over a wired or wireless network to underserved and disadvantaged residential areas across the territories and diverse demographics of the RE.

In addition to providing cutting-edge broadband service for commercial sectors, as well as to residential areas with demonstrated demand, widespread access to reliable, affordable high-speed Internet service is critical to ensuring that all the RE residents are connected to the modern economy. Low-income and disadvantaged residents are often underserved by the RE's current broadband infrastructure and a lack of universally-available, affordable broadband service. Overcoming geographic or financial barriers to connect all the RE residents to the educational, cultural, and economic opportunities and resources they deserve is essential to empower the RE residents to be at the forefront in an increasingly borderless, digital world. Existing programs in the RE that provide very low cost connectivity options for qualifying households may offer a potential model for how to roll this out at scale.

To help the RE achieve this goal, Respondents are invited to submit ideas and recommendations on approaches to providing free or heavily discounted 10-100 MB (minimum) Internet service over a wired or wireless network to underserved or disadvantaged residential areas.

Goal 3: Deliver gigabit Internet service at prices comparable to other gigabit fiber communities across the nation.

To help the RE achieve this goal, Respondents are invited to submit ideas and recommendations on approaches for providing this level of bandwidth at prices consistent with prices charged in gigabit communities across the nation.

IV. GUIDANCE FOR RESPONSES

- Strategies included in responses to the RFQ should aim for forward-looking, assertive technology solutions that create immediate advantages for users and fertile platforms for innovations in products and services that sustain our technology leadership for years to come.
- High bandwidth in the upstream direction is considered essential.

- Some or all of a Respondent's proposed service offerings could initially be at speeds below the thresholds so long as the overall strategy furthers the goals of broadband innovation and development. While the RE share a belief that ultra-high-speed broadband networks are a pre-requisite for ongoing advances in a number of disciplines, end-users in the RE have a wide-range of networking needs and use-cases. The RE do not anticipate that all areas in the communities will receive access to similar speeds at similar times.
- Respondents should feel free to propose alternative business models and network solutions to leverage existing municipality or state investments that could be used to meet the RE's needs.

V. SCOPE OF REQUESTED INFORMATION

A. Geographic Areas

The RE invite responses that include ideas and recommendations regarding the development of a gigabit fiber network in defined geographic areas where demand for the service is likely to be sufficient, as well as expanded wired and/or wireless broadband service to underserved and disadvantaged residential areas.

Comprehensive responses will examine existing resources and evaluate the feasibility of network expansion in these areas, including the necessary steps and additional resources or policy changes required to develop an expansive network.

B. Desired Network Characteristics

The RE intend to be infrastructure and policy partners only and do not intend to act as a retail service provider or network operator. Desired network characteristics are outlined below.

1. General Requirements for All Technology Solutions

a. *Gigabit Wired, Wired or Wireless Broadband*

Customers should be able to attach any devices to the network, as long as they do not impair network performance. Customers must also be able to post and access any lawful content on non-discriminatory terms. Data must be encrypted while traversing the broadband network in order to ensure the security and privacy of customers.

The network must be characterized by a transport infrastructure that is physically and logically redundant and provide raw Layer 2 transport in addition to IPv4 and IPv6 Layer 3 routing. The infrastructure must be capable of providing 99.9% availability, be resilient with low latency and jitter, and ensure that packets sent and received at the network edges are identical. Finally, the network must permit the adoption of technologies such

as DWDM, LTE, 802.11ac and other technologies as they become standardized or gain a significant market share.

b. Gigabit Network Requirements

In addition to the general requirements outlined above, RE prefer an open network architecture that allows for multiple service providers and equal access to fiber infrastructure at reasonable wholesale cost, providing dedicated bandwidth to all customers and service providers. The network must be sufficient to support the provision of any combination of voice, video, and data services at gigabit speed, in both upstream and downstream directions, to residents in the RE.

C. Public Assets and Infrastructure

1. Extensive RE Assets Exist

As detailed in the attached addenda, each of the RE possesses a variety of public assets and infrastructure that may be leveraged to support the development and expansion of a comprehensive telecommunications network. The use of any of these assets may be subject to certain restrictions, regulations, and/or additional authorization by other agencies. Some assets may be preempted from use due to existing contractual relationships, limitations due to tax-exempt bonds or grant funding restrictions concerning private use, and some may be removed from consideration for some other reason. Any such preemption may be disclosed at any time.

The RE have detailed in the attached addenda their ownership of miles of physical infrastructure, including fiber lines, roadways, and alleys that may be leveraged for this effort. In addition, the RE have extensive networks of water mains and sewers that can potentially be leveraged to support expansion of broadband infrastructure. Planned street maintenance, including properties operated by the state DOT, could be utilized to coordinate any proposed work with preexisting construction schedules and these schedules may have certain flexibility to align with a potential network rollout.

In addition to the assets outlined below, the RE may also consider additional investments and support for broadband infrastructure expansion. The Respondents are encouraged to propose additional areas where the RE could extend support.

2. Extensive State Assets Exist

The state of Connecticut has constructed and manages the Nutmeg Network, composed of the open-access CT Education Network (the public broadband fiber network in Connecticut) and the secure Public Safety Data Network. Thus, by extending ultra-high speed fiber to all 169 municipalities of the state, the Nutmeg

Network provides network connections to many areas of the state that are currently underserved by retail Internet service.

This statewide ultra-high speed network is fully operational, open access and available at reasonable market rates, currently providing multi-gigabit service in each of the 169 municipalities through multiple nodes at community anchor institutions. Many of those municipalities have built out upon the Nutmeg Network infrastructure to link many more sites, thus reaching deeper into the communities. This is a huge advantage over many of our neighboring states and the RE are interested in leveraging that asset to the benefit of their residents, businesses, and community anchor institutions.

The statewide open-access Nutmeg Network, detailed below, is available at reasonable rates, and the private Internet service providers serving the RE may also make some of their network infrastructures available where spare capacity exists. The Nutmeg Network provides multiple nodes to all of the state's 169 towns, including nodes operating at each main municipal building, a library, the board of education location or one school facility, plus public safety nodes installed at one police station and one fire house.

The Nutmeg Network connects approximately 968 Community Anchor Institutions (CAI), including approximately 510 public safety entities, 26 tower sites, 231 K-12 schools, 146 libraries, 44 Higher Education institutions and 6 Public Television stations (CPTV). The Nutmeg Network's extensive statewide and open-access fiber network provides ultra-high speed broadband Internet access of up to 1GB to sites on 10GB rings, and overall backbone capacity of 10 and 20GB today, 400 GB capacity.

In addition to the Nutmeg Network, the state is serviced by multiple fiber and Internet service providers, such as Fibertech Networks (owner of the Nutmeg Network infrastructure), six cable operators, two local exchange carriers, Frontier Communications and Verizon, and extensive high speed wireless coverage is provided throughout the state.

Among the most robust fiber users in the state organization are its institutions of higher education. The University of Connecticut (UConn) has 30,000 students at six campuses across the state, in addition to its Health Center at Farmington with medical and dental schools. The Connecticut State Colleges & Universities system (ConnSCU), has 17 Statewide Colleges & Universities, with four state universities; 12 community colleges; and Charter Oak State College, which in total enroll about 92,000 students.

The state's Chief Data Officer maintains a web portal - <https://data.ct.gov/> that provides a uniform platform for state government agency data be published, analyzed, aggregated or combined by other agencies or private citizens, thus allow all citizens access to the state's data and information. This giant data base and

transparency portal rely on robust broadband access in order to produce the maximum benefit possible from this resource.

3. Rights-of-way, including Light and Utility Poles

If considered an optimal form of supporting wired or wireless broadband infrastructure, utility poles could potentially be leveraged for aerial placement of equipment. Depending on the type of equipment required, certain poles may require concurrent upgrades or replacement at the time of installation.

While ownership of the thousands of utility poles currently installed and maintained across the state varies, for the most part the RE do not own such structures (see attached addenda from each of the RE detailing specific assets owned). Generally, the nearly 900,000 utility poles across Connecticut are each owned by an electric and telephone company under joint ownership agreements, being namely Northeast Utilities, United Illuminating, Frontier Communications, and Verizon depending on respective service territories. Usage of these poles may thus require further negotiation with the individual utilities.

Importantly for this Project, however, all the utility poles across the state are subject to the central statutory jurisdiction of the Connecticut Public Utilities Regulatory Authority (PURA). PURA has conducted multiple investigatory dockets in recent years into the rights and obligations pertaining to the ownership of, access to, and maintenance of the infrastructure in the public rights of way, including orders concerning fiber and Internet services, make ready procedures, and the use of the statutory municipal gain. The established and firm timelines for the entire pole attachment process that the Connecticut regulator has ordered and manages, from the day that a prospective attacher files an application, to the issuance of a permit indicating that all attachment work has been completed, including “make ready” procedures, has considerably sped up the process, thus facilitating the deployment of broadband.

PURA is also due to presently order a statewide Single Pole Administrator (SPA) organization that may name each of the state’s two electric distribution companies (EDC) likely to be responsible for:

1. Serving as the single point of contact for all pole-related issues in their respective service territories;
2. Serving as the single point of contact for all parties that seek to attach new or to replace existing equipment on poles;
3. Serving as a “traffic cop” that coordinates the shifting of existing equipment on poles that is necessary to (i) eliminate double poles and (ii) facilitate repairs and replacements of existing equipment and new pole attachments; and

4. Accepting responsibility when pole-related commitments – which are within the control of each SPA in its capacity as the single pole administrator – are not met.

The EDCs already utilize a central database and software service, called NOTIFY, which will greatly aid the discharge of their future duties as the state's SPA, and all attachers will also have transparent and shared real time notice of all activities on each of the pole. This software automatically generates notices sent to each attacher of work required on each pole in accordance with the state's regulatory structure, with resultant efficiencies and accountability by all attachers. By implementing the SPA concept, PURA will create and oversee a comprehensive system for managing /coordinating the state's pole attachment process, by establishing a modern, electronic system that ensures efficiency, transparency and accountability. In this way, the state has the opportunity to continue its efforts to make Connecticut the leader in the United States for enlightened pole access on an equitable basis and reducing market entry barriers, during blue sky days and in disaster preparedness and restoration.

The state has a unique asset in its Municipal Gain law, which the RE are entitled to utilize for the support of projects such as this:

Conn. Gen. Stat. § 16-233, *Municipal and state signal wires*, as amended by P.A. No. 13-247, section 62, provides

each town, city, borough, fire district or the state Department of Transportation with a statutory right to occupy and use for any purpose, without payment therefor, one gain upon each public utility pole or in each underground communications duct system installed by a public service company within the limits of any such town, city, borough or district.

Respondents should acquaint themselves with these streamlined regulatory procedures and state statutes in order to assess the status of the administrative processes pertaining to the public rights of way infrastructure located in the public rights of way.

4. RE Single Point Of Contact Support

In any future procurement that may follow the issuance of this RFQ, each RE will provide the Respondents with a single point of contact ("SPOC"). Each of the RE SPOCs will be responsible for addressing all issues and providing coordination across agency departments, ensuring the cooperation of all agency departments with respect to the Project, and serving as a communications and troubleshooting resource for the Respondents.

5. Market Potential

In any future procurement that may follow the issuance of this RFQ, the RE anticipate partnering with sister state and local agencies to leverage overall annual IT spending, subject to agreement by those agencies, to accelerate development of gigabit speed broadband in the RE.

The RE are willing to work with Respondents to analyze potential demand in proposed service areas and underserved and disadvantaged residential areas by helping to pull together data on existing private broadband spending and demand for gigabit service. Respondents are invited to propose additional areas in the RE where network expansion supports economic growth and presents viable economic opportunity for a potential service provider. Municipalities in Connecticut also possess the discretionary authority to provide certain incentives, including property tax abatements.

VI. PARTIES INTERESTED IN THE PROJECT

There are a number of entities in the RE or across the state that may be interested in participating in the Project described in this RFQ. To the extent useful to the Respondents, the response should refer to ways in which partnerships with one or more of these types of interested parties or other allies would improve the ability of the Respondents to meet the goals of this RFQ.

The interested parties include community and state anchor institutions such as:

- Institutions of Higher Education
- Health Care Facilities
- Non-RE Government Agencies, including state agencies, including DAS/BEST (state IT management, including the state CIO), the Connecticut Education Network, Office of Consumer Counsel, the Public Utilities Regulatory Authority, the Commission on Educational Technology, and the Department of Economic and Community Development;
- Businesses
- Commercial Real Estate Owners/Developers
- Multiple Dwelling Unit Owners/Developers

VII. INFORMATION ABOUT THE RESPONDENT

The response should include general information about the Respondent, such as:

1. Background information including the following details for each company or organization represented in the response: company name, company address, company web page, description of products and services, professional strengths and abilities.

2. Identification of a lead company or organization if more than one is represented in the response.
3. Contact information for the company or group's primary contact. Respondents may provide more than one contact, but should designate only one primary contact. The following details should be included for each contact: name, title, company name, address, phone number and email address.
4. Description of the Respondent's experience financing, designing, building, provisioning and/or operating broadband networks or other major infrastructure projects.
5. Description of any test results, pilot projects or experiments involving new network technologies or network elements that might be incorporated into Respondent's proposed solution(s).
6. Sufficient information to demonstrate the Respondent's financial ability to engage in a project of the magnitude described in the response.
7. Description of any current or past contractual relationships, partnerships, collaborations or other working relationships with the RE or any of its sister agencies.

VIII. AREAS OF COOPERATION

To the extent not covered in previous sections, this section highlights ways that the RE can cooperate with Respondents to improve the business case for proposed solutions.

Specifically, this section could include:

1. **Resources and facilities:** Explanation of need for access to community assets and resources. Respondents should also address the relative importance and impact of variations in terms or more flexibility with respect to accessing the following types of community assets, facilities, and policies:
 - a. Pole Attachments
 - b. Utility conduits
 - c. Dark fiber
 - d. Backhaul or ISP partnerships involving local, statewide or regional fiber assets
 - e. Public Rights of Way
 - f. Undergrounding policies
 - g. Other community assets, facilities (including radio towers) or policies not specifically mentioned above

2. **Regulatory environment:** Description of any rules or regulations at the federal, state or local level that could impact the feasibility or underlying economics associated with the proposed solutions. Responses should also include an explanation of any forms of proposed regulatory relief, including streamlined permitting, which could improve the economic case for the business models or network solutions proposed or for other network solutions that Respondents considered but dismissed as uneconomic due to existing regulations.

3. **Contracting issues:** Explanation of any material considerations or expectations that Respondents have with respect to any of the following issues likely to be negotiated during any future Requests for Proposal:
 - a. Intellectual property
 - b. Insurance
 - c. Indemnities
 - d. Warranties
 - e. Dispute resolution
 - f. Other contracting issues not specifically listed above

4. **Other partnership or revenue opportunities:** Discussion of any other types of partnerships or working relationships between Respondents and Interested Parties which could improve the business case for Respondents to partner with one or more Interested Parties. For example, a company may work with universities and the surrounding communities to develop partnerships that allow the company to deliver voice, video and data services, and/or to pilot cutting-edge new products, recruit new employees, or enter branding and marketing agreements.

The RE encourages Respondents to be creative and suggest other types of partnerships or business opportunities of interest.

IX. GENERAL TERMS FOR RFQ

Responses to this RFQ become the exclusive property of the RE. All documents submitted in response to this RFQ may be regarded as public records and may be subject to disclosure. This RFQ is issued solely for information and planning purposes and does not constitute a solicitation. No material submitted in response to this RFQ will be returned. Respondents are solely responsible for all expenses associated with responding to this RFQ.

1. Confidentiality

All submissions are subject to the Connecticut Freedom of Information Act, Conn. Gen. Stat. § 1-200 et seq. To the extent that Respondents desire to submit proprietary information to the RE, the RE represent that they will use all reasonable

efforts to claim available exemptions under the Freedom Of Information Act ("FOIA"), and will notify the affected Respondent if an FOIA request is received in connection with that proprietary information. All materials that the Respondent believes are proprietary MUST be labeled "Proprietary, privileged and confidential." The RE cannot guarantee that efforts to claim available exemptions will be successful and the RE may be required to disclose the Respondent's information. Responses may also be shared on the same terms with representatives of the state, in order to facilitate state support of the Project.

2. Incurred Costs

The RE will not be liable in any way for any costs incurred by Respondents in replying to this RFQ, including, but not limited to, costs associated with preparing the response or participating in any site visits, demonstrations, conferences or oral presentations.

X. APPENDIX A - Confidentiality Statement

Confidentiality Statement

The undersigned, on behalf of _____ ("Respondent"), executes this Confidentiality Statement ("Statement") as of the _____ day of _____, 2014.

Background:

Respondent is considering whether to respond to the Request for Qualifications ("RFQ") issued by the Requesting Entities ("the RE"), regarding the construction and operation of a broadband network. In order to assist Respondent in its preparation of its response to the RFQ, the RE will provide Respondent with certain non-public information ("Confidential Information") about the RE's infrastructure. The Confidential Information includes but is not limited to, a map or maps of the RE, showing existing lit and dark fiber, water and sewer openings, underground freight tunnels, and other sub-surface infrastructure of the RE that, for security and safety reasons, is not publicly available.

The RE consider some or all of the Confidential Information to be exempt from disclosure pursuant to the Connecticut Freedom Of Information Act and/or other laws or regulations relating to critical infrastructure information. However, in the interest of cooperating with Respondent and its exploration of possibilities relating to the RFQ, the RE is providing the Confidential Information with the understanding that it will be used only for the purpose of responding to the RFQ, and will under no circumstances be distributed publically without the express written permission of the RE.

Respondent understands that in discussing and providing Confidential Information to Respondent, the RE does not waive any exemption(s) or privilege(s) from disclosure that it otherwise possesses under the Connecticut Freedom Of Information Act, or under any other state or federal law or regulation.

In recognition of the benefits to Respondent of receiving Confidential Information from the RE, Respondent will undertake the following obligations with respect to the Confidential Information:

Respondent's Obligations:

1. Respondent will maintain the confidentiality of the Confidential Information whether transmitted in writing, verbally, or electronically.
2. Respondent will only have a duty to protect Confidential Information if it is disclosed in a manner in which Metro Government reasonably communicated, or Respondent should reasonably have understood under the circumstances, that the disclosure should be treated as confidential, whether or not the specific designation "confidential" or any similar designation is used.
3. Respondent may use Confidential Information only for the purpose of responding to the RFQ. Respondent will use a reasonable degree of care to protect the Confidential Information and to prevent any unauthorized use or disclosure of Confidential Information. Respondent may share the Confidential Information with its employees, directors, agents or third party contractors who need to know it and if they have agreed with Respondent in writing to keep the information confidential. Respondent will promptly notify the RE of any unauthorized access to Confidential Information of which Respondent becomes aware.
4. Consistent with applicable Connecticut privacy laws, and subject to the provisions of the Connecticut Freedom Of Information Act, Respondent shall:
 - (a) protect all Confidential Information using the same practices it uses to protect trade secrets, (b) notify the RE immediately upon receipt of a request if Respondent believes a response to a request requires the disclosure of Confidential Information and (c) immediately provide the RE with a copy of any request by a third party seeking inspection and copies of such Confidential Information from Respondent. Respondent may disclose Confidential Information by subpoena or otherwise when compelled to do so by law if it provides reasonable prior notice to the RE unless a court orders that the RE not be given notice. However, the RE may oppose release at the RE's expense. Respondent must provide assistance to the RE in opposing the subpoena or request.

5. Confidential Information does not include information that (a) was known to Respondent without restriction before receipt from the RE; (b) is publicly available through no fault of Respondent; (c) is rightfully received by Respondent from a third party without a duty of confidentiality; or (d) is independently developed by Respondent.
6. Respondent will make copies of the confidential Information only as reasonably necessary for Respondent to engage in the purpose of responding to the RFQ. Because Confidential Information is confidential for public safety or security reasons, all copies of such information including the original(s) must be returned to the RE or destroyed when no longer needed for the purpose of responding to the RFQ. However, in no event are copies to be retained longer than one year from the date of this Statement unless otherwise permitted by the RE in writing.
7. Respondent understands that the RE is under no obligation to proceed with any business transaction with it.
8. Respondent understands that it does not acquire any intellectual property rights to the Confidential Information except the limited rights necessary to use the Confidential Information for the purpose of responding to the RFQ.
9. By accepting the Confidential Information, Respondent understands and acknowledges that the exclusive venue for any dispute relating to it shall be the state courts of Connecticut or the U.S. District Court for Connecticut, , and that its obligations with respect to the Confidential Information will be governed by the laws of the State of Connecticut, including its conflict-of-laws principles.
10. Respondent represents and warrants that its undersigned officer has full authority and capacity to execute this Statement on its behalf.

By: _____

Name:

Title:

X. APPENDIX B – Profile of RE Municipality

- **Demographics, Marketing and High Tech Profile of the Municipality**
 - **Demographics**
 - The area still to be built includes XX,xxx population, X,XXX households (XX % of which are in MDUs), X,XXX businesses, and XXX community anchor institutions.
 - Municipal buildings and services, such as building inspection, meter reading, and new generation e-government services such as streaming public meetings, improving PEG TV services.
 - Schools?
 - Higher education campuses: campus network description computer science, electrical, and computer engineering departments?
 - Libraries?
 - Healthcare facilities?
 - Public safety agencies (police, fire and emergency services).
 - Other community anchor institutions?
 - Home to local high-technology businesses? Such as?
 - Start-up innovation and commercialization facilities?
 - Incubator programs active in the municipality?
 - Describe any local angel investing and venture capital organizations?
- **What high technology assets does your municipality possess?**
 - What word would be best be used about your municipality to complete this phrase:
 - [Municipality] is the “Silicon _____.” (valley, corridor, prairie, alley, island)
 - What Big Data does your municipality possess; how is access to that data being developed?
 - What Big Computing exists in your municipality?
 - How could your selected private partner add Big Bandwidth to the local equation and enable unmatched connectivity to a major supercomputer from any home or business in the community?
 - What communications infrastructure steps has the municipality taken to make companies want to relocate to this area over others in this state?
- **Specify how the community has enlisted the support for this RFQ**
 - Resolutions of the City Councils in support for an RFI/FRP process
 - Adoption of a list of community values, such as open access, to facilitate the formation of any future partnership.
 - List community anchor institutions that are committed to this effort.

- What links to state government assets does your municipality have or believe could be helpful to making this Project successful?
- **Staff and municipal organizations available to work on Project**
 - Chief Information Officer?
 - Public rights of way: how are attachments and other work managed?
 - How is broadband managed organizationally by the municipality?
 - To what use can the chosen provider use municipal employees to install or maintain equipment?
- **Has a pre-commitment plan for residents and businesses been created, along the lines of the Google Fiber “fiberhood” model?**
 - What number of commitments have been achieved which these residents and businesses have contributed funds toward any potential future buildout in return for service discounts over time
 - Will these funds will be refunded if no private partner is identified.
- **What help will the municipality provide the chosen partner to maintain a local customer service presence?**
- **What help will the municipality provide the chosen partner regarding local job creation and supporting local contractors?**
- **Can the selected private partner can start generating service revenue immediately?**
 - What is the number of existing customers that a new partner can start delivering services to as soon as an agreement is completed?
 - What is the number and revenues related to municipal government customers that could be immediately transferred to the ISP’s services?
 - What use of municipal buildings will be available for this Project?
- **Technical Description of the Existing Fiber Network Infrastructure**
 - Who owns the fiber?
 - Data center facility available?
 - What arrangements will the municipality make available to the chosen partner via rental agreements for appropriate rack space and access to the telecommunications nodes that house existing core electronics?
 - What percentage of the municipality’s population currently has DSL and T-1 line service, or cable broadband service?
 - Unserved areas: XXX fiber service areas of roughly XXX homes each.
 - Maps of existing service areas, also showing unserved areas
 - maps of the proposed service areas.
 - Define these by man-made and natural boundaries and by homeowners’ association and condominium association
 - In new construction

- What backbone fiber is built?
 - Are there redundant core nodes built?
 - Do these have access to major carriers in diverse locations?
 - Is there is space in those nodes for additional core equipment?
 - What neighborhood cabinets exist, do they have redundant links to a backbone ring and FTTP fiber infrastructure in the neighborhood.
- Technical documentation of electronics, fiber, attachments, public works support available.
- **Regulatory profile of municipal rules and regulations concerning public rights of way, provision of telecommunication services**
- **Municipal financial, legal, permitting opportunities available to promote Project?**



CTrides.com

1-877- CTrides (287-4337)

info@CTrides.com

A Service of the Connecticut Department of Transportation

CTrides Program Activity Quarter 2

April—June 2014

CTrides Promotional Activities

The second quarter of 2014 featured CTrides' third annual promotional event, CTrides Week. Using the weeks before, during and after Earth Day (April 22nd) as a launchpad, CTrides planned worksite and public events and held 36 events, promoting green commuting and the approach of CTrides Week. For CTrides Week (May 12-16) commuters are invited to take at least one green ride to work during that week.

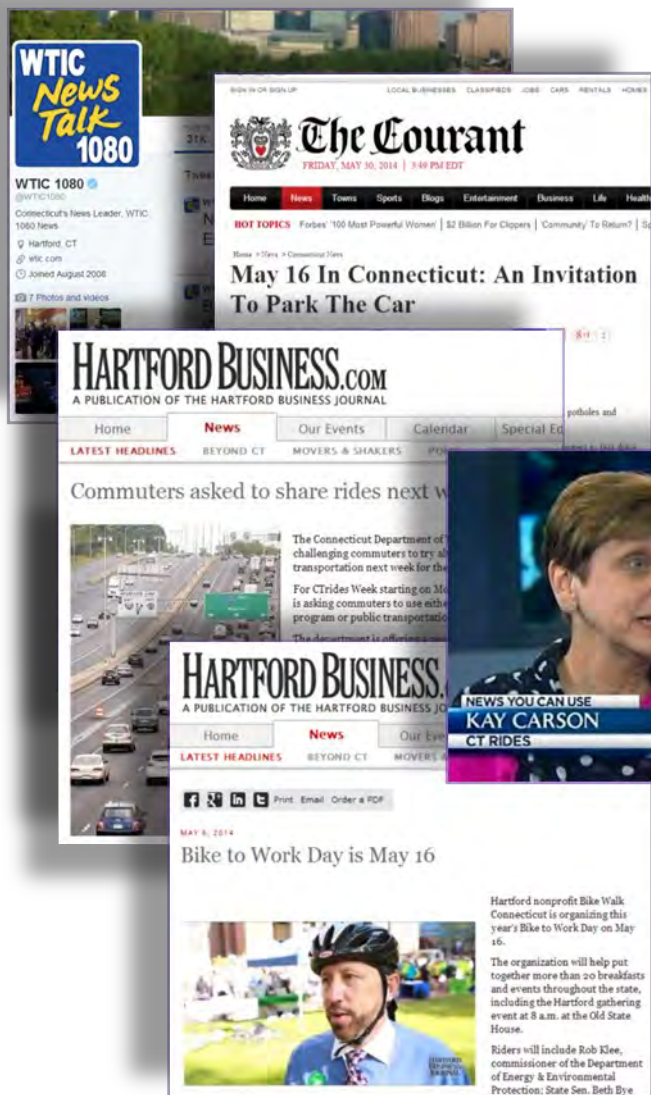
Through a strategic mix of media channels, CTrides promoted the week's activities on CTrides.com, Facebook and Twitter, along with postings on partner websites, video screens, and enewsletters. Billboards and radio ads across the state, as well as targeted digital media, provided platforms for broader public awareness.

A kick-off event for CTrides week was held at Greater Bridgeport Transportation Center, a busy hub for bus, rail and ferry service in the area. Anna Barry, the Deputy Commissioner for the Connecticut Department of Transportation, spoke about the CTDOT's commitment to CT commuters, followed by remarks from Bridgeport's Mayor Bill Finch and State Senator Andres Ayala.



Quarterly Report

April—June 2014



PR

PR for CTRides Week included a Governor's Proclamation, CT DOT Press Release and aggressive media pitching. As a result, the event was picked up by the following outlets:

Print / Online

- The Day (5/1)
- Hartford Business Journal (5/6)
- Hartford Courant (5/10)
- Connecticut Post (5/12)
- Bridgeport News (5/15)
- Hartford Business.com - CTRW and Bike to Work articles - shared on social page (5/6)

Television / Radio

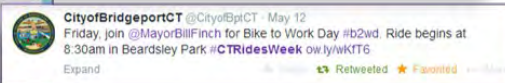
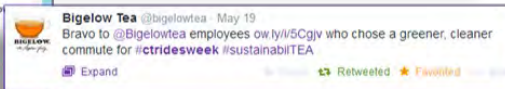
- News 12 CT (5/11) -16 Stories aired
- NBC CT In-Studio TV Interview (5/12)
- WTIC 1080 Live Radio Interview (5/9)
- FOX CT / WTIC, WGBY (PBS) - Daily morning mention during traffic segment by anchors

Web

- Greater Bridgeport Regional Council newsletter
- Norwalk Community College
- Greater Bridgeport Transit newsletter
- The Day Community Website post
- Traffic Reporter posts

Blogs

- Bigelow Tea Blog
- Beat Bike Blog
- El Sol



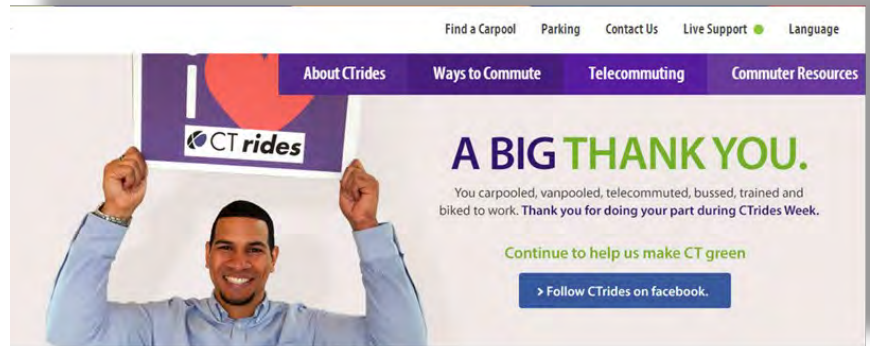
Promotional Activities (cont'd)

In total, activities for CTrides Week are summed below:

- 1192 Commuters registered 4/21-5/31
- 23 Worksite Events (see chart below right)
- 235 Partner Certificates
- 4 Public Meet & Greets
- 6 Community Bike to Work Events
- Super Commuter Stories
- Commuter Social Posts
- News Media Pick-up

Website Launch

A major initiative for the CTrides team was the complete rebuild of its website, which was about six years old. It had received a “facelift” in the 4th quarter of 2012 to update graphics, navigation, and content.



Monday	CTrides Kick-off at GBT City of Bridgeport Shipman & Goodwin Bigelow Tea Sikorsky Aircraft
Tuesday	Cigna Fusco Corporation CT Childrens Medical Center Cromwell Park & Ride Goodwin College
Wednesday	Travelers E Hart Bike to Work Union Station (NH) Town of Manchester Diageo Middlesex Community College Waterbury Hospital Mystic
Thursday	Telecommute Webinar Session #2 Department of Revenue Services Prudential ASML Windsor Park & Ride City of New Haven
Friday	Downtown Hartford Bike to Work New Britain Bike to Work City of Stamford Bike to Work Cartus Bethel Train Station Bike to Work Pratt and Whitney Conn. DOT Bike to Work

This website effort was a complete rebuild from wireframe to design, incorporating the latest technology and best practices for user experience. Special care was taken to accommodate users on mobile technology as recent analytics of the CTrides.com website showed a strong increase in access from such devices.

The site launched on May 9th, to accommodate consumers seeing the CTrides Week promotions and pre-registering their green trip before the start of the week.

Main features on the site are point-in-time snapshots of commuter data, including available rewards, miles saved by ridesharing, gallons of gas saved, number of Worksite Partners and number of CT commuters in the program.

The site also features updated visuals and graphics, updated content and user-friendly features, like online parking pass request forms.

Quarterly Report

April—June 2014



Worksite Activity

The second quarter of 2014 was busy responding to partner requests for onsite events. The demand commenced at the beginning of April in anticipation of Earth Week (the 4th week of April) and held strong through the end of June.

In addition to onsite events, each CTrides Partner received a certificate in recognition of their program participation and also their partnership level (Gold, Silver or Bronze).

During CTrides Week (May 12-16) many of our partners ran special promotions and contests, like Bigelow Tea (see photo below left).

The Outreach Team held **275 Worksite Meetings**, **123 Commuter Events** and signed up **5 new Partners**.

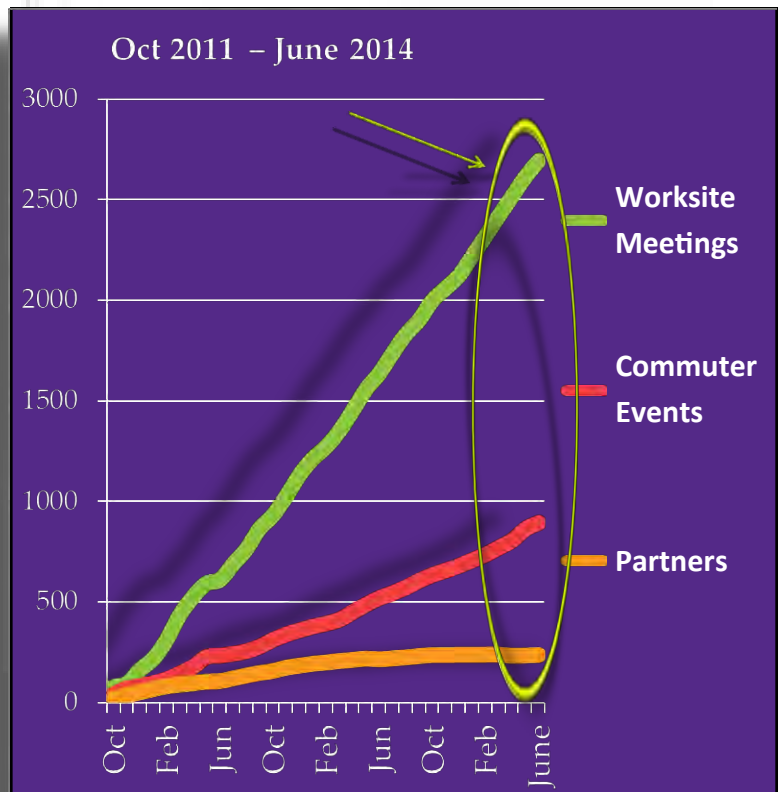
New Partners were: Cigna, Avon Health Center, University of Bridgeport, Triumph Engine Controls and West Hartford Health & Rehab Center.

How would getting a new Magna 26" Mountain Bike motivate you to bike to work?

Well that's just what our partner Jim Gildea at Bigelow Tea gave away during CTrides Week!

Lucky bike winner, Paul Caponera and helmet winner Claudia, now have new gear for their ride into work! THANKS BIGELOW TEA! — with Bigelow Tea.

CT rides Partner Salute!



Regional Worksite Highlights

Eastern CT includes the Hartford, Windham and Central regions of Connecticut

Highlights

- **UCONN Health Center** Health Fair had tremendous turnout and more than 40 Nuride signups
- Great turn-out at the East Hartford Bike to Work event including mostly **Pratt and Whitney** employees
- Working with **DEEP** and **CTfastrak** to set up an employee presentation / workshop for the fall

Events

- Central CT State University
- Cigna
- CT Children's Medical Center
- Connecticut Department of Labor
- CT Dept of Transportation
- Dept of Revenue Services
- Dept. of Corrections
- Duncaster Retirement Community
- Eastern CT State University
- Goodwin College
- Hartford Steam Boiler
- Henkel Corporation
- Lincoln Life Insurance/Harbor Group Management
- MassMutual
- Northeast Utilities
- OPM
- Pfizer
- Prudential
- Shipman & Goodwin
- State of Connecticut
- State of CT - DAS
- Stone Academy (E. Hartford)

- The Hartford
- Town of Manchester
- Travelers
- Trinity College
- Tunxis Community College
- UConn Greater Hartford
- Uconn Storrs
- University of Connecticut (Storrs)
- Wiremold/Legrand

Meetings

- Aetna
- Bike Walk CT
- Bristol Adult Education Center
- C&S Wholesale Groceries
- Capital Community College
- CCRPA
- Central CT State University
- CHUBB Specialty Insurance
- Cigna
- City of Hartford
- Connecticut Probate Court
- CRCOG
- Dept of Revenue Services
- Designs for Health
- Eastern CT State University
- Glastonbury Town Hall
- Hallmark Total Tech
- Hartford Hospital
- Hospital for Special Care
- Lincoln College of New England



- Lowe's
- Manchester Hospital
- New Britain Downtown District
- Pratt and Whitney
- Prudential
- Quinebaug Valley Community College Willimantic Center
- RM Bradley
- South Windsor Chamber of Commerce
- Staples Distribution Center
- Triumph
- West Hartford Health & Rehab
- Whole Foods (Glastonbury)



Regional Worksite Highlights



Southern CT includes Middlesex and southern CT coastal regions

Highlights

- **Pratt & Whitney** Middletown Campus held their first event & will also be taking part in **CTrides** week
- Partnered with **GoNHGo**, in the “Healthy City, Healthy Challenge” to increase awareness of alternative transportation
- **CTrides** Week events with **City of New Haven & MCC**

Events

- Anthem Blue Cross Blue Shield
- BikewalkCT
- City of New Haven
- Department of Emergency Services & Public Protection
- Electric Boat
- Fusco Corporation
- GoNHGo
- Greater Bridgeport Transit
- Lawrence + Memorial Hospital
- Legrand Ortronics
- Middlesex Community College
- Mystic Aquarium
- Pratt & Whitney - Middletown
- Quinebaug Valley Community College Willimantic Center
- Quinnipiac University
- South Central Regional Council of Governments
- Wesleyan University
- Yale New Haven Hospital

Meetings

- 3M Purification
- Aces / Access
- Albertus Magnus
- Alexion Pharmaceuticals
- American Heart Association
- Arden House Care & Rehab Center
- Bishop Woods Executive Academy
- Burns & McDonnell
- Connecticut College
- CT Mental Health Center
- Connecticut Valley Hospital
- Connecticut Water
- Covidien
- Elm City Market
- Gateway Community College
- Gaylord Hospital
- Girl Scouts
- Middlesex Surgical Center
- Midstate Medical Center
- Mohegan Sun Casino
- Neighborhood Housing Services of New Haven
- New Haven Register
- Parson Brinckerhoff
- Rushford Health Care Center
- Southern Connecticut State University
- Temple Medical Center
- Three Rivers Community College
- Town of Wallingford
- University of New Haven
- Veterans Affairs
- Yale Diagnostic Radiology
- Yale University

CTrides
May 20 at 6:30am · 🌐

Lisa has been riding the train for 10 YEARS! She takes the train 3 days a week and Telecommutes the other 2 days.
Now THAT'S a SUPER COMMUTER!

THANKS! to our CTrides Week sponsor **Peapod**

Regional Worksite Highlights



Western CT includes *Litchfield, Housatonic, Bridgeport & Stamford* regions.

Highlights

- **Bigelow Tea** Earth Day event and contest to win a home compost-er. Bigelow Tea held 2 events & raffles for CTrides Week: one for a bicycle and one for a helmet.
- Partnered with **The Kennedy Center** to promote the new **“Transportation Mobility Guide”** with transportation resources for the elderly, disabled & veterans.

Events

- AON Hewitt
- Aptar
- ASML, Inc.
- Bigelow Tea
- BLT Management, LLC
- Building & Land Technology
- Cartus
- City of Stamford
- Deloitte & Touche
- Diageo, Inc.
- Fuel Cell Energy
- Greater Bridgeport Transit
- HARTransit
- Housatonic Community College
- Kennedy Center
- Norwalk Community Technical College
- Oracle Corporation
- Pfizer
- Sikorsky Aircraft
- St. Vincent's Medical Ctr
- SWRPA
- The Kennedy Center, Inc.
- University of Bridgeport
- UCONN-Stamford

- UTC Aerospace Systems
- Waterbury Hospital

Meetings

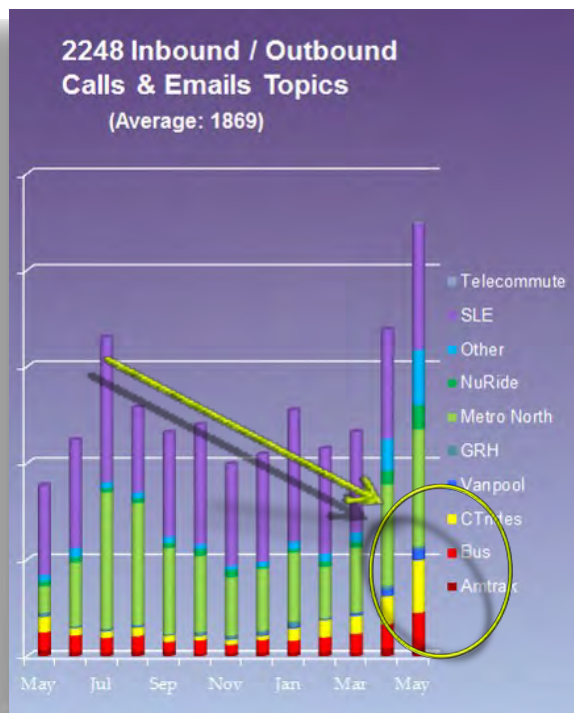
- Abbott Terrace Health Ctr
- Alexion Pharmaceutical
- ASML, Inc.
- Bigelow Tea
- BLT Management, LLC
- Bridgeport Fittings
- Cartus
- City of Stamford
- City of Waterbury
- COG of the Central Naugatuck Valley
- Danbury Public Schools
- Deloitte & Touche
- Diageo, Inc.
- Fuel Cell Energy
- Greater Bridgeport Transit
- HARTransit
- Housatonic Community College
- Lord Chamberlain Nursing Center
- Naugatuck Valley Community College
- North East Transportation Co
- Northwest Hills COG
- Norwalk Community Technical College
- Oracle Corporation
- Pfizer
- Pitney Bowes
- Prudential
- Siemens Healthcare Diagnostics
- Sikorsky Aircraft

- Southwest Community Health Center
- St. Mary's Hospital
- St. Vincent's Medical Ctr
- Stamford Downtown Special Services District (SDSSD)
- SWRPA
- The Kennedy Center, Inc.
- The Watermark at 3030 Park
- Town of Brookfield
- Town of Fairfield
- Town of Westport
- UCONN-Stamford
- University of Bridgeport
- University of CT-Stamford
- UTC Aerospace Systems
- Village Green of Waterbury
- Waterbury Hospital
- Xerox Corp.



Quarterly Report

April—June 2014



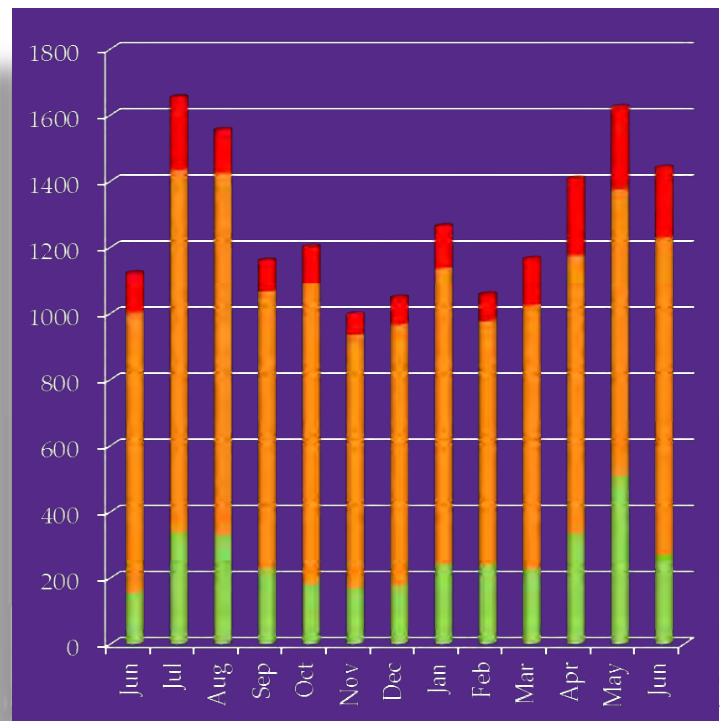
Customer Service & Operations

This quarter, the Customer Service Team experienced a 19% increase in commuter inquiries, with a significant spike during the month of May, specifically during **CTrides Week**.

The chart to the left shows the increase in inquiries about the **CTrides** program itself - a nice reflection of promotional efforts during **CTrides Week**.

2nd Quarter 2014

▶ **2,669** — Inbound Calls ▶ **608** — Outbound Calls
▶ **1,017** — Email Inquiries ▶ **294** — Online Chats



Customer Inquiry Details:

- **4,294 Total** Inquires into **CTrides** (an increase of 19% from the previous quarter)
 - * **2,669 inbound calls** - Including two callers using Spanish as their primary language and 8 from people with disabilities
 - * **Responded to 1017 email inquiries**
 - * **Made 608 marketing and Informational outbound calls**
 - * **Facilitated 294 live chats**
- **Provided 128 Guaranteed Rides** to 97 monthly van, 36 express bus commuters and 7 Shore Line East train riders, 5 Metro-North rail shuttle riders
- **Sent 36 trial bus passes to commuters** meeting the program's Trial Ride criteria
- **A follow-up survey** for the quarter netted the following results: 14 follow-up survey respondents, 9 used the pass, 9 will continue to use transit and 9 rated service 4-5 out of 5

Quarterly Report

April—June 2014

Commuter Rewards Program

In the second quarter of 2014, 1,861 Connecticut commuters registered for the Commuter Reward program. An increase of 13% over last quarter, due to promotional efforts during CTrides Week.

CTrides Week

As part of the CTrides Week promotion, the program secured sponsorships from vendors

Get Rewards for Greener Trips

Get rewards when you record your walk, bike, telecommute, carpool, vanpool, or transit trips on NuRide.

Ride Green, Earn Green. Take the pledge to go green - Be part of CTrides Week, May 12-16, and find a cleaner, greener way to work.

[Sign up](#)

The advertisement features a man in a light blue shirt pointing towards a graphic that says "CTrides = rewards points" surrounded by several smiley face icons.

participating in the CTrides Rewards program. Four retail vendors donated gift cards as event prizes and raffles for individuals either signing up for the rewards program or in recognition of being a "Super Commuter."

CTrides discovered Super Commuters at the "Meet & Greets" across the state during CTrides Week. In meeting commuters, we found many people who had taken the bus, train or telecommuted for years. Their stories were featured on the CTrides' social pages as inspiration and example of commuters finding a better way to work.

Raffle:

Additionally a Connecticut commuter received a \$100 Mother's Day gift certificate from the program's periodic holiday promotional raffle.

CTrides
May 14 at 9:06am

CONGRATS to our first CTrides Week SUPER COMMUTER Elaine, who has been riding express buses to work for 36 (that's THIRTY-SIX!!!) years!

"I don't like to drive and I like not worrying about parking. Plus you meet a lot of nice people that ride the bus everyday" [See More](#)

Many thanks to our CTrides Week sponsor Applebee's

The image shows a woman, Elaine, smiling and holding a sign that says "I'm a SUPER commuter CTrides". She is standing in front of a purple banner that says "discover your super commuter".



Jennifer Ross of Connecticut

CTrides Telecommute Program

This quarter, the primary focus of the Telecommute Program was a webinar series for businesses highlighting the value of flexible mobile teams across markets and regions.

Marketing Activities:

Webinar series

- **Session #1:** *Positioned for Excellence*
- **Session #2:** *Distance Working with Personal Connections*
- **Session #3:** *Winning Strategies for Leading and Working at a Distance*

HR Policies Developed: in response to client requests

- Inclement Weather Policy
- PTO Policy

Client Activity Highlights:

- **Diago, Norwalk:** On-site presentation with steering committee members to refine existing program, along with Bring Your Own Device, HR documentation and management standards
- **Girl Scouts of Connecticut, North Haven/Hartford:** Presentation to Executive Committee following review of technology infrastructure as well as consultation with HR Director to define needs

- **Lawrence and Memorial Hospital, New London:** Review of current climate of telecommuting within the hospital in various departments
- **Yale New Haven Health Services (YNHH), North Haven:** Worked with Information Technology department leaders to develop a feasibility proposal for 500 IT professionals
- **UConn Health Center, Farmington:** Implementing pilot project to measure environmental impact & cost savings
- **Charlotte Hungerford Hospital, Torrington:** Conducted best practice consultation and submitted HR documentation with recommendation for next steps.
- **Connecticut Community Care, Bristol:** Provided information on collaborative tools for a returning client with large population of telecommuters

Current Active Clients

- Boehringer Ingelheim
- Connecticut Water Company
- Charlotte Hungerford Hospital
- Connecticut Community Care
- DEEP (PURA Group)

- Diago
- Girl Scouts of Connecticut
- Lawrence & Memorial Hospital
- LR Business Solutions
- MBI, Inc.

- Pitney Bowes
- Yale New Haven Health
- The Hartford Foundation
- S&S Worldwide
- UCONN Health Center
- Webster Bank

As of the 2Q 2014, there are more than 235 businesses, agencies and municipalities partnered with CTrides — *New Partners highlighted in bold*

1. 1-169th Aviation Regiment
2. 3M Purification
3. Aaron Manor
4. Aces / Access
5. Acme Monaco Group
6. Albertus Magnus
7. Allied World Assurance Inc.
8. American Cancer Society
9. American Heart Association
10. Anthem Blue Cross Blue Shield
11. ASML, Inc.
12. Aurora Products Inc.
- 13. Avon Health Center**
14. BELIMO Aircontrols
15. Bigelow Tea
16. BikewalkCT
17. Bishop Woods Executive Academy
18. BLT Management, LLC
19. Branford Hall (Branford campus)
20. Branford Hall (Southington campus)
21. Branford Hall (Windsor campus)
22. Bridgeport Fittings
23. Building & Land Technology
24. Capital Community College
25. Capitol Region Council of Governments
26. Cartus
27. Cheshire Public Schools
28. CHUBB Specialty Insurance
- 29. Cigna**
30. City of Bridgeport
31. City of Danbury
32. City of Milford
33. City of New Haven
34. City of Stamford Land Use Bureau
35. City of Waterbury
36. City of Waterbury - Dept of Public Works
37. City of Waterbury - Public Health

38. City of West Haven
39. CJS Millwork
40. Clearwater Paper
41. Comet Technologies
42. Connecticare
43. Connecticut Children's Medical Center
44. CT Department of Labor
45. CT Department of Transportation
46. Connecticut Economic Resource Center
47. Connecticut League of Conservation Voters
48. Connecticut Valley Hospital
49. Connecticut Water
50. Council of Governments of the Central Naugatuck Valley
51. Coventry Public Schools
52. Covidien
53. CW Resources
54. Danbury Public Schools
55. Dept of Administrative Services
56. Dept of Economic and Community Development
57. Dept of Emergency Services & Public Protection
58. Dept of Energy and Environmental Protection (Hartford)
59. Dept of Energy and Environmental Protection (New Britain)
60. Dept of Public Health (Rocky Hill)
61. Dept of Revenue Services

62. Diageo, Inc.
63. Duncaster Retirement Community



64. Eastern Connecticut State University
65. Eastern Connecticut Transportation Consortium
66. Eastern CT Workforce Investment Board
67. Eastern Workforce Investment Board
68. Electric Boat
69. Emhart Teknologies
70. ESPN
71. Fairfield Public Schools
72. Ferguson Electric CO
73. Framework, LLC



- 74. FTD
- 75. Fuel Cell Holdings
- 76. Fusco Corporation
- 77. Gateway Community College
- 78. General Cable
- 79. Generations Family Health Center
- 80. Glastonbury Chamber of Commerce
- 81. Globe Pequot Press
- 82. Goodwin College
- 83. Greater Bridgeport Regional Council
- 84. Greater Bridgeport Transit
- 85. Greater Waterbury YMCA
- 86. Guilford Rotary
- 87. Hamilton Sundstrand
- 88. Hartford Steam Boiler
- 89. HARTransit
- 90. Hersam/Acorn Newspapers
- 91. Higher One
- 92. Housatonic Community College
- 93. Housatonic Valley Council of Elected Officials
- 94. Hughes Health and Rehabilitation
- 95. IKEA - New Haven
- 96. Job Corps New Haven
- 97. Junior Achievement
- 98. Kimberly Hall Healthcare
- 99. Lawrence + Memorial Hospital
- 100. Legrand Ortronics
- 101. LIMRA
- 102. Lincoln Culinary Institute (Hartford)
- 103. Lincoln Life Insurance/Harbor Group Management
- 104. Lincoln Technical Institute (New Britain)
- 105. Litchfield Public Schools
- 106. Lord Chamberlain Nursing Center
- 107. Lowe's Distribution Center
- 108. Macy's Logistics & Operations
- 109. Macy's.com
- 110. Malkin Properties
- 111. Manchester Community College

- 112. Marrakech
- 113. MassMutual
- 114. Memry Corporation
- 115. Mercy Community Health
- 116. Middlesex Community College
- 117. Middlesex Community College - Meriden Campus
- 118. Middlesex Hospital
- 119. Middletown Downtown Business District
- 120. Milford Chamber of Commerce
- 121. Moore Medical
- 122. Morgan Stanley
- 123. Mystic Aquarium
- 124. Naugatuck Valley Community College
- 125. New Britain CT Works Center
- 126. New Britain Downtown District
- 127. Newton-Foster Home Care Agency
- 128. Newtown High School
- 129. Newtown Public Schools
- 130. Northeast Utilities
- 131. Northeastern Connecticut Council of Governments
- 132. Northeastern CT Transit District
- 133. Northwest Connecticut Chamber of Commerce
- 134. Norwalk Community Technical College
- 135. Norwalk Transit
- 136. Oracle Corporation
- 137. Orange Transportation Management Association

Our Shore Line East rail commuters rave about our friendly conductors, like Colleen!

NATIONAL TRAIN DAY is May 10th. If you travel to work along I-95, see if a SLE train is the answer - go to ShoreLineEast.com for more.

ONLY 3 days 'til CTrides Week - Register to RIDE GREEN, EARN GREEN @ CTrides.com

4 people like this.

Michael Berdensen They do a great job they get to know all the regular riders that come. Hats off to all the hard workers we go back and fourth from.new haven to.old saybrook all the.time thank you.

- 138. Pratt & Whitney - Middletown
- 139. Pratt and Whitney
- 140. Prides Corner Farms Inc
- 141. Projects Inc
- 142. Prudential
- 143. PULSE
- 144. Purdue Pharma
- 145. Quinebaug Valley Community College
- 146. Quinebaug Valley Community College (Willimantic Center)
- 147. Quinnipiac University
- 148. Radiall USA Inc.
- 149. Reckson/SL Green
- 150. RM Bradley

- 151. Saint Francis Hospital
- 152. SARAH Inc.
- 153. Seabury Retirement Community
- 154. Seton
- 155. Shipman & Goodwin
- 156. Shipman & Goodwin
- 157. Siemens Healthcare Diagnostics
- 158. South Central Regional Council of Governments
- 159. Southeastern Connecticut Council of Governments
- 160. Southern Connecticut State University
- 161. Southington Care Center
- 162. Southwest Community Health Center
- 163. St. Mary's Hospital
- 164. Stamford Chamber of Commerce
- 165. Stamford Downtown Special Services District (SDSSD)
- 166. Starwoods Hotel
- 167. Stone Academy (East Hartford)
- 168. Stone Academy (Waterbury)
- 169. Sunlight Solar Energy
- 170. SWRPA
- 171. Thames Valley Council for Community Action
- 172. The Davis Companies
- 173. The Kennedy Center, Inc.
- 174. Thomaston Public Schools
- 175. Three Rivers Community College
- 176. Torrington Public Schools
- 177. Town of Barkhamsted
- 178. Town of Brookfield
- 179. Town of Coventry
- 180. Town of Fairfield
- 181. Town of Greenwich
- 182. Town of Guilford
- 183. Town of Hamden
- 184. Town of Hartland

- 185. Town of Lebanon
- 186. Town of Litchfield
- 187. Town of Manchester
- 188. Town of Monroe
- 189. Town of New Milford
- 190. Town of Newtown
- 191. Town of North Branford
- 192. Town of Prospect
- 193. Town of Redding
- 194. Town of Rocky Hill
- 195. Town of Scotland
- 196. Town of Thomaston
- 197. Town of Wallingford
- 198. Town of Westport
- 199. Town of Wethersfield
- 200. Town of Windham
- 201. Town of Woodbridge
- 202. Travelers
- 203. Trinity College
- 204. Triumph Engine Controls**
- 205. UConn Health Center
- 206. Ultra Electronics
- 207. United Illuminating
- 208. United Steel
- 209. University of Bridgeport**
- 210. UCONN (Storrs)
- 211. UCONN (Stamford)
- 212. University of Hartford
- 213. University of New Haven
- 214. Valley Transit District
- 215. Veterans Affairs Connecticut Healthcare System (Newington)
- 216. Veterans Affairs Connecticut Healthcare System (West Haven)
- 217. Village Manor Health Care
- 218. Walgreens Distribution Center
- 219. Waterbury Board of Education
- 220. Waterbury Hospital

- 221. Watson Foods
- 222. Webster Bank
- 223. Wells Fargo
- 224. Wesleyan University
- 225. West Hartford Health and Rehab**
- 226. Westport Police Department
- 227. Whalley Glass Company

We salute our CTrides' partner Connecticut Children's Medical Center for being super-supportive of their employees' commuting efforts and helping get the word out about our programs & services.

Get YOUR commuting info at CTrides.com

 *Partner Salute!*



- 228. Whole Foods (Glastonbury)
- 229. Windham Region Chamber of Commerce
- 230. Windham Region Council of Governments
- 231. XL Group
- 232. Yale New Haven Hospital
- 233. Yale University
- 234. Yarde Metals Inc.
- 235. YMCA Greater Hartford